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Coulomb electron pairing in a tight-binding model of La-based cuprate superconductors

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Abstract. We study the properties of two electrons with Coulomb interactions in a tight-binding model of La-based cuprate superconductors. This tight-binding model is characterized by long-ranged hopping obtained previously by advanced quantum chemistry computations. We show analytically and numerically that the Coulomb repulsion leads to a formation of compact pairs propagating through the whole system. The mechanism of pair formation is related to the emergence of an effective narrow energy band for Coulomb electron pairs with conserved total pair energy and momentum. The dependence of the pair formation probability on an effective filling factor is obtained with a maximum around a filling factor of 20 (or 80) percent. The comparison with the case of the nearest neighbor tight-binding model shows that the long-ranged hopping provides an increase of the phase space volume with high pair formation probability. We conjecture that the Coulomb electron pairs discussed here may play a role in high temperature superconductivity.

1 Introduction

The phenomenon of high temperature superconductiv-2 ity (HTC), discovered in [1], still requires its detailed physical understanding as discussed by various experts of this field (see e.g. [2–4]). The analysis is complicated by the complexity of the phase diagram and strong interactions between electrons (or holes). As a generic model, that can be used for a description of most superconducting cuprates, it was proposed to use a simplified one-body Hamiltonian with nearest-neighbor hopping 10 on a square lattice formed by the Cu ions [5]. In addition 11 the interactions between electrons are considered as a 12 strongly screened Coulomb interaction that results in 13 the 2D Hubbard model [5]. However, a variety of exper-14 imental results cannot be described by the 2D Hub-15 bard model (see e.g. discussion in [6]). Other models of 16 type Emery [7-10] were developed and extended on the 17 basis of extensive computations with various numerical 18 methods of quantum chemistry (see e.g. [6, 11] and Refs. 19 therein). These studies demonstrated the importance of 20 next-nearest hopping and allowed to determine reliably 21 the longer-ranged tight-binding parameters. 22

In this work we use the 2D longer-ranged tightbinding parameters reported in [6] and study the effects of Coulomb interactions between electrons in the frame work of this tight-binding model. There are different reasons indicating that long-range interactions between electrons may lead to certain new features as compared to the Hubbard case (see [3,4,6]). Recently, we demonstrated that for two electrons on a 2D lattice with nearest-neighbor hopping the energy and momentum conservation laws lead to the appearance of an effective narrow energy band for energy dispersion of two electrons [12]. In such a narrow band even a repulsive Coulomb interaction leads to electron pairing and ballistic propagation of such pairs through the whole system. The internal classical dynamics of electrons inside such a pair is chaotic suggesting nontrivial properties of pair formation in the quantum case. In this work we extend the investigations of the properties of such Coulomb electron pairs for a more generic longerranged tight-binding lattice of one-body Hamiltonian typical for La-based cuprate superconductors. We find that the long-ranged hopping leads to new features of Coulomb electron pairs. We note that in this work we consider the case of two interacting electrons but the same results are valid also for two interacting holes with positive charges.

In Sect. 2 a detailed description of the tight-binding model for two interacting electrons for general lattices with a particular application to HTC is presented together with an analysis of the effective band width at fixed conserved total pair momentum. Section 3 provides first results of the full space time evolution obtained in the frame work of the Trotter formula approximation. Section 4 introduces the theoretical basis for the description in terms of an effective

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block Hamiltonian for a given sector of fixed momentum 58 of a pair with technical details provided in Appendix A. 59 In Sect. 5 the phase diagram of the long time average 60 of the pair formation probability in the plane of total 61 momentum is discussed while Sect. 6 provides some 62 results for the intermediate time evolution of pair for-63 mation. An overview of the results for the pair forma-64 tion probability at different filling factors is given in 65 Sect. 7. The final discussion is presented in Sect. 8. 66

2 Generalized tight-binding model on a 2D lattice

We assume that each electron moves on a square lattice of size $N \times N$ with periodic boundary conditions with respect to the following generalized one-particle tight-71 binding Hamiltonian:

$$H_{1p} = -\sum_{\mathbf{r}} \sum_{\mathbf{a} \in \mathcal{A}} t_{\mathbf{a}} \left(|\mathbf{r}\rangle \langle \mathbf{r} + \mathbf{a}| + |\mathbf{r} + \mathbf{a}\rangle \langle \mathbf{r}| \right) \quad (1)$$

where the first sum is over all discrete lattice points 74 \mathbf{r} (measured in units of the lattice constant) and \mathbf{a} 75 belongs to a certain set of *neighbor vectors* \mathcal{A} such that 76 for each lattice state $|\mathbf{r}\rangle$ there are non-vanishing hop-77 ping matrix elements $t_{\mathbf{a}}$ with $|\mathbf{r} + \mathbf{a}\rangle$ and $|\mathbf{r} - \mathbf{a}\rangle$ for 78 $\mathbf{a} \in \mathcal{A}$. To be more precise, due to notational reasons, 79 we choose the set \mathcal{A} to contain all neighbor vectors 80 $\mathbf{a} = (a_x, a_y)$ in one half plane with either $a_x > 0$ or 81 $a_y > 0$ if $a_x = 0$ such that $\mathcal{A}' = \mathcal{A} \cup (-\mathcal{A})$ is the full 82 set of all neighbor vectors. For each vector **a** of the full 83 set \mathcal{A}' , we require that any other vector $\tilde{\mathbf{a}}$ which can 84 be obtained from \mathbf{a} by a reflection at either the x-axis, 85 y-axis or the x-y diagonal also belongs to the full set 86 \mathcal{A}' and has the same hopping amplitude $t_{\mathbf{a}} = t_{\tilde{\mathbf{a}}}$. 87

For the usual nearest neighbor tight-binding model 88 (NN-model), already considered in [12], we have the 89 set $\mathcal{A}_{NN} = \{(1,0), (0,1)\}$ with $t_{(1,0)} = t_{(0,1)} = t = 1$. 90 The numerical results presented in this work corre-91 spond either to the NN-model (for illustration and 92 93 comparison) or to a longer-ranged tight-binding lattice according to [6] which we denote as the HTC-model. 94 For this case the set of neighbor vectors is $\mathcal{A}_{\rm HTC}$ = 95 $\{(1,0), (0,1), (2,0), (0,2), (1,\pm 2), (2,\pm 1), (1,\pm 1), (2,\pm 2)\}$ 96 and the hopping amplitudes are: $t = t_{(1,0)} = 1, t' = t_{(1,1)} = -0.136, t'' = t_{(2,0)} = 0.068, t''' = t_{(2,1)} = 0.061$ 97 98 and $t^{(4)} = t_{(2,2)} = -0.017$ corresponding to the val-99 ues given in Table 2 of [6] (all energies are measured 100 in units of the hopping amplitude $t = t_{(1,0)} = t_{(0,1)}$ 101 which is therefore set to unity here; see also Fig. 6a 102 of [6] for the neighbor vectors of the different hopping 103 amplitudes). The hopping amplitudes for other vectors 104 such as (0,1), (1,-1), (2,1), (1,-2) etc. are obtained 105 from the above amplitudes by the appropriate symme-106 try transformations, e.g. $t_{(1,-1)} = t_{(1,1)} = t' = -0.136$ 107 etc. 108

Even though that most of our numerical results 109 presented in this work apply to the HTC-model (or 110

the NN-model), we emphasize that certain theoretical 111 considerations given below, especially for the effective 112 block Hamiltonian in relative coordinates at given total 113 momentum, are valid for arbitrary generalized tight 114 binding models with more general sets \mathcal{A} and also with 115 a potential generalization to other dimensions. 116

The eigenstates of H_{1p} given in (1) are simple plane 117 waves: 118

$$|\mathbf{p}\rangle = \frac{1}{N} \sum_{\mathbf{r}} e^{i\mathbf{p}\cdot\mathbf{r}}$$
(2) 119

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with energy eigenvalues:

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$$E_{1p}(\mathbf{p}) = -2\sum_{\mathbf{a}\in\mathcal{A}} t_{\mathbf{a}} \cos(\mathbf{p}\cdot\mathbf{a})$$
(3) 121

and momenta $\mathbf{p}=(p_x,p_y)$ such that p_x and p_y are integer multiples of $2\pi/N$ (i.e. $p_\alpha=2\pi l_\alpha/N$, $l_\alpha=$ 122 123 $0, \ldots, N-1, \alpha = x, y$). For the HTC model, we can give 124 a more explicit expression of the energy dispersion: 125

$$E_{1p}(p_x, p_y) = -2 \left[\cos(p_x) + \cos(p_y) \right] - 4t' \cos(p_x) \cos(p_y) - 2t'' \left[\cos(2p_x) + \cos(2p_y) \right] - 4t''' \left[\cos(2p_x) \cos(p_y) + \cos(2p_y) \cos(p_x) \right] - 4t^{(4)} \cos(2p_x) \cos(2p_y)$$
(4)

which corresponds to eq. (30) of [6] (assuming t = 1127 and $t^{(5)} = t^{(6)} = t^{(7)} = 0$. 128

The quantum Hamiltonian of the model with two 129 interacting particles (TIP) has the form: 130

$$H = H_{1p}^{(1)} \otimes \mathbf{1}^{(2)} + \mathbf{1}^{(1)} \otimes H_{1p}^{(2)} + \sum_{\mathbf{r}_1, \mathbf{r}_2} \bar{U}(\mathbf{r}_2 - \mathbf{r}_1) |\mathbf{r}_1, \mathbf{r}_2\rangle \langle \mathbf{r}_1, \mathbf{r}_2 |$$
(5) 131

where $H_{1p}^{(j)}$ is the one-particle Hamiltonian (1) of parti-132 cle j = 1, 2 with positional coordinate $\mathbf{r}_j = (x_j, y_j)$ and 133 $\mathbf{1}^{(j)}$ is the unit operator of particle j. The last term 134 in (5) represents a (regularized) Coulomb type long-135 range interaction $\bar{U}(\mathbf{r}_2 - \mathbf{r}_1) = U/[1 + r(\mathbf{r}_2 - \mathbf{r}_1)]$ with 136 amplitude U and the effective distance $r(\mathbf{r}_2 - \mathbf{r}_1) =$ 137 $\sqrt{\Delta \bar{x}^2 + \Delta \bar{y}^2}$ between the two electrons on the lat-138 tice with periodic boundary conditions. (Here $\Delta \bar{x} =$ 139 $\min(\Delta x, N - \Delta x); \ \Delta \bar{y} = \min(\Delta y, N - \Delta y); \ \Delta x =$ 140 $x_2 - x_1$; $\Delta y = y_2 - y_1$ and the latter differences are 141 taken modulo N, i.e. $\Delta x = N + x_2 - x_1$ if $x_2 - x_1 < 0$ 142 and similarly for Δy). Furthermore, we consider sym-143 metric (spatial) wavefunctions with respect to particle 144 exchange assuming an antisymmetric spin-singlet state 145 (similar results are obtained for antisymmetric wave-146 functions) 147

In absence of interaction (U = 0) the energy eigen-148 values (the classical energy) of the two electron Hamil-149 tonian (5) (the two electrons) at given momenta \mathbf{p}_1 and 150

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黛 Journal: 10051 MS: 0035 TYPESET DISK LE CP Disp.:2020/12/29 Pages: 11 Layout: EPJB ¹⁵¹ \mathbf{p}_2 are (is) given by:

$$E_{c}(\mathbf{p}_{1}, \mathbf{p}_{2}) = E_{1p}(\mathbf{p}_{1}) + E_{1p}(\mathbf{p}_{2})$$
$$= -4\sum_{\mathbf{a}\in\mathcal{A}} t_{\mathbf{a}}\cos(\mathbf{p}_{+}\cdot\mathbf{a}/2)\cos(\mathbf{\Delta}\mathbf{p}\cdot\mathbf{a}) \quad (6)$$

153 where $\mathbf{p}_{+} = \mathbf{p}_{1} + \mathbf{p}_{2}$ is the total momentum and $\Delta \mathbf{p} =$ $(\mathbf{p}_2 - \mathbf{p}_1)/2$ is the momentum associated to the relative 154 coordinate $\Delta \mathbf{r} = \mathbf{r}_2 - \mathbf{r}_1$. For the NN-model Eq. (6) becomes $E_c(\mathbf{p}_1, \mathbf{p}_2) = -4 \sum_{\alpha=x,y} \cos(p_{+\alpha}/2) \cos(\Delta p_{\alpha})$. 155 156 Due to the translational invariance the total momen-157 tum \mathbf{p}_+ is conserved even in the presence of inter-158 action $(U \neq 0)$. Indeed, like in a periodic crystal 159 we have Bloch waves with conserved quasimomentum 160 [6] (in the following we speak about the momentum). 161 Thus only two-particle plane wave states with identi-162 cal \mathbf{p}_+ are coupled by non-vanishing interaction matrix 163 elements. For the case of the NN-model, analyzed in 164 [12], the kinetic energy at fixed \mathbf{p}_+ is bounded by 165 $\Delta E_b = 4 \sum_{\alpha=x,y} |\cos(p_{+\alpha}/2)|$. Thus for TIP states 166 with $E > \Delta E_b$ the two electrons cannot separate and 167 propagate as one pair even if their interaction is repul-168 sive. For $p_{+x} = p_{+y} = \pi + \delta$ being close to π and $|\delta| \ll 1$ 169 there are compact Coulomb electron pairs even for very 170 small interactions U as soon as $\Delta E_b \approx 4|\delta| < U \ll B_2$ 171 with $B_2 = 16 + U$ being the maximal energy bandwidth¹ 172 in 2D. Thus the conservation of the total momentum of 173 a pair with $p_{+x} = p_{+y} \approx \pi$ leads to the appearance 174 of an effective narrow energy band with formation of 175 coupled electron pairs propagating through the whole 176 system. However, the results obtained in [12] show that 177 even for other values of p_{+x}, p_{+y} the probability of pair 178 formation is rather high. 179

For the NN-model the effective band width for pairs 180 ΔE_b can be exactly zero for the specific pair momen-181 tum $\mathbf{p}_{+} = (\pi, \pi)$. However, this is not the case for the 182 HTC-model where due to the longer-ranged hopping 183 the minimal width ΔE_b is finite due to the additional 184 terms with factors $\cos(\mathbf{p}_+ \cdot \mathbf{a}/2)$ in (6). Therefore, we 185 determined numerically for each given value of total 186 momentum \mathbf{p}_+ the effective bandwidth as: 187

$$\Delta E_b(\mathbf{p}_+) = \max_{\Delta \mathbf{p}} \left[E_c(\mathbf{p}_1, \mathbf{p}_2) \right] - \min_{\Delta \mathbf{p}} \left[E_c(\mathbf{p}_1, \mathbf{p}_2) \right] \quad (7)$$

with $\mathbf{p}_1 = \mathbf{p}_+/2 - \Delta \mathbf{p}$ and $\mathbf{p}_2 = \mathbf{p}_+/2 + \Delta \mathbf{p}$. Top panels 189 of Fig. 1 show density color plots of $\Delta E_b(\mathbf{p}_+)$ for the 190 NN- and the HTC-model. For the HTC-case $\Delta E_b(\mathbf{p}_+)$ 191 is maximal at $\mathbf{p}_{+} = (0,0)$ with value $\Delta E_{b,\max} = 17.952$ 192 and minimal at $\mathbf{p}_{+} = (\pi, \pi)$ with value $\Delta E_{b,\min} = 2.176$ 193 while for the NN-model we have $\Delta E_{b,\text{max}} = 16$ at 194 $\mathbf{p}_{+} = (0,0)$ and $\Delta E_{b,\min} = 0$ at $\mathbf{p}_{+} = (\pi,\pi)$. The value 195 $\Delta E_{b,\min} = 2.176$ for the HTC-model is still rather small 196 compared to the maximal value $\Delta E_{b,\max} \approx 18$ and we 197 may expect a somewhat stronger pair formation prob-198 ability for total momenta \mathbf{p}_+ close to (π, π) . However, 199 200 this situation is qualitatively different as compared to



Fig. 1 Top panels show the dependence of the effective electron pair band width $\Delta E_b(\mathbf{p}_+)$ on the pair momentum $\mathbf{p}_+ = (p_{+x}, p_{+y})$. Bottom panels show the kinetic electron pair energy $E_c(\mathbf{p}_1, \mathbf{p}_2)$ (in absence of interaction) at momenta $\mathbf{p}_1 = \mathbf{p}_2 = \mathbf{p}_+/2$. Left panels correspond to the NN-model and right panels to the HTC-model. In all panels the horizontal axis corresponds to $p_{+x} \in [0, \pi]$ and the vertical axis to $p_{+y} \in [0, \pi]$. The numbers of the color bar correspond for top panels to the ratio of the bandwidth over its maximal value and for lower panels to the quantity $\operatorname{sgn}(E_c)\sqrt{|E_c|/E_{c,\max}}$ with $E_{c,\max}$ being the maximum of $|E_c|$. In all subsequent color plot figures the numerical values of the color bar corresponds to the ratio of the shown quantity over its maximal value

the NN-model and the HTC-case requires new careful studies.

For comparison, we also show in the lower panels of Fig. 1 the kinetic energy E_c at $\mathbf{p}_1 = \mathbf{p}_2 = \mathbf{p}_+/2$ (for the square $\mathbf{p}_+ \in [0, \pi] \times [0, \pi]$) corresponding to $\Delta \mathbf{p} = 0$. While for the NN-model this quantity vanishes at $\mathbf{p}_+ =$ (π, π) there is for the HTC-model a zero-line between the two points $(\beta \pi, \pi)$ and $(\pi, \beta \pi)$ where $\beta \approx 0.877 \approx$ 7/8 is a numerical constant slightly below unity.

3 Full space time evolution of electron pairs 210

As in [12] the full time evolution of two electrons is 211 computed numerically for N = 128 using the Trotter 212 formula approximation (see e.g. [12, 13] for computa-213 tional details). We use the Trotter time step $\Delta t =$ 214 $B_2 = 1/(16 + U)$ which is the inverse bandwidth for 215 the case of NN-model. A further decrease of the time 216 step does not affect the obtained results. At the ini-217 tial time both electrons are localized approximately at 218 (N/2, N/2) with the distance $\Delta \bar{x} = \Delta \bar{y} = 1$ using a lin-219 ear combination of 8 states with all combinations due 220 to particle exchange symmetry and reflection symmetry 221 at the Δx - and Δy -axis. The method provides for each 222

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¹ In the following we use the notation $B_2 = 16 + U$ for the bandwidth of the NN-model.

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time value a wavefunction $\psi(x_1, y_1, x_2, y_2)$ from which we extract different quantities such as the density in x_1-x_2 plane:

$$\rho_{XX}(x_1, x_2) = \sum_{y_1, y_2} |\psi(x_1, y_1, x_2, y_2)|^2 \qquad (8)$$

²²⁷ or the density Δx - Δy plane:

$$\rho_{\rm rel}(\Delta x, \Delta y) = \sum_{x_1, y_1} |\psi(x_1, y_1, x_1 + \Delta x, y_1 + \Delta y)|^2$$
(9)

(with position sums taken modulo N). We also compute 229 the quantity w_{10} by summing the latter density (9) over 230 all values such that $|\Delta \bar{x}| \leq 10$ and $|\Delta \bar{y}| \leq 10$ which 231 corresponds to a square of size 21×21 in $\Delta x \cdot \Delta y$ plane 232 (due to negative values of $x_2 - x_1$ etc.). This quantity 233 gives the quantum probability to find both electrons at 234 a distance ≤ 10 (in each direction) and we will refer to 235 it as the *pair formation probability*. 236

In Fig. 2 the density ρ_{XX} is shown for U = 2, both 237 NN- and HTC-models at two time values $t = 445\Delta t$ 238 and $t = 10^4 \Delta t$. These results show that the wavefunc-239 tion has a component with electrons separating from 240 each other and a component where electrons stay close 241 to each other forming a pair propagating through the 242 whole system that corresponds to a high density near 243 a diagonal with $x_1 \approx x_2$. For $t = 445 \Delta t$ the value of 244 w_{10} is roughly 10% and for $t = 10^4 \Delta t$ it is roughly 245 13% for both models. However, the remaining diffusing 246 component of about 87-90% probability has a stronger 247 periodic structure for the NN-model as compared to the 248 HTC-model. 249

Figure 3 shows the density $\rho_{\rm rel}(\Delta x, \Delta y)$ for the same 250 cases of Fig. 2. We clearly see a strong enhancement of 251 the probability at small values $\Delta \bar{x} \approx \Delta \bar{y} < 5 \ (< 6 - 7)$ 252 for the NN-model (HTC-model) showing that there is a 253 considerable probability that both electrons stay close 254 to each other forming a Coulomb electron pair. Fur-255 thermore, the remaining wavefunction component of 256 independently propagating electrons, clearly visible in 257 Fig. 2, is not visible in the density shown in Fig. 3 even 258 though this component corresponds to 87-90% proba-259 bility. 260

The supplementary material contains two videos (for ~ 460 time values in the range $\Delta t \leq t \leq 10^4 \Delta t$ with roughly uniform logarithmic density) of the two densities ρ_{XX} and $\rho_{\rm rel}$ where both models NN and HTC are directly compared in the same video. The raw-data used for these videos is the same as in Figs. 2 and 3.

4 Time evolution in sectors of fixed total momentum

As already mentioned in Sect. 3 the total momentum \mathbf{p}_+ is conserved by the TIP dynamics of the Hamiltonian (5). In order to exploit this more explicitly, we



Fig. 2 2D Wavefunction density $\rho_{XX}(x_1, x_2)$ in x_1 - x_2 plane (see Eq. (8)) obtained from the time evolution using the Trotter formula approximation for initial electron positions at $\approx (N/2, N/2)$ with distance $\Delta \bar{x} = \Delta \bar{y} = 1$ for N = 128, U = 2 and Trotter integration time step $\Delta t = 1/B_2 = 1/(16 + U)$. Top (bottom) panels correspond to the time value $t = 445 \Delta t$ ($t = 10^4 \Delta t$) and left (right) panels correspond to the NN-lattice (HTC-lattice). The corresponding values of the pair formation probability w_{10} are 0.106 (top left), 0.133 (bottom left), 0.0940 (top right) and 0.125 (bottom right). Related videos are available at [14,15]



Fig. 3 2D Wavefunction density $\rho_{\rm rel}(\Delta x, \Delta y)$ in Δx - Δy plane of relative coordinates (see Eq. (9)) for the same states, cases and parameters of Fig. 2 (N = 128, U = 2). All panels show the zoomed density for $0 \leq \Delta x, \Delta y < 32$. Related videos are available at [14,15]

introduce as in [12], block basis states by:

$$|\mathbf{p}_{+}, \Delta \mathbf{r}\rangle = \frac{1}{N} \sum_{\mathbf{r}_{1}} e^{i\mathbf{p}_{+} \cdot (\mathbf{r}_{1} + \Delta \mathbf{r}/2)} |\mathbf{r}_{1}, \mathbf{r}_{1} + \Delta \mathbf{r}\rangle \quad (10) \quad {}_{275}$$

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where $\mathbf{p}_{+} = (p_{+x}, p_{+y})$ (with $p_{+\alpha} = 2\pi l_{+\alpha}/N$; $l_{+\alpha} =$ 274 $0, \ldots, N-1; \alpha = x, y$ is a fixed value of the total 275 momentum and \mathbf{r}_1 , $\Delta \mathbf{r}$ are vectors on the square lat-276 tice (with position sums in each spatial direction taken 277 modulo N). One can show (see Appendix A for details) 278 that the TIP Hamiltonian (5) applied to such state gives 279 a linear combination of such states for different $\Delta \mathbf{r}$ val-280 ues but the **same** total momentum value \mathbf{p}_+ which pro-281 vides for each value or *sector* of \mathbf{p}_+ an effective *block* 282 Hamiltonian: 283

$$\bar{h}^{(\mathbf{p}_{+})} = -\sum_{\Delta \mathbf{r}} \sum_{\mathbf{a} \in \mathcal{A}} \bar{t}^{(\mathbf{p}_{+})}_{\mathbf{a}} (|\Delta \mathbf{r} + \mathbf{a}\rangle \langle \Delta \mathbf{r}| + |\Delta \mathbf{r}\rangle \langle \Delta \mathbf{r} + \mathbf{a}|) + \sum_{\Delta \mathbf{r}} \bar{U}(\Delta \mathbf{r}) |\Delta \mathbf{r}\rangle \langle \Delta \mathbf{r}|$$
(11)

where $\bar{t}_{\mathbf{a}}^{(\mathbf{p}_{+})} = 2\cos(\mathbf{p}_{+}\cdot\mathbf{a}/2)t_{\mathbf{a}}$ is an effective rescaled 285 hopping amplitude depending also on \mathbf{p}_+ and we have 286 for simplicity omitted the index \mathbf{p}_+ in the block basis 287 states. This effective block Hamiltonian corresponds to 288 a tight-binding model in 2D of similar structure as (1)289 with modified hopping amplitudes and an additional 290 "potential" $\bar{U}(\Delta \mathbf{r})$. We note that in absence of this 291 external potential (U = 0) the eigenfunctions of (11) 292 are plane waves and we immediately recover the expres-293 sion (6) for its energy eigenvalues where $\Delta \mathbf{p}$ is the 294 momentum associated to the relative coordinate $\Delta \mathbf{r}$. 295 For the simple NN-model the result for the effective 296 block Hamiltonian was already given in [12] and the 297 above expression (11) provides the generalization to 298 arbitrary tight-binding lattices characterized by a cer-299 tain set of neighbor vectors \mathcal{A} and associated hopping 300 amplitudes $t_{\mathbf{a}}$ (the generalization to arbitrary spatial 301 dimension is also obvious). As already discussed in [12] 302 the boundary conditions of (11) in x - (y) direction 303 are either periodic if the integer index l_{+x} (l_{+y}) of p_{+x} 304 (p_{+y}) is even or anti-periodic if this index is odd. This 305 can be understood by the fact that the expression (10)306 is modified by the factor $e^{\pm ip_{+x}N/2} = e^{\pm i\pi l_{+x}} = (-1)^{l_{+x}}$ 307 if Δx is replaced by $\Delta x \pm N$ and similarly for Δy (with 308 $\Delta \mathbf{r} = (\Delta x, \Delta y)).$ 309

Diagonalizing the effective block Hamiltonian (11), 310 we can rather efficiently compute the exact quantum 311 time evolution $|\bar{\psi}(t)\rangle = e^{-i\bar{h}^{(\mathbf{p}+)}t} |\bar{\psi}(0)\rangle$ inside a given 312 sector of \mathbf{p}_+ . As initial state $|\bar{\psi}(0)\rangle$ we choose a state (in 313 the reduced block space) given as the totally symmetric 314 superposition of four localized states where Δx and Δy 315 are either 1 or N-1. Such a state corresponds in full 316 space to a plane wave in the center of mass direction 317 with total fixed momentum \mathbf{p}_+ and strongly localized 318 in the relative coordinate $\Delta \mathbf{r}$. The matrix size of (11) 319 is N^2 which corresponds to a complexity of N^6 for the 320 numerical diagonalization. 321

However, for a general lattice, such as the HTCmodel, one can exploit the particle exchange symmetry to reduce the effective matrix size to roughly $N^2/2$ and for the special cases of $p_{+x} = p_{+y}$ or either $p_{+x} = 0$ or $p_{+y} = 0$ a second symmetry allows a further reduction of the effective matrix size to $\approx N^2/4$ (for the Page 5 of 11 _####_

NN-model there are two or three symmetries for these cases with effective matrix sizes of $\approx N^2/4$ or $\approx N^2/8$ respectively; see [12] and Appendix A for details).

In view of this, we have been able to compute numer-331 ically the exact time evolution for the HTC-model in 332 certain \mathbf{p}_+ sectors for a lattice size up to N = 384 for 333 the case of two symmetries and a limited number of 334 different other parameters (values of \mathbf{p}_+ and U). For 335 the case of one symmetry and the exploration of all 336 possible values of p_{+x} and p_{+y} we used the maximum 337 system size N = 192. We also implemented more expen-338 sive computations where no or less possible symmetries 339 are used to verify (at smaller values of N) that they 340 provide identical numerical results. 341

We compute the wavefunction in block representa-342 tion $\bar{\psi}(\mathbf{p}_+, \Delta \mathbf{r})$ for about 700 time values t = 0 and 343 $10^{-1} \leq t/\Delta t \leq 10^6$ (with a uniform density in loga-344 rithmic scale) where $\Delta t = 1/B_2 = 1/(16 + U)$ is the 345 time step already used for the Trotter formula approx-346 imation given as the inverse bandwidth for the case of 347 the NN-model which is the smallest time (inverse of the 348 largest energy) scale of the system. 349

From the wavefunction we extract in a similar way as in Sect. 3 the pair formation probability w_{10} by summing the (normalized) wavefunction density $|\psi(\mathbf{p}_+, \Delta \mathbf{r})|^2$ at fixed \mathbf{p}_+ over the 21 × 21 square with $|\Delta \bar{x}| \leq 10$ and $|\Delta \bar{y}| \leq 10$. We also compute the inverse participation ratio:

$$\xi_{\rm IPR} = \left(\sum_{\Delta \mathbf{r}} |\bar{\psi}(\mathbf{p}_+, \Delta \mathbf{r})|^4\right)^{-1} \tag{12}$$

which gives roughly the number of lattice sites (in $\Delta \mathbf{r}$ 357 space) over which the wavefunction is localized. Both 358 quantities w_{10} and ξ_{IPR} converge typically rather well 359 to their stationary values at times $t > 10^3 \Delta t$ with 360 some time dependent fluctuations. Therefore for the 361 cases where we are interested in the long time limit 362 we compute the wavefunction only for 70 times values 363 (in the same interval as above with uniform logarith-364 mic density) and take the average over the 21 values 365 with $10^4 \leq t/\Delta t \leq 10^6$. We note that for the case 366 of a uniform wavefunction density the *ergodic* values 367 are $w_{10,\text{erg}} = (21/N)^2$ and $\xi_{\text{IPR,erg}} = N^2$. Values of 368 w_{10} significantly above $w_{10,erg}$ or of ξ_{IPR} below $\xi_{IPR,erg}$ 369 indicate an enhanced probability for the formation of 370 compact electron pairs. 371

We also mention that both quantities w_{10} and ξ_{IPR} 372 are invariant with respect to the three transforma-373 tions $p_{+x} \leftrightarrow p_{+y}, p_{+x} \rightarrow -p_{+x}$ and $p_{+y} \rightarrow -p_{+y}$ 374 (or $p_{+x} \rightarrow 2\pi - p_{+x}$ and $p_{+y} \rightarrow 2\pi - p_{+y}$) corre-375 sponding to reflections at the x-y diagonal, the y-axis 376 and the x-axis. Even though the effective block Hamil-377 tonian (11) is not (always) invariant with respect to 378 all three of these transformations (see Appendix A for 379 details), the choice of an invariant initial state ensures 380 that at finite times the wavefunction in block space sat-381 is first for example the identity $\bar{\psi}(p_{+x}, p_{+y}, \Delta x, \Delta y) =$ 382 $\bar{\psi}(p_{+y}, p_{+x}, \Delta y, \Delta x)$ (and similarly for the other reflec-383

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tions). In other words a certain reflection transforma-384 tion for \mathbf{p}_{+} results in the equivalent transformation for 385 the time dependent block space wavefunction in $\Delta \mathbf{r}$ 386 space. Obviously the two quantities w_{10} and ξ_{IPR} do 387 not change with respect to these transformations (in 388 $\Delta \mathbf{r}$ space) and therefore they are conserved. As a result 389 it is sufficient to compute these quantities only for the 390 triangle $0 \le p_{+y} \le p_{+x} \le \pi$. 391

In the following sections we present the results for these quantities and the wavefunction in block representation.

5 Phase diagram of pair formation

The phase diagram of the long time average of the pair formation probability w_{10} in the \mathbf{p}_+ -plane is shown in Fig. 4 for both models and the interaction values U = 0.5, 2. As expected from the features of the effective bandwidth shown in (the top panels of) Fig. 1, we find that globally for both models the pair formation probability is clearly maximal at $\mathbf{p}_+ = (\pi, \pi)$ and minimal at $\mathbf{p}_+ = (0, 0)$. Furthermore, the size of the maxi-



Fig. 4 Phase diagram of electron pair formation in the plane of pair momentum $\mathbf{p}_{+} = (p_{+x}, p_{+y})$ for the NN-lattice (left panels), the HTC-lattice (right panels) and the interaction values U = 0.5 (top panels), U = 2 (bottom panels). Shown is the pair formation probability w_{10} for N = 192obtained from the exact time evolution for each sector of \mathbf{p}_+ with an initial electron distance $\Delta \bar{x} = \Delta \bar{y} = 1$ and computed from an average over 21 time values in the interval $10^4 \leq t/\Delta t \leq 10^6$. In all panels the horizontal (vertical) axis corresponds to p_{+x} $(p_{+y}) \in [0,\pi]$ and the numerical values of the color bar correspond to the ratio of w_{10} over its maximal value. The maximum values corresponding to the red region at the top right corner $\mathbf{p}_{+} = (\pi, \pi)$ are $w_{10} = 1$ (both left panels), $w_{10} = 0.4510$ (top right) and $w_{10} = 0.8542$ (bottom right). For comparison the ergodic value is $w_{10,\text{erg.}} = (21/192)^2 = 0.01196$

mum region is significantly stronger for U = 2 than for U = 0.5 which is also to be expected. Thus for these \mathbf{p}_+ values even a relatively weak or moderate Coulomb repulsion creates quite strongly coupled electron pairs. 404

For the NN-model the top $(p_{+y} = \pi)$ or right $(p_{+x} = \pi)$ 408 π) boundary also provide large values with $w_{10} \approx 0.5$ 409 and the width of these regions is stronger for U = 2410 than for U = 0.5. However, for U = 2 also the remain-411 ing region provides values between 0.14 and 0.25 of the 412 maximum value which are clearly above the ergodic 413 value 0.012. Even for U = 0.5 the remaining region 414 is mostly ≈ 0.04 (with some part close to 0.25) which 415 is still above the ergodic value. 416

For the HTC-model the situation is more compli-417 cated. The boundary regions are more limited, espe-418 cially for U = 0.5. However, for the remaining region 419 there is a new interesting feature which is a signifi-420 cantly enhanced "green-circle" of approximate radius 421 $r_g = \sqrt{p_{+x}^2 + p_{+y}^2} \approx 0.85\pi$ for $U = 0.5 \ (w_{10} \approx 0.14).$ 422 For U = 2 there is also a circle $(w_{10} \approx 0.20)$ with 423 approximate radius $r_g \approx 0.75\pi$. This circle seems to be 424 less pronounced despite its larger value of w_{10} as com-425 pared to U = 0.5 due to the fact that the maximum 426 value for U = 2 ($w_{10} \approx 0.85$ at $\mathbf{p}_+ = (\pi, \pi)$) is roughly 427 twice the maximum value for U = 0.5 ($w_{10} \approx 0.45$). 428 This structure cannot be explained by the behavior of 429 the effective bandwidth. The minimum values of w_{10} at 430 $\mathbf{p}_{+} \approx (0,0)$ are $w_{10} \approx 0.02 - 0.03 \ (w_{10} \approx 0.09 - 0.10)$ 431 for U = 0.5 (U = 2) which are slightly (significantly) 432 above the ergodic value 0.012. 433

Globally, nearly for all values of \mathbf{p}_+ , for both models and both interaction values U = 0.5, 2 there is an enhanced probability to create coupled electron pairs.

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The above observations are perfectly confirmed by the phase diagram for the inverse participation ratio ξ_{IPR} which is shown in Fig. 5 for the same cases and raw data of Fig. 4. Large (small) values of ξ_{IPR} corresponds to small (large) values of w_{10} and a small (strong) pair formation probability. Here minimum (maximum) values are at $\mathbf{p}_{+} = (\pi, \pi)$ ($\mathbf{p}_{+} = (0, 0)$) as for the effective bandwidth of Fig. 1 (see figure caption for the numerical minimum, maximum and ergodic values). The boundary structure of the NN-model and the circle-structure of the HTC-case are also clearly visible.

We have also computed the long time average of the pair formation probability for the HTC-model at larger system size N = 256 and the special cases of either $p_{+x} = p_{+y}$ or $p_{+y} = 0$ where the additional second symmetry (see discussion in the previous section and Appendix A) reduces the computational effort. In this way we can explore the diagonal and right boundary of the phase diagram in more detail.

Figure 6 shows w_{10} for the HTC-model, N = 256, $p_+ = p_{+x} = p_{+y}$ and both interaction values U = 0.5, 2 as a function of the parameter $\nu = (1 - \cos(p_+/2))/2$. Both curves clearly confirm some of the observations of the phase diagrams, i.e. strongest pair formation probability at $\nu = 0.5$ ($p_{+x,y} = \pi$) with a somewhat larger maximum range for U = 2 as compared to U = 0.5and a minimal pair formation probability at $\nu = 0$

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Fig. 5 Phase diagram of the inverse participation ratio $\xi_{\rm IPR}$ in the plane of pair momentum $\mathbf{p}_+ = (p_{+x}, p_{+y})$ and computed from the same states, data and cases as in Fig. 4 (U = 0.5; 2 in top/bottom panels; N = 192). The maximum values corresponding to the red region close to the bottom left corner $\mathbf{p}_+ = (0,0)$ are $\xi_{\rm IPR} = 15300$ (top left), $\xi_{\rm IPR} = 4300$ (bottom left), $\xi_{\rm IPR} = 18200$ (top right) and $\xi_{\rm IPR} = 8600$ (bottom right). The minimum values at the top right corner $\mathbf{p}_+ = (\pi,\pi)$ are $\xi_{\rm IPR} = 14.87$ (top left), $\xi_{\rm IPR} = 4$ (bottom left), $\xi_{\rm IPR} = 126$ (top right) and $\xi_{\rm IPR} = 9.8$ (bottom right). For comparison the ergodic value is $\xi_{\rm IPR,erg} = 192^2 = 36864$ and the value for the totally symmetrized and localized initial state is $\xi_{\rm IPR,init} = 4$



Fig. 6 Dependence of the electron pair formation probability w_{10} on $\nu = (1 - \cos(p_+/2))/2$ for $p_+ = p_{+x} = p_{+y}$ and the HTC-model at U = 0.5, 2 and N = 256. w_{10} is computed from the same long time average as in Fig. 4. The maximum value at $\nu = \nu_{\text{max}} = 0.5$ is $w_{10} = 0.8535$ ($w_{10} = 0.4456$) for U = 2 (U = 0.5). See Fig. 5 of [12] for the corresponding figure for the NN-model. For the NN-model the maximum value at $\nu = \nu_{\text{max}} = 0.5$ is exactly $w_{10} = 1$ for both interaction values

($p_{+x,y} = 0$) or $\nu = 1$ ($p_{+x,y} = 2\pi$) but still clearly above the ergodic limit for all cases. The precise numer-



Fig. 7 Dependence of the electron pair formation probability w_{10} on $\nu = (1 - \cos(p_+/2))/2$ for $p_+ = p_{+x}, p_{+y} = 0$ and the HTC-model at U = 0.5, 2 and $N = 256. w_{10}$ is computed from the same long time average as in Fig. 4. The value at $\nu = 0.5$ is $w_{10} = 0.2302 \ (w_{10} = 0.01479)$ for $U = 2 \ (U = 0.5)$

ical maximum values of w_{10} at $\nu = 0.5$ are slightly different from, but still in general agreement with, those of Fig. 4 due to the different system size. The corresponding figure for the NN-model was already given in [12].

Figure 7 shows w_{10} for the HTC model, N = 256and both interaction values U = 0.5, 2 at the boundary $p_{+y} = 0$ as a function of the parameter $\nu =$ $(1-\cos(p_+/2))/2$ with $p_+ = p_{+x}$. The curve for U = 0.5clearly shows a strong local maximum at $\nu \approx 0.5 \pm 0.1$ $(p_+ \approx \pi \pm \pi/8)$ corresponding to green-circle with radius $r_g \approx 0.85\pi$ visible in the phase diagram. For U = 2 there are higher but less pronounced local maxima at $\nu \approx 0.5 \pm 0.19$ corresponding to the slightly visible circle for this case. However, at U = 2 the value of w_{10} at $\nu = 0.5$ is rather high while at U = 0.5 its value at $\nu = 0.5$ is quite low but still clearly above the ergodic limit.

Figures S1 and S2 of the supplementary material are similar to Figs. 6 and 7 respectively but for the inverse participation ratio ξ_{IPR} .

We note that of course in the limit of strong interaction between electrons being significantly larger than the energy band width of noninteracting particles $(U \gg 8)$ there appear coupled states of pairs forming a separated energy band. However, our theory and numerical results show that the pair formation takes place even at much smaller interactions (e.g. $U = 0.5 \ll 8$). This is the result of an effective narrow energy band appearing due to pair momentum conservation.

6 Time evolution of pair formation

We also computed a more precise time evolution of the $_{497}$ pair formation probability w_{10} for the larger system $_{498}$ size N = 384 and certain specific cases $p_{+x} = p_{+y}$ $_{499}$

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Fig. 8 Time dependence of the pair formation probability w_{10} for U = 0.5 (top panel) and U = 2 (bottom panel) and different cases of the exact time evolution in certain $\mathbf{p}_{+} = (p_{+x}, p_{+y})$ sectors at N = 384 and the full space Trotter formula time evolution at N = 128. The dashed lines correspond to the ergodic values $(21/N)^2 = 0.0269$ for N = 128 (grey dashed) and $(21/N)^2 = 0.00299$ for N = 384 (black dashed)

 $\in \{0, 2\pi/3, \pi\}$ and $p_{+y} = 0$ with $p_{+x} \in \{7\pi/8, \pi\}$. 500 The results together with the full space results using 501 the Trotter formula approximation at N = 128 are 502 shown in Fig. 8 for U = 0.5, 2. In all cases the value 503 of w_{10} starts decaying from its initial value $w_{10} = 1$ at 504 $t/\Delta t > 20-30$ and converges to a long time saturation 505 value for $t/\Delta t > 10^3$ sometimes with some temporal 506 quasi-periodic fluctuations. In most cases the satura-507 tion values at U = 2 are clearly larger than for U = 0.5508 except for the case $p_{+y} = 0$ and $p_{+x} = 7\pi/8$ where both 509 saturation values are somewhat comparable. In partic-510 ular, at U = 0.5 the value for $p_{+y} = 0$ and $p_{+x} = 7\pi/8$ 511 is significantly larger than the value for $p_{+y} = 0$ and 512 $p_{+x} = \pi$ while at U = 2 it is the inverse. This observa-513 tion is in agreement with the appearance of the green-514 circle in the phase diagram where for U = 0.5 the circle 515 is dominant in comparison to the right boundary while 516 for U = 2 it is dominated by the right boundary. 517

The saturation value of the data obtained by the Trotter formula approximation, which somehow corre-



Fig. 9 Color plot of wavefunction amplitude $|\bar{\psi}(\mathbf{p}_+, \Delta \mathbf{r})|$ in block representation in $\Delta \mathbf{r} = (\Delta x, \Delta y)$ plane obtained from the 2D quantum time evolution for the HTC lattice with N = 384 and the sector $p_{+x} = 7\pi/8$, $p_{+y} = 0$. All panels show a zoomed region $0 \leq \Delta x, \Delta y < 32$. Left (right) panels correspond to $t = 100 \,\Delta t$ ($t = 10^5 \Delta t$; with $\Delta t = 1/B_2 = 1/(16 + U)$) and top (bottom) panels correspond to interaction strength U = 0.5 (U = 2). Related videos are available at [15]

sponds to an average over all possible \mathbf{p} + values, is quite 520 low if compared to the case $\mathbf{p}_+ = 0$ but still clearly 521 above the corresponding ergodic value (for its reduced 522 system size). Also for most of the other cases the sat-523 uration value is clearly above the ergodic value except 524 for U = 0.5, $p_{+y} = 0$ and $p_{+x} = \pi$ where the curve is a 525 $t\approx 10^3 \varDelta t$ even below the ergodic value and saturates 526 later at a value only slightly above the ergodic value. 527

Motivated by the observation of the green-circle at 528 radius $r_g \approx 0.85\pi$ in the phase diagram for U = 0.5, 529 we show in Fig. 9 the wavefunction amplitude at $p_{+x} =$ 530 $7\pi/8$, $p_{+y} = 0$, N = 384 and both interaction values 531 U = 0.5, 2 and two time values $t/\Delta t = 100, 10^5$. The 532 first observation is that the diffusive spreading in x-533 direction is strongly suppressed if compared to the y-534 direction which is expected since p_{+x} is rather close to 535 π while $p_{+y} = 0$. 536

At U = 0.5 the steady-state at $t/\Delta t = 10^5$, despite a 537 smaller value of $w_{10} = 0.0754$ if compared to $w_{10} =$ 538 0.1342 at U = 2, has a larger spatial extension of 539 \sim 30 lattice sites compared to \sim 12 lattice sites for 540 U = 2. This in rough qualitative agreement with the 541 values $\xi_{\text{IPR}} = 940$ (for U = 0.5) and $\xi_{\text{IPR}} = 268$ (for 542 U = 2). However, a large amount of the contribution to 543 the inverse participation ratio comes from the remain-544 ing probability of about 87-90% which has uniformly 545 spread over the full lattice thus explaining the differ-546 ence between ξ_{IPR} and the visible spatial extension in 547 Fig. 9 (for this reason we consider w_{10} to be a more suit-548 able quantity than ξ_{IPR} to describe the pair formation 549 probability). 550

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551 7 Comparison of two lattice models

Above we studied the properties of Coulomb pairs in the 552 two lattice models: the nearest neighbor tight-binding 553 model (NN-model) and the HTC model with the long-554 ranged hoppings based on the results reported in [6] (see 555 Eq. (4)). We point that our developed general formalism 556 allows to consider the two interacting electrons on a 557 broad type of lattices with long-ranged hoppings. Here 558 we presented the results only for two lattices of NN-559 and HTC-models. 560

The main features of the NN-model have been 561 reported in [12]. This model has a certain somewhat 562 specific property that the width of an effective mini-563 mal energy band $\Delta E_{b,\min}(\mathbf{p}_+)$ (see Eq. (7)) becomes 564 zero for a certain momentum. However, for the HTC-565 model the minimal width $\Delta E_{b,\min}(\mathbf{p}_+)$ remains small 566 but finite. Inspite of this difference between the two 567 models the formation of Coulomb pairs exists in both 568 models for moderate interactions as it is shown by 569 the results presented above. Another difference between 570 two models is that the kinetic energy E_c (see Eq.(6)) 571 at $\mathbf{p}_1 = \mathbf{p}_2 = \mathbf{p}_+/2$ is zero only at one point in the 572 momentum plane for the NN-model and it is zero along 573 a finte line segment for the HTC-model (see Fig. 1). 574

In presence of interaction the above differences 575 between two models lead to a very different structure 576 of probability of Coulomb pair formation in the phase 577 diagram in the plane of pair momentum as it is shown 578 in Fig. 4: there is only one maximum of this probabil-579 ity for the NN-model in one point of the plane, while 580 for the HTC-model there is an additional maximum 581 of probability along a "green-circle" in the momentum 582 plane. Thus the phase volume with a significant prob-583 ability of pair formation is significantly larger for the 584 HTC-model. This feature can play a significant role at 585 finite electron densities where the high space volume 586 is important for specific dopping values corresponding 587 to energies of this "green-circle". Indeed, the results of 588 Fig. 10 show that the range of high pair formation prob-589 ability, in dependence of doping ν_{2D} , is broader for the 590 HTC-model. 591

⁵⁹² Finally, we point out that the phase diagram of the ⁵⁹³ Coulomb pair formation of Fig. 4 is obtained for both ⁵⁹⁴ models only in this work (in [12] for the NN-model this ⁵⁹⁵ probability was obtained only along a line $p_{+x} = p_{+y}$ ⁵⁹⁶ and not in the whole momentum plane).

597 8 Results overview

The discussion of the phase diagram given in Fig. 4 has 598 shown that the pair formation probability is maximal 599 at the point $\mathbf{p}_{+} = (\pi, \pi)$. However, the surrounding 600 region to this point is quite small if compared to the 601 green-circle where we have a somewhat more modest 602 pair formation probability. In terms of available values 603 of \mathbf{p}_+ the latter region is possibly more important. In 604 order to analyze this point in a more quantitative way, 605



Fig. 10 Dependence of the electron pair formation probability w_{10} on the effective 2D filling factor ν_{2D} for the NN-lattice (top) and the HTC-lattice (bottom). The values of w_{10} have been obtained from the data of Fig. 4 (for N = 192) by an average along lines of constant electron pair energy E_c at momenta $\mathbf{p}_1 = \mathbf{p}_2 = \mathbf{p}_+/2$ with $p_{+x}, p_{+y} \in [0, 2\pi]$. Lowest (largest) energy corresponds to $\nu_{2D} = 0$ ($\nu_{2D} = 1$). The data points shown correspond to an effective histogram with bin width $\Delta\nu_{2D} \approx 0.01$. The red (blue) curve corresponds to the interaction value U = 2 (U = 0.5) and the grey dashed line corresponds to the ergodic value $(21/192)^2 = 0.01196$

we assume a simple model where both electrons have 606 the same momentum $\mathbf{p}_{\perp}/2$ (i.e. $\Delta p = 0$) and where the 607 available states of this type are filled from smallest to 608 largest energies. We subdivide these states, ordered in 609 energy, in slices of equal number ($\sim 1/100$ of all avail-610 able states) and compute the average of w_{10} for each 611 slice which is equivalent to the average of w_{10} at lines of 612 constant energy. In Fig. 10, we show the dependence of 613 this average on the effective 2D-filling factor ν_{2D} which 614 is the weight of slices below a certain energy. 615

For the NN-model we observe a strong peak at ν_{2D} = 616 0.2 (and similarly at $\nu_{2D} = 0.8$ due to symmetry). 617 This peak is caused by the combination of the maxi-618 mum point at $\mathbf{p}_{+} = (\pi, \pi)$ and rather strong (top or 619 right) boundary contributions visible in the left pan-620 els of Fig. 4. For the HTC-model at U = 2 this peak 621 is still visible but its value is reduced. However, for 622 U = 0.5, there are two separated peaks, a stronger one 623

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at $\nu_{2D} \approx 0.15$ related to the average over the green circle at radius $r_g \approx 0.85$ and a second lower peak at $\nu_{2D} \approx 0.24$ related to the average of the maximum region close to $\mathbf{p}_+ = (\pi, \pi)$. For this particular case, the green circle has a stronger global contribution to the pair formation probability than the maximum region at $\mathbf{p}_+ = (\pi, \pi)$.

We note that the size of pairs δr_{2e} is rather small 631 being given by only a few lattice sizes (e.g. $\delta r_{2e} \approx 3$ at 632 U = 0.5 according to Fig. 9). Thus the effective cou-633 pling energy of a pair can be estimated as $E_{2e} \approx U/\delta r_{2e}$. 634 Thus we suppose that for temperatures being smaller 635 than this effective energy gap $(T < E_{2e})$ the ther-636 mal fluctuations, decoherence and dissipative effects 637 will be significantly suppressed. However, the analysis 638 639 of decoherence effects should be investigated in further detailed studies. 640

641 9 Discussion

In our studies we analyzed the electron pair formation 642 in a tight-binding model of La-based cuprate supercon-643 ductors induced by Coulomb repulsion. Our analyti-644 cal and numerical results show that even a repulsive 645 Coulomb interaction can form two electron pairs with 646 a high probability. Such pairs have a compact size and 647 propagate through the whole system. We expect that 648 such pairs may contribute to the emergence of superconductivity in La-based cuprates. 650

Of course, our analysis only considers two electrons 651 and in a real system at finite electron density there 652 is a Fermi sea which can modify electron interactions. 653 However, we expect that electrons significantly below 654 the Fermi energy will only create a mean-field poten-655 tial which will not significantly affect interacting elec-656 trons with energies in the vicinity of the Fermi energy. A 657 detailed investigation of effects of finite electron density 658 on the Coulomb pair formation represents an important 659 task for future studies. 660

In this work we did not solve the problem of La-661 based cuprate superconductors. Indeed, this is a very 662 complex problem which remains unsolved since 1986 till 663 present. Here we discuss a new mechanism of formation 664 of electron pairs by the Coulomb repulsion. We show 665 that our mechanism is rather generic and it works for 666 repulsive electrons on various types of long-ranged or 667 nearest neighbor hopping lattices. The effects of finite 668 electron density still should be investigated for this 669 new mechanism. But we hope that the two repulsive 670 particles approach described here will allow to under-671 stand deeper the physics of La-based cuprate supercon-672 ductors. Indeed, the size of pairs in cuprates is rather 673 small (about 15 angstroms [2] being only by a factor 10 674 larger than the inter-atomic distance) compared to the 675 BCS case (with a typical size of about 1000 angstroms) 676 and more [2]). For the Coulomb pairs studied here the 677 pair size is only by a factor 10 larger than the lattice 678 constant (see e.g. Fig. 3). Thus we expect that future 679 investigations of properties of Coulomb electron pairs 680

at finite density will bring new insights in cuprate type superconductivity. Finally, the Cooper pairs were also first studied only for two electrons [16].

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A Appendix

In this appendix we present the derivation of the block 695 Hamiltonian (11) and a more detailed discussion about its 696 discrete symmetries. In order to simplify the notations, we 697 will use here the full set $\mathcal{A}' = \mathcal{A} \cup (-\mathcal{A})$ of neighbor vectors 698 (in the full and not only half plane) for the summation over 699 the vectors \mathbf{a} which allows to reduce the number of terms 700 in the following expressions. The TIP Hamiltonian (5) can 701 then be written in a more explicit form as: 702

$$H = -\sum_{\mathbf{r}_1, \mathbf{r}_2} \sum_{\mathbf{a} \in \mathcal{A}'} t_{\mathbf{a}} \left(|\mathbf{r}_1, \mathbf{r}_2\rangle \langle \mathbf{r}_1 + \mathbf{a}, \mathbf{r}_2| + |\mathbf{r}_1, \mathbf{r}_2\rangle \langle \mathbf{r}_1, \mathbf{r}_2 - \mathbf{a}| \right)$$
 703

$$+\sum_{\mathbf{r}_1,\mathbf{r}_2} \bar{U}(\mathbf{r}_2-\mathbf{r}_1)|\mathbf{r}_1,\mathbf{r}_2\rangle\langle\mathbf{r}_1,\mathbf{r}_2|$$
(13) 70

where for convenience we have written " $\mathbf{r}_2 - \mathbf{a}$ " instead of " $\mathbf{r}_2 + \mathbf{a}$ " (in the second term of the first line) since for $\mathbf{a} \in \mathcal{A}'$ also $-\mathbf{a} \in \mathcal{A}'$. Furthermore, the terms with shifts of \mathbf{a} in the left side have been absorbed by the increased set \mathcal{A}' (with respect to \mathcal{A} used in (1)) combined with a subsequent shift of the summation index \mathbf{r}_1 or \mathbf{r}_2 and exploiting the periodic boundary conditions.

Applying (13) to a block basis state (10) we find that:

$$H|\mathbf{p}_{+},\Delta\mathbf{r}\rangle = -\frac{1}{N}\sum_{\mathbf{r}_{1}}\sum_{\mathbf{a}\in\mathcal{A}'}t_{\mathbf{a}}\left(|\mathbf{r}_{1}-\mathbf{a},\mathbf{r}_{1}+\Delta\mathbf{r}\rangle\right)$$

$$e^{i\mathbf{p}_{+}\cdot(\mathbf{r}_{1}+\Delta\mathbf{r}/2)}$$
 714

$$+|\mathbf{r}_{1},\mathbf{r}_{1}+\Delta\mathbf{r}+\mathbf{a}\rangle e^{i\mathbf{p}_{+}\cdot(\mathbf{r}_{1}+\Delta\mathbf{r}/2)}$$
⁷¹⁵

$$+\bar{U}(\Delta \mathbf{r})|\mathbf{p}_{+},\Delta \mathbf{r}\rangle.$$
 (14) 716

Using the shift $\mathbf{r}_1 \rightarrow \mathbf{r}_1 + \mathbf{a}$ in the \mathbf{r}_1 -sum of the first line 717 of this expression we obtain: 718

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$$H|\mathbf{p}_{+}, \Delta \mathbf{r}\rangle = -\frac{1}{N} \sum_{\mathbf{r}_{1}} \sum_{\mathbf{a} \in \mathcal{A}'} t_{\mathbf{a}} \Big(|\mathbf{r}_{1}, \mathbf{r}_{1} + \Delta \mathbf{r} + \mathbf{a}\rangle$$
$$\times e^{i\mathbf{p}_{+} \cdot (\mathbf{r}_{1} + \mathbf{a} + \Delta \mathbf{r}/2)}$$

+
$$|\mathbf{r}_1, \mathbf{r}_1 + \Delta \mathbf{r} + \mathbf{a}\rangle e^{i\mathbf{p}_+ \cdot (\mathbf{r}_1 + \Delta \mathbf{r}/2)}$$

$$+ar{U}(arDelta {f r})|{f p}_+,arDelta {f r}
angle$$

which can be rewritten as: 723

$$\begin{array}{ll} {}_{724} & H|\mathbf{p}_{+}, \Delta \mathbf{r} \rangle = -\frac{1}{N} \sum_{\mathbf{r}_{1}} \sum_{\mathbf{a} \in \mathcal{A}'} t_{\mathbf{a}} |\mathbf{r}_{1}, \mathbf{r}_{1} + \Delta \mathbf{r} + \mathbf{a} \rangle \\ {}_{725} & \times e^{i\mathbf{p}_{+} \cdot [\mathbf{r}_{1} + (\Delta \mathbf{r} + \mathbf{a})/2]} \underbrace{\left(e^{i\mathbf{p}_{+} \cdot \mathbf{a}/2} + e^{-i\mathbf{p}_{+} \cdot \mathbf{a}/2}\right)}_{ \end{array}$$

$$\begin{aligned} +\bar{U}(\Delta\mathbf{r})|\mathbf{p}_{+},\Delta\mathbf{r}\rangle \\ &=-2\sum_{\mathbf{a}\in\mathcal{A}'}t_{\mathbf{a}}\cos(\mathbf{p}_{+}\cdot\mathbf{a}/2)|\mathbf{p}_{+},\Delta\mathbf{r}+\mathbf{a}\rangle \\ &+\bar{U}(\Delta\mathbf{r})|\mathbf{p}_{+},\Delta\mathbf{r}\rangle . \end{aligned}$$
(16)

The last expression provides exactly the effective block 729 Hamiltonian (11) if we replace the sum over $\mathbf{a} \in \mathcal{A}'$ by a 730 sum over $\mathbf{a} \in \mathcal{A}$ with two contributions "+a" and "-a" 731 and applying for the latter contribution a subsequent shift 732 733 $\Delta \mathbf{r} \rightarrow \Delta \mathbf{r} + \mathbf{a}$ in the $\Delta \mathbf{r}$ sum. However, there is one additional complication if $\Delta \mathbf{r} + \mathbf{a} = (\Delta x + a_x, \Delta y + a_y)$ in 734 (16) leaves the initial square of $\Delta x, \Delta y \in \{0, \dots, N-1\}$. 735 Then we have to add (subtract) N to (from) $\Delta x + a_x$ 736 and/or $\Delta y + a_y$ which provides according to (10) the factor 737 $e^{\pm ip_{+x}N/2} = e^{\pm i\pi l_{+x}} = (-1)^{l_{+x}}$ (for Δx and similarly for 738 Δy) resulting in either periodic or anti-periodic boundary 739 conditions in x- (y-)direction depending on the parity of the 740 integer index l_{+x} (l_{+y}) . 741

We close this appendix with a short discussion about the 742 discrete reflection symmetries of the block Hamiltonian (11)743 and the possibility to reduce its effective matrix size N^2 744 due to such symmetries. For the NN-model, as already dis-745 cussed in detail in [12], there are at least two symmetries 746 with respect to $\Delta x \to N - \Delta x$ (reflection at the Δy -axis) 747 or $\Delta y \to N - \Delta y$ (reflection at the Δx -axis) and in case 748 if $p_{+x} = p_{+y}$ there is a third symmetry with respect to 749 $\Delta x \leftrightarrow \Delta y$ (reflection at the $\Delta x \cdot \Delta y$ diagonal) which allows 750 for an effective matrix size of roughly either $N^2/4$ or $N^2/8$ 751 (if $p_{+x} = p_{+y}$). 752

However, for a more general lattice, such as the HTC-753 model, or more generally in presence of at least one neigh-754 bor vector $\mathbf{a} = (a_x, a_y)$ with both $a_x \neq 0$ and $a_y \neq 0$ 755 (e.g. $\mathbf{a} = (1, 1)$) the number of symmetries is reduced. 756 For the most generic case with $p_{+x} \neq p_{+y}, p_{+x} \neq 0$ and 757 $p_{+y} \neq 0$ there is only one symmetry corresponding to 758 particle exchange with two simultaneous transformations 759 $\Delta x \to N - \Delta x$ and $\Delta y \to N - \Delta y$ which allows for a reduc-760 tion of the effective matrix size to $\approx N^2/2$. In this case the 761 factors $\cos(\mathbf{p}_+ \cdot \mathbf{a}/2) = \cos[(p_{+x}a_x + p_{+y}a_y)/2]$ appearing in the effective hopping amplitudes are not modified because 762 the replacement $\mathbf{a} \to -\mathbf{a}$ due the symmetry transformation 763 only changes the global sign inside the cosine argument. 764 However, this is no longer true if we apply for example the 765 transformation $\Delta x \to N - \Delta x$ without modifying Δy which 766 is equivalent to the replacement of $(a_x, a_y) \rightarrow (-a_x, a_y)$ of 767 the neighbor vectors. Therefore a **single** reflection at the 768 $\varDelta y$ (or $\varDelta x)$ axis modifies the hopping amplitude (if both 769 $a_x \neq 0, a_y \neq 0$ and also both $p_{+x} \neq 0, p_{+y} \neq 0$ and (11) is 770 (in general) not invariant with respect to such transforma-771 tions. However, if either $p_{+x} = 0$ or $p_{+y} = 0$ the effective 772 hopping amplitudes are not modified with respect to these 773 two individual reflections and we have two symmetries with 774 an effective matrix size of $\approx N^2/4$. Also if $p_{+x} = p_{+y} \neq 0$ 775 we have two symmetries (particle exchange and reflection 776 at the $\Delta x - \Delta y$ diagonal) leading also to an effective matrix 777 size of $\approx N^2/4$. Finally, for the special case $p_{+x} = p_{+y} = 0$, 778 we have even three symmetries (as in the NN-Model for 779 $p_{+x} = p_{+y}$ with effective matrix size of $\approx N^2/8$. 780

References

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 $2\cos(\mathbf{p}_+\cdot\mathbf{a}/2)$

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