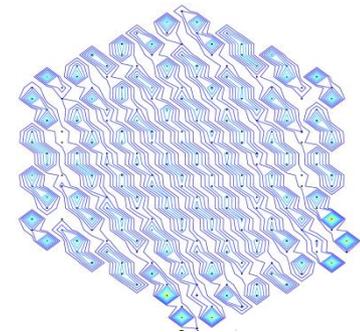
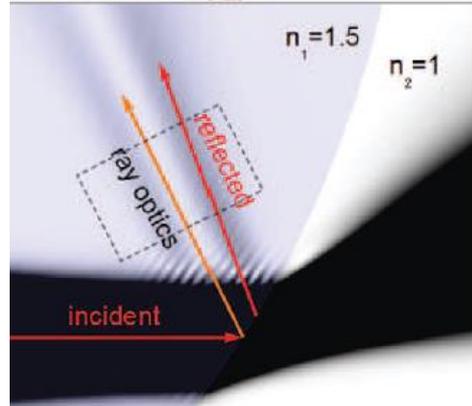
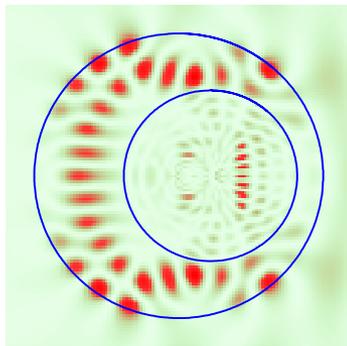


# Boundary-induced phenomena in mesoscopic systems

Martina Hentschel

Georg Röder, Pia Stockschläder, Jakob Kreismann, Philipp Müller, Lucia Baldauf

TU Ilmenau, Germany



# Outline

## I. Optical mesoscopic systems

Semiclassical effects at planar vs. curved interfaces

## II. Electronic mesoscopic systems

X-ray edge problem: Boundary signal determines photoabsorption cross section

Graphene: edge-state effect on photoabsorption

## III. Summary and Outlook

Research started at TU Ilmenau

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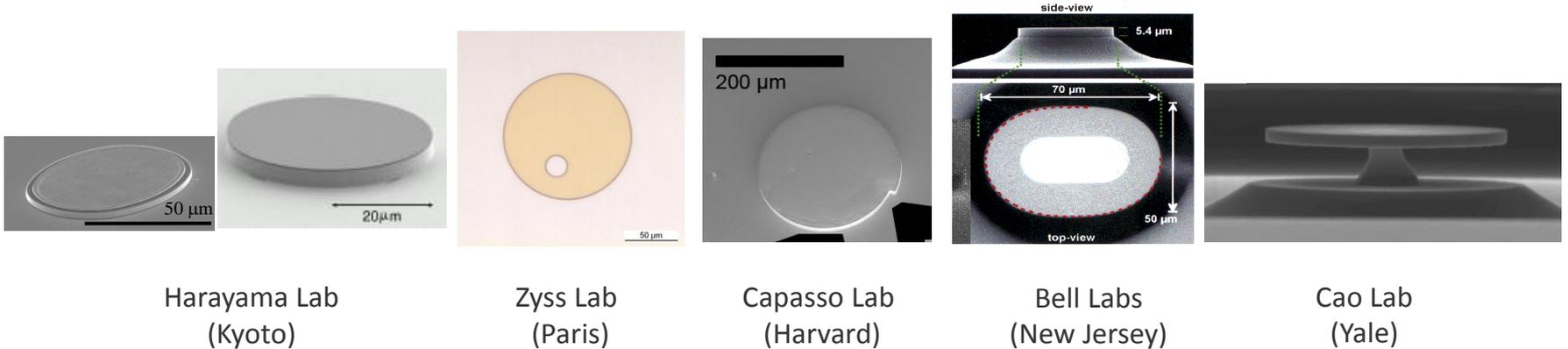
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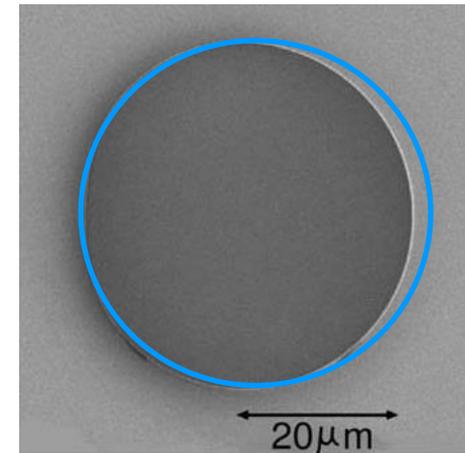
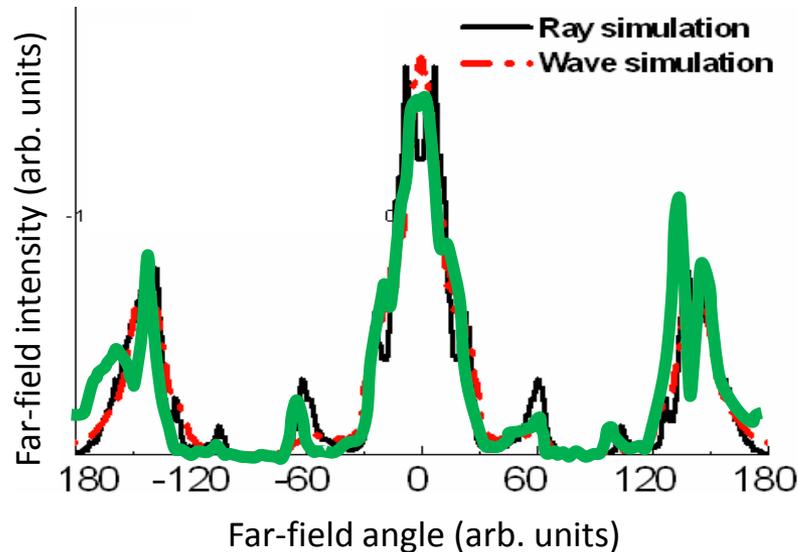
# Motivation: microdisk laser

- destroy rotational symmetry to achieve farfield directionality  
→ “deformed microdisk lasers”



- Limaçon shape  $r(\phi) = R(1 + \epsilon \cos \phi)$  with directional emission:

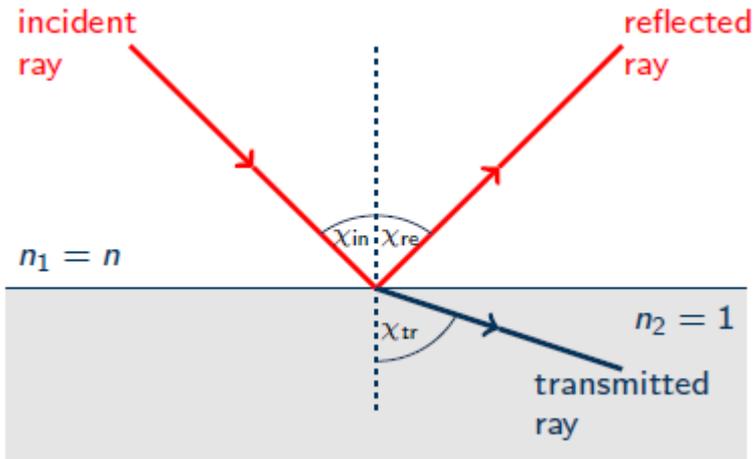
J. Wiersig and M. Hentschel, PRL **100**, 2008



Harayama Lab (Kyoto)

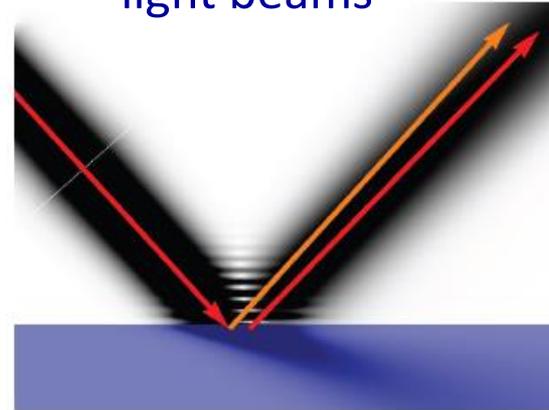
# Goos-Hänchen shift (GHS) and Fresnel filtering (FF)

geometric optics  
light rays



→ ray picture  
works very well in many cases

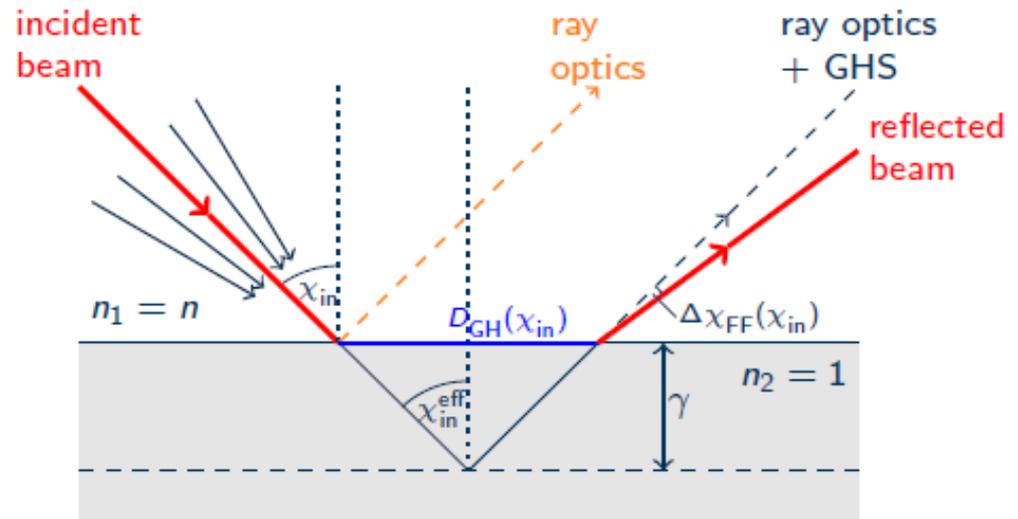
in reality  
light beams



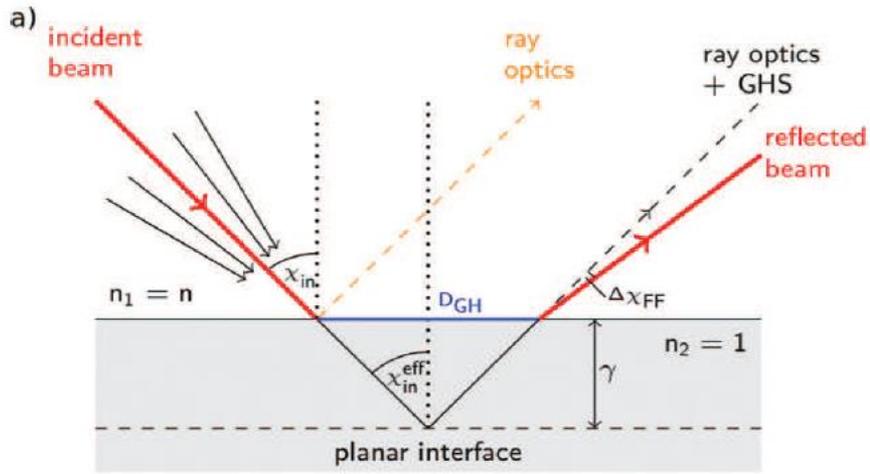
→ semiclassical corrections  $\sim \lambda$

Goos and Hänchen, Ann. Phys. 1947  
Artmann, Ann. Phys. 1948

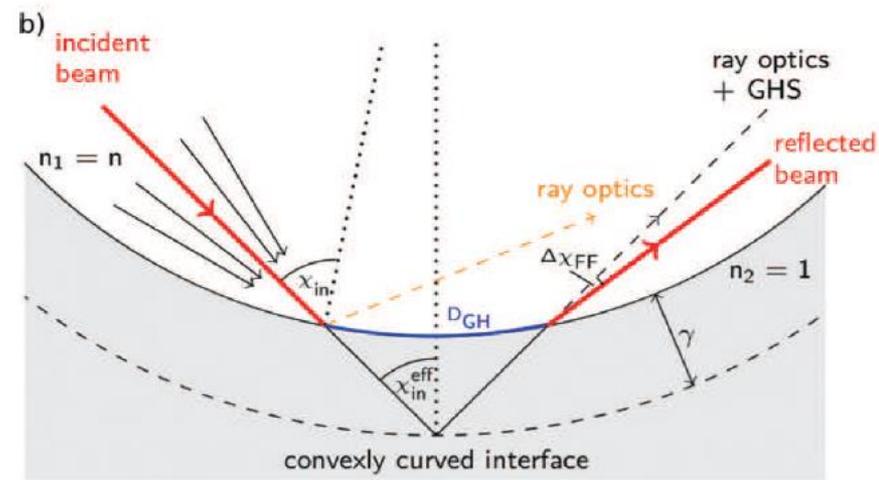
H. Tureci, D. Stone, Opt. Lett. 2002



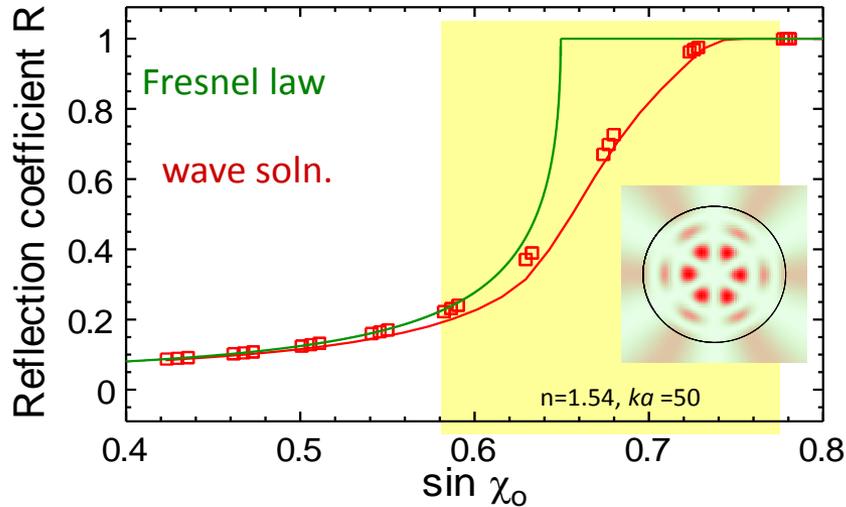
# Curvature dependence: effective angle of incidence and Fresnel laws



$$\chi_{\text{inc}} = \chi_{\text{inc}}^{\text{eff}}$$

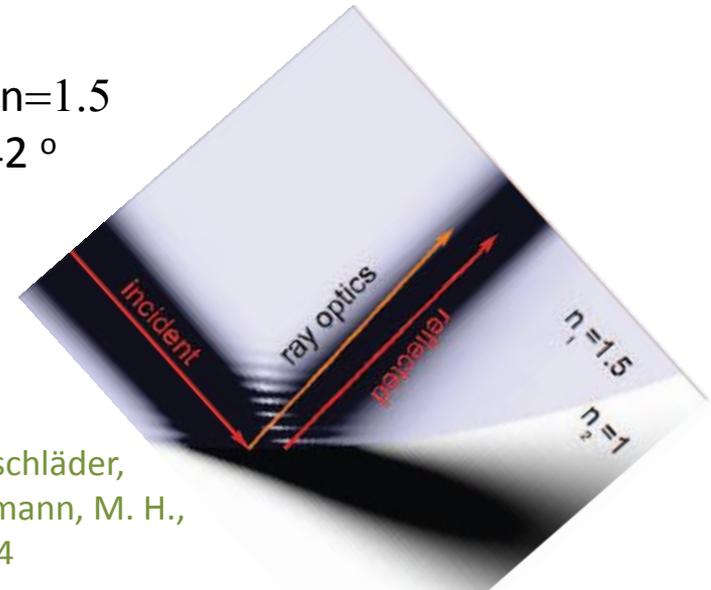


$$\chi_{\text{inc}} > \chi_{\text{inc}}^{\text{eff}}$$



M. Hentschel and H. Schomerus, PRE 2002

TE,  $n=1.5$   
 $\chi=42^\circ$

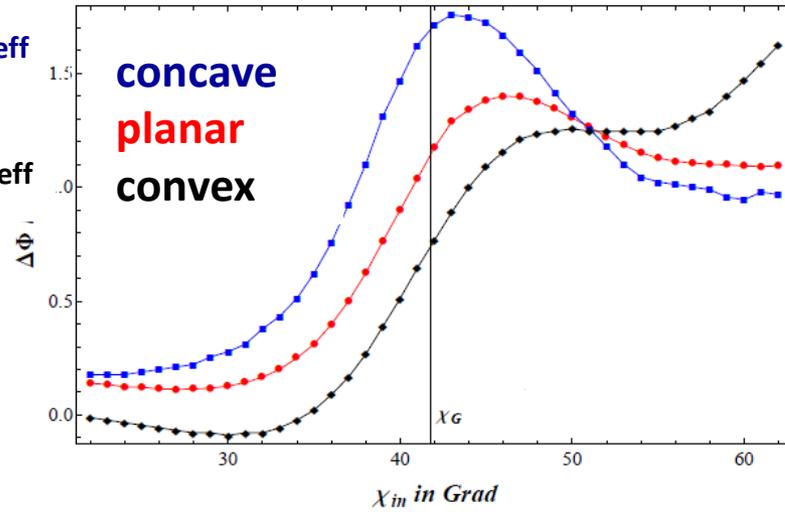


P. Stockschröder,  
J. Kreismann, M. H.,  
EPL 2014

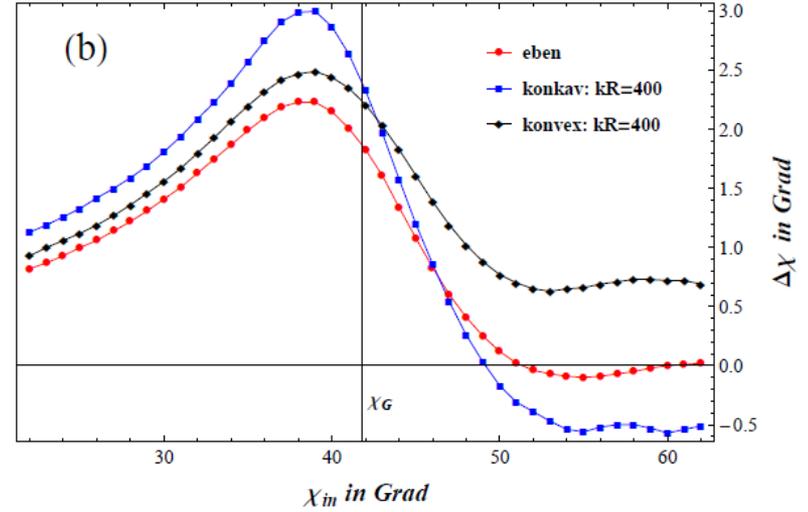
# Results: Dependence on curvature $\kappa = 1/R$

**GHS**

$\chi_{inc} < \chi_{inc}^{eff}$   
 $\chi_{inc}$   
 $\chi_{inc} > \chi_{inc}^{eff}$



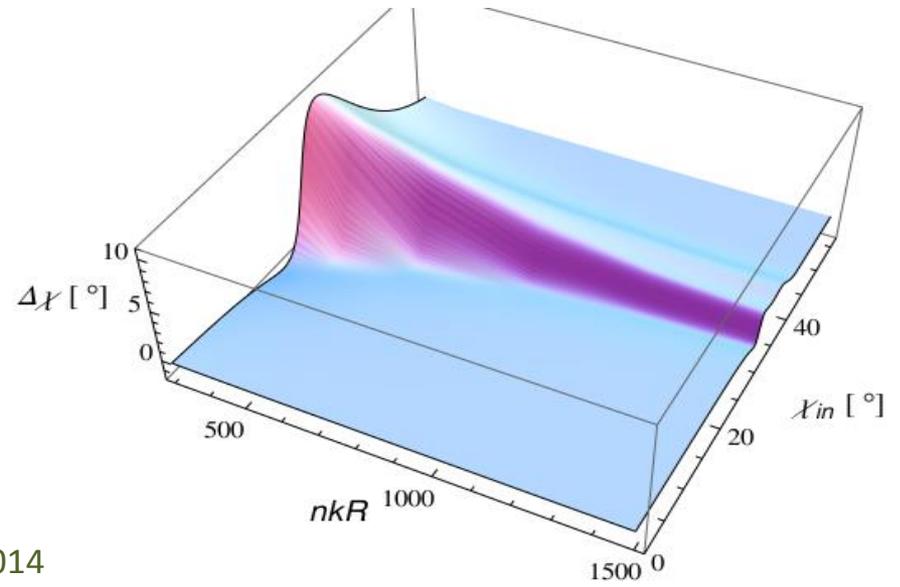
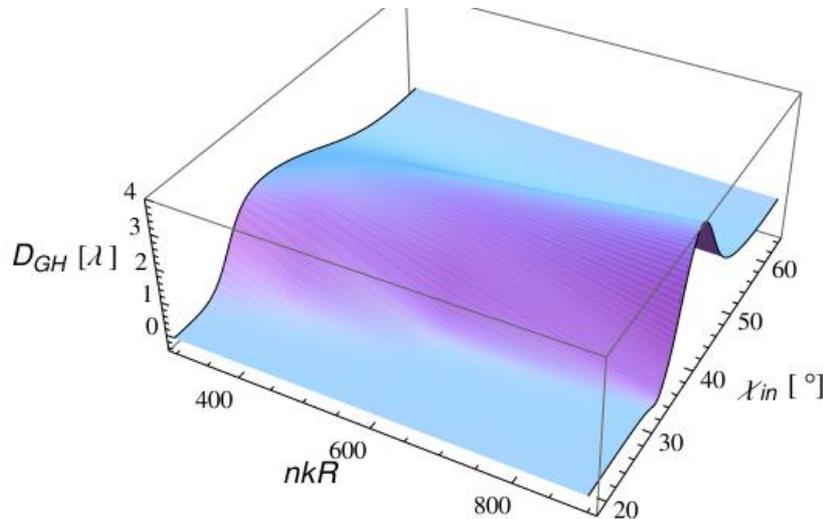
**FF**



$D_{GH} \approx 2 \gamma \tan \chi_{inc}^{eff}$   
 $\rightarrow$  **GHS decreases** with curvature:

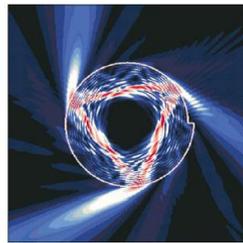
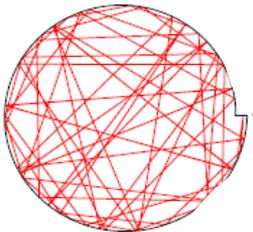
$\rightarrow$  **FF increases** with any curvature:  
 broader distribution of  $\chi_{inc}$

**TE**

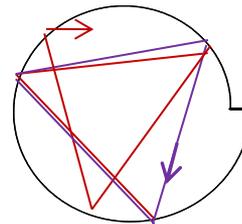


# Effects due to FF and GHS

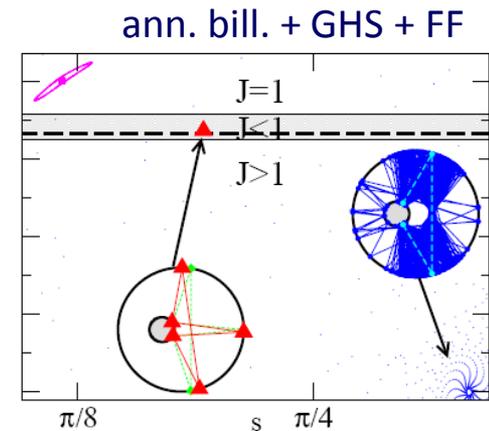
- **GHS** explains Fresnel laws at curved boundaries
- **GHS** can be implemented via an effective system boundary (depending on both  $\lambda$  and  $\kappa$ )
  
- **FF** corrects far field emission,  $\lambda$  and  $\kappa$  dependent
- **FF** destroys ray-path reversibility
- **FF** brings chirality in asymmetric cavities
  
- **FF** introduces non-Hamiltonian dynamics
- **FF** tends to regularize classically chaotic orbits



Lee et al., PRL **93**,2004



E. Altmann, G. Del Magno, and M.H., EPL **84**, 2008



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X-ray edge problem: Boundary signal determines photoabsorption cross section

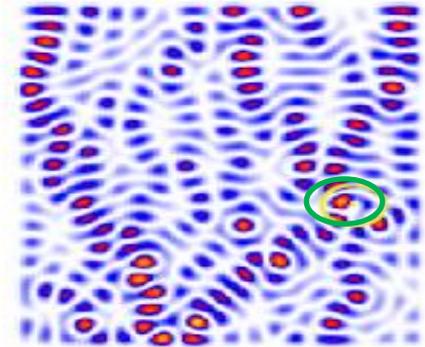
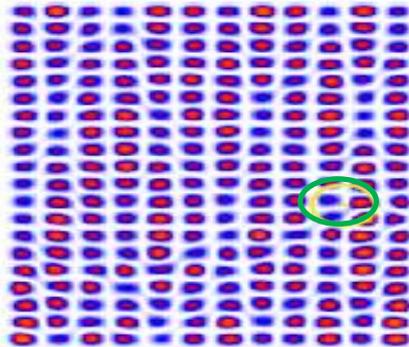
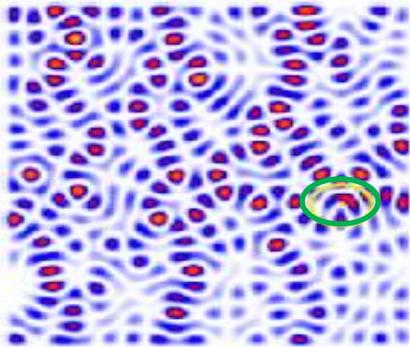
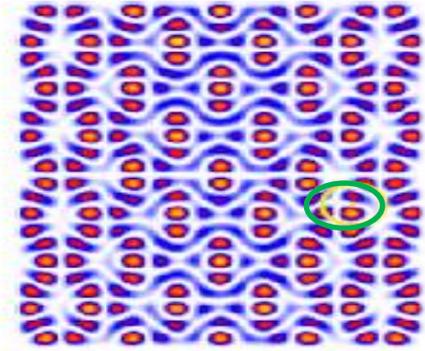
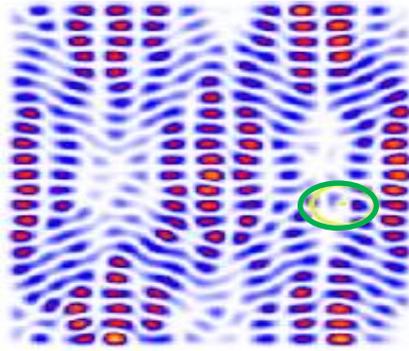
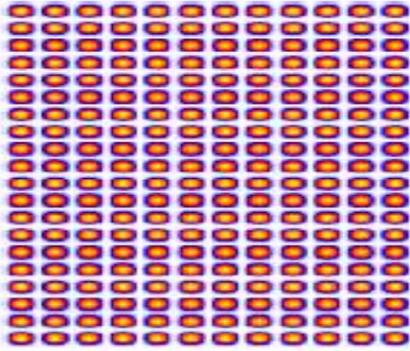
Graphene: edge-state effect on photoabsorption

## III. Summary and Outlook

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# Many-body effects: An example

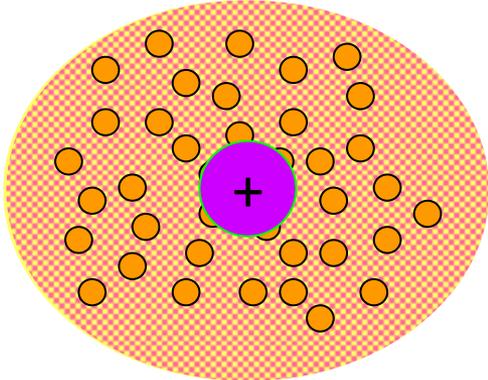
- rectangular quantum dot under localized perturbation



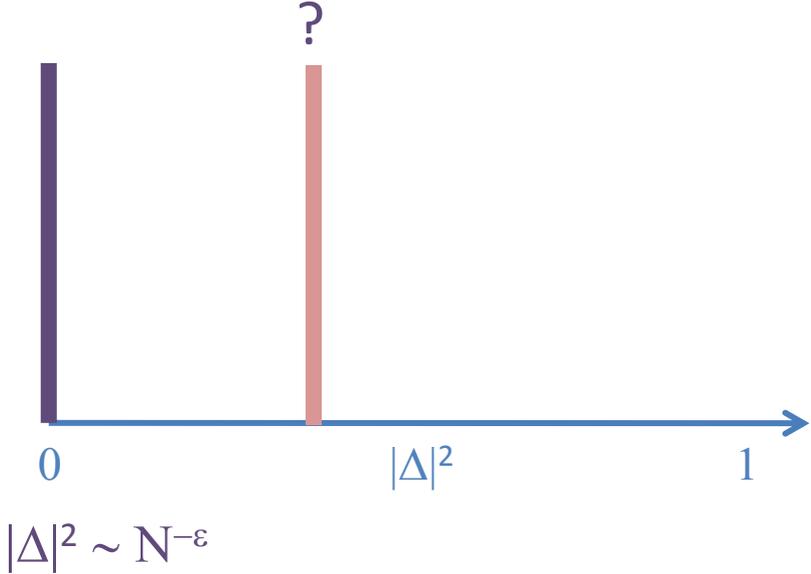
- Importance of**
- mesoscopic fluctuations?
  - finite particle number?
  - boundary effects?

# Example: Anderson Orthogonality Catastrophe

- Fermi sea of electrons: apply sudden and localized perturbation  
→ many-body ground state  $|\Psi\rangle$  changed
- look at the Anderson overlap  $|\Delta|^2 = |\langle \Psi_{\text{pert}} | \Psi_{\text{unpert}} \rangle|^2$

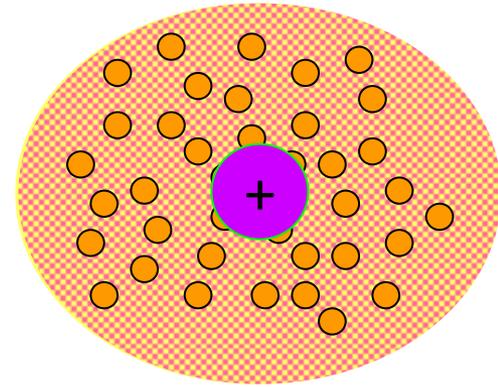


Metal



# Example: Anderson Orthogonality catastrophe in the mesoscopic case

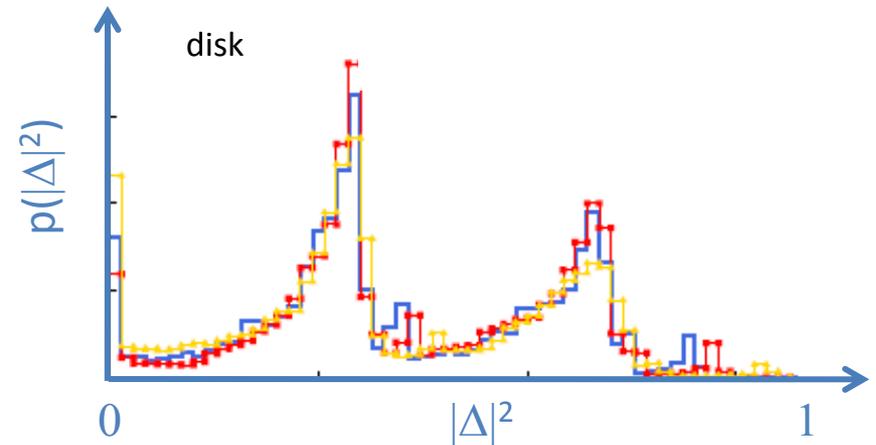
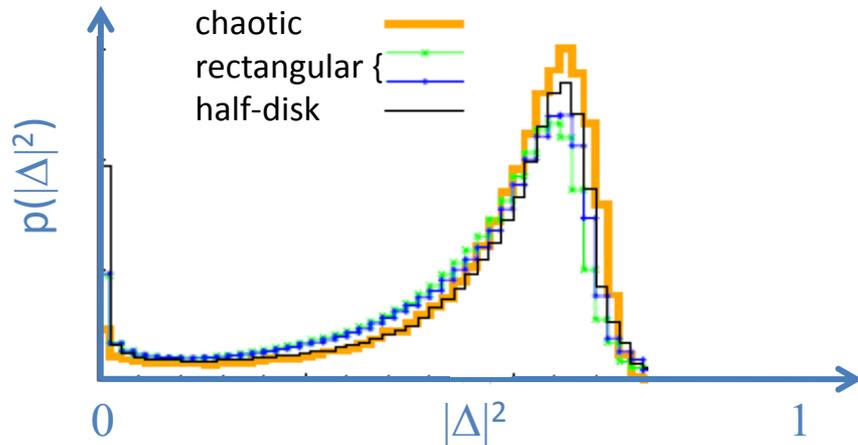
- Fermi sea of electrons: apply sudden and localized perturbation  
→ many-body ground state  $|\Psi\rangle$  changed
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## Mesoscopic systems

M.H. , D. Ullmo, H. Baranger, PRL **93**, 2004  
M.H. , D. Ullmo, H. Baranger, PRB **72**, 2005

Georg Röder and M.H., PRB **82**, 2010  
S. Bandopadhyay and M.H., PRB **83**, 2011

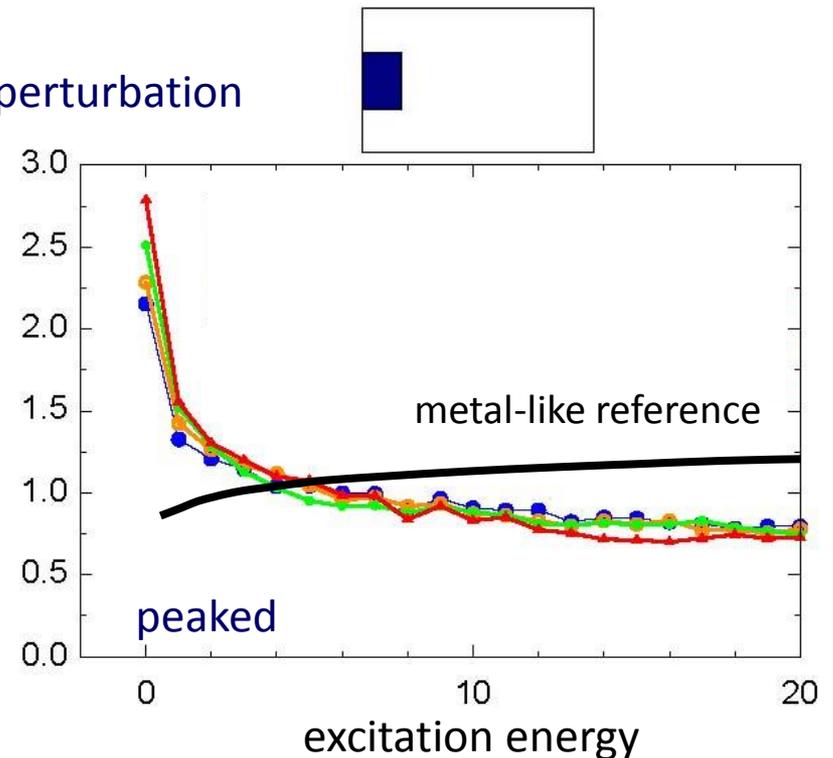
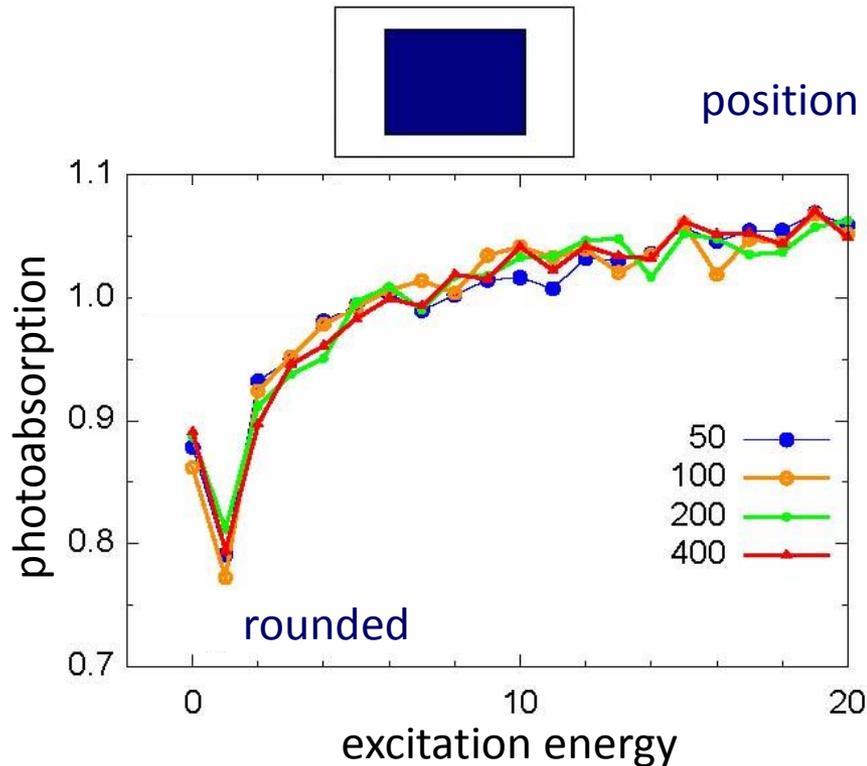


- N finite
- broad distributions

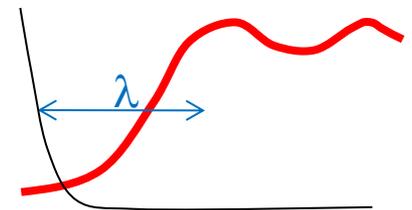
## new features

- level degeneracies
- system boundary

# Boundary signatures in the photoabsorption



Reason:  
correlation between  $\psi$  and  $\psi'$  near boundary,  
enters via dipole matrix element



## The mesoscopic x-ray edge problem:

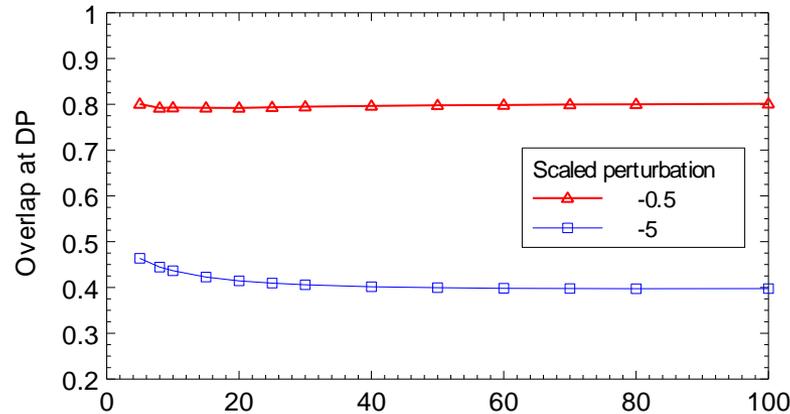
- experimentally accessible
- example for “physics beyond RMT”
- system boundary dominates photoabsorption

M.H., D. Ullmo, H. Baranger, PRL 2004,  
PRB 2007  
Georg Röder and M.H., EPJB 2014

# Graphene: Anderson catastrophe

## Comparison of different perturbation strengths:

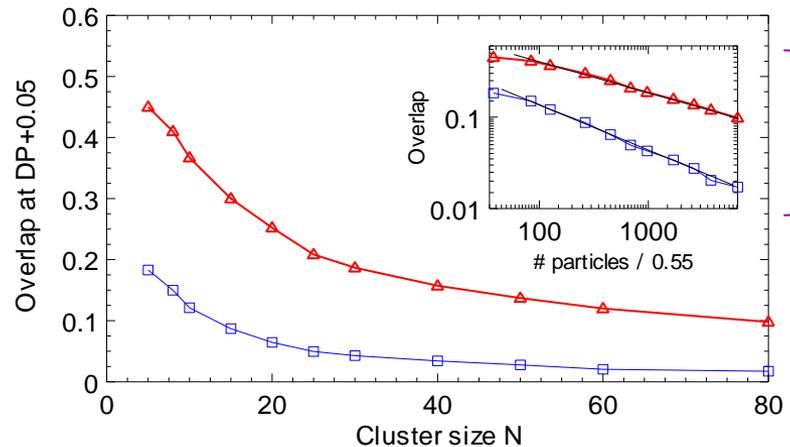
at Dirac point:



next to Dirac point:

– or at Dirac point but in presence of zero-energy states

- (zig-zag) edge states
- midgap states due to impurities



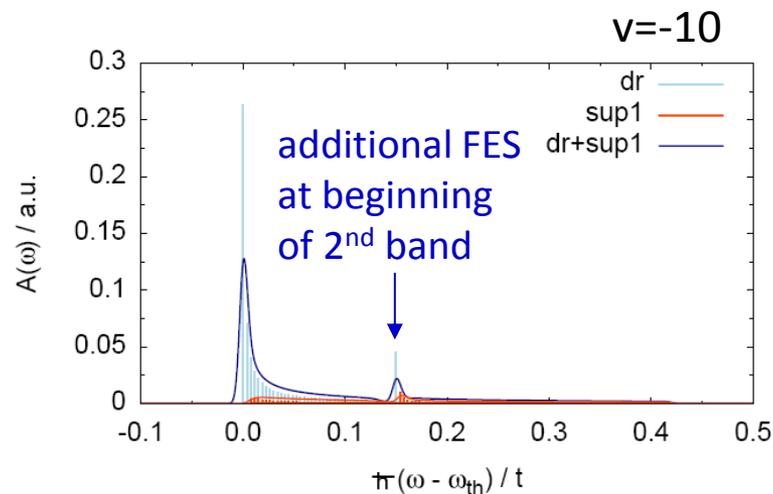
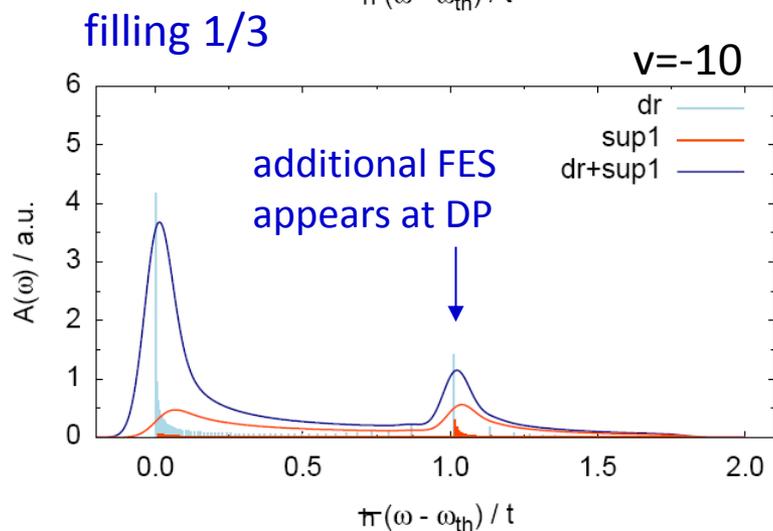
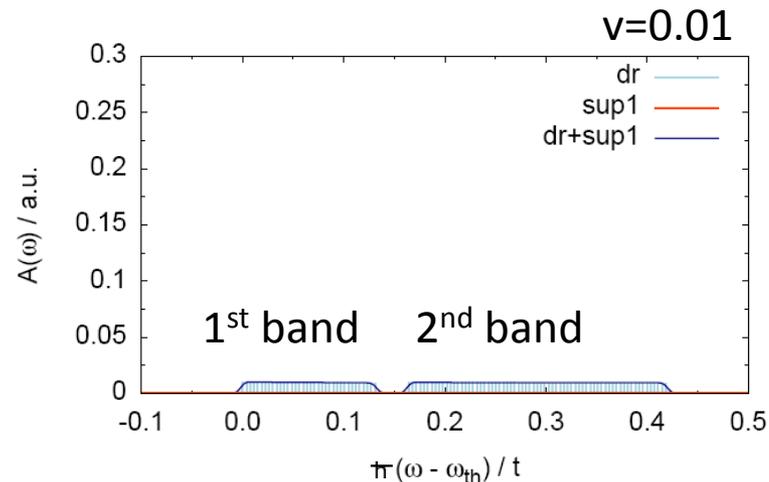
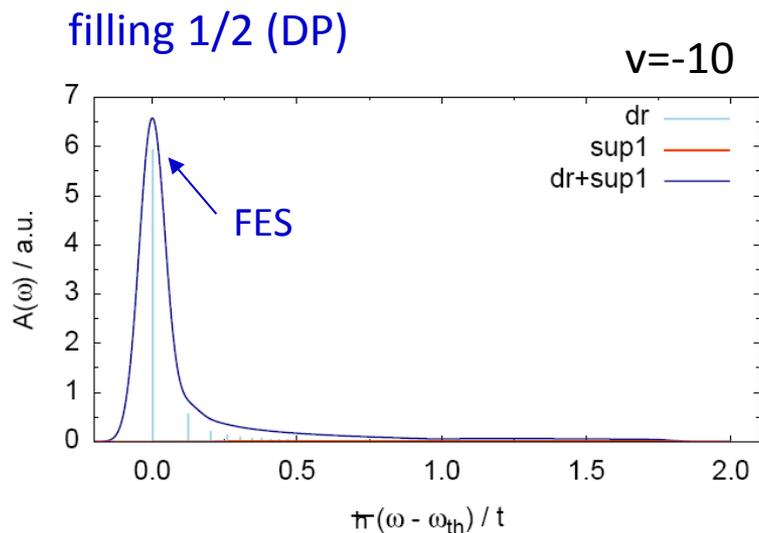
→ AOC suppressed at Dirac point

→ The presence or absence of zero-energy states significantly influences AOC as well as Kondo physics.

# Graphene: Photoabsorption, no edge states

N=400

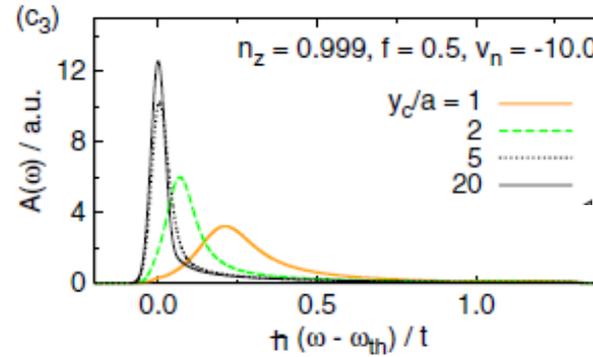
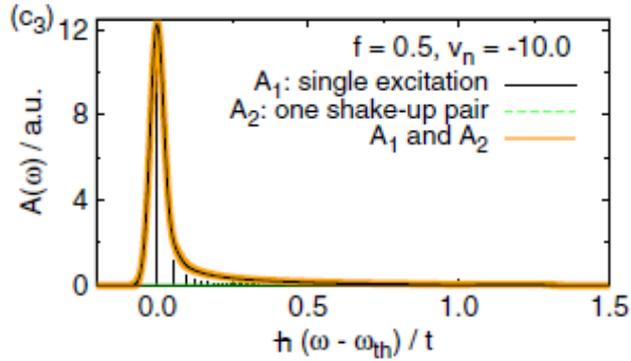
Origin: compare to photoabsorption of metal with gap



# Graphene: Photoabsorption bulk vs. edge states

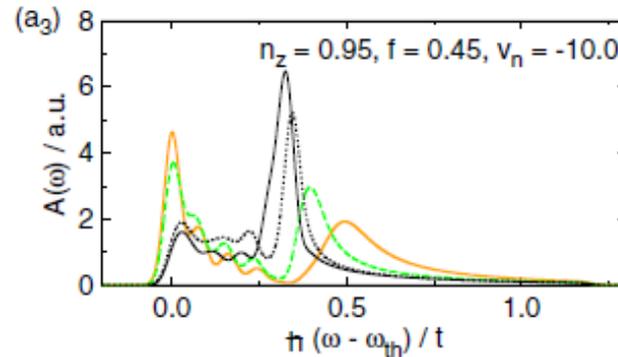
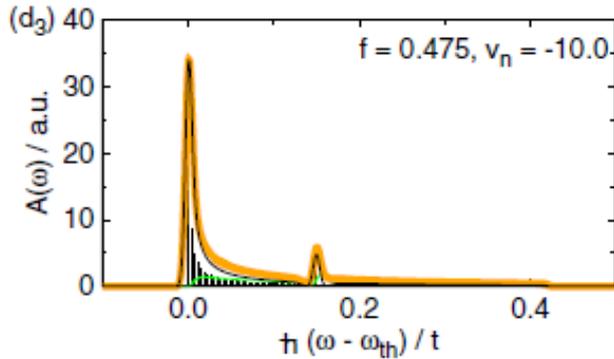
no edge states = "bulk"

edge state contribution

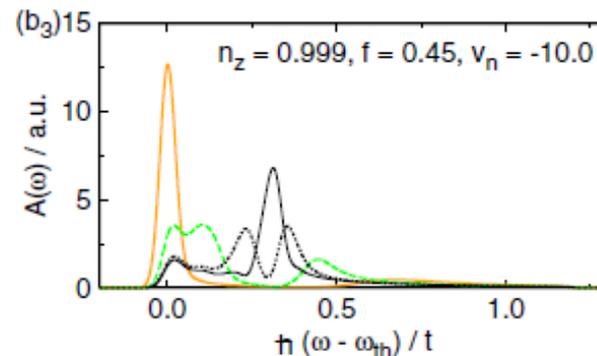
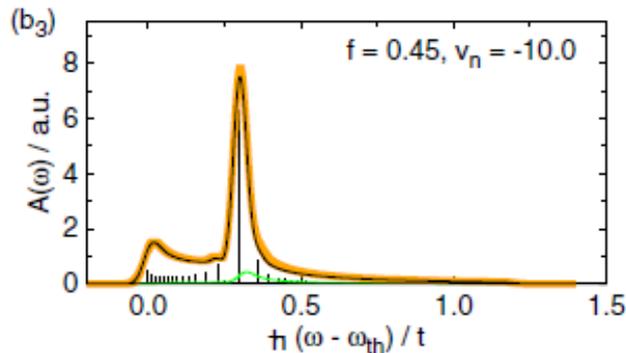


close to boundary

"bulk"



# edge states:  
less



more

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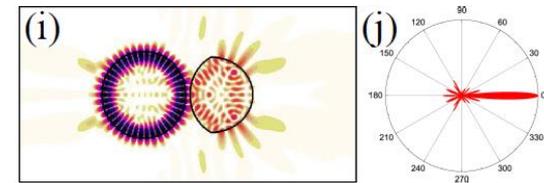
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# Summary of past years:

- GHS and FF at **curved** interfaces understood, including formula
- **boundary** contribution dominates **photoabsorption** signal via dipol matrix el. or presence of edge states

+ directional emission from optical microcavities (Limaçon, composite systems) + quasiattractor in coupled cavities + lasing cavities



J.-W. Ryu and M.H., *Opt. Lett.* **36**, 2011

+



Friederike, 2009



Wiebke, 2010



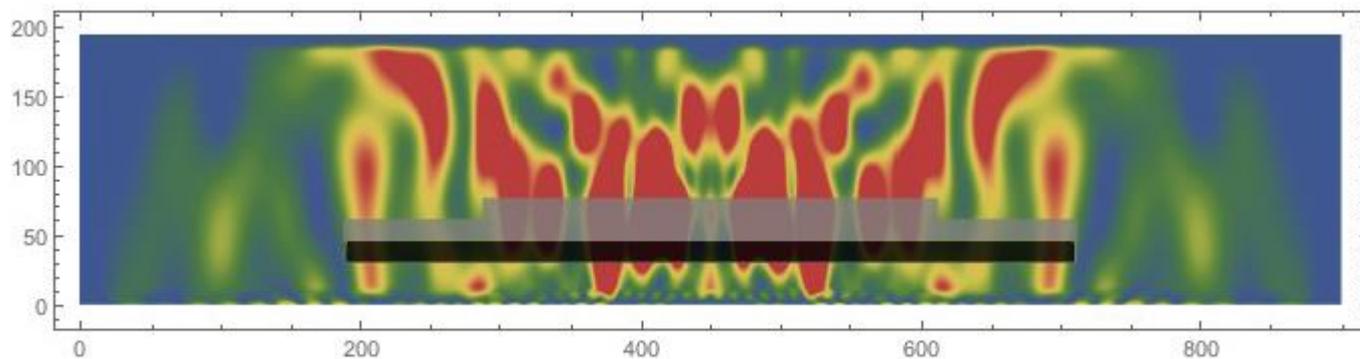
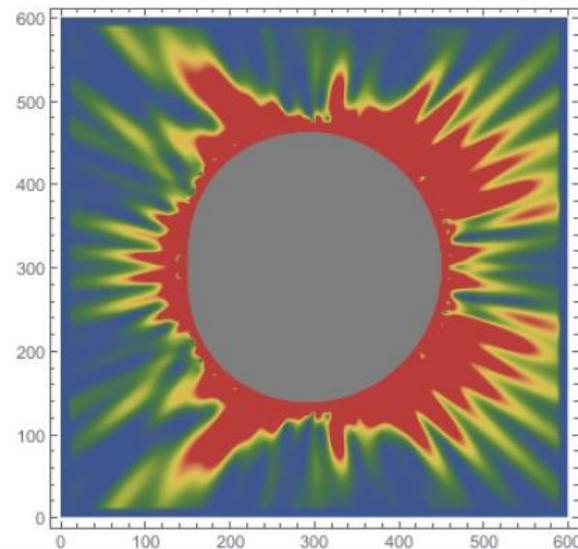
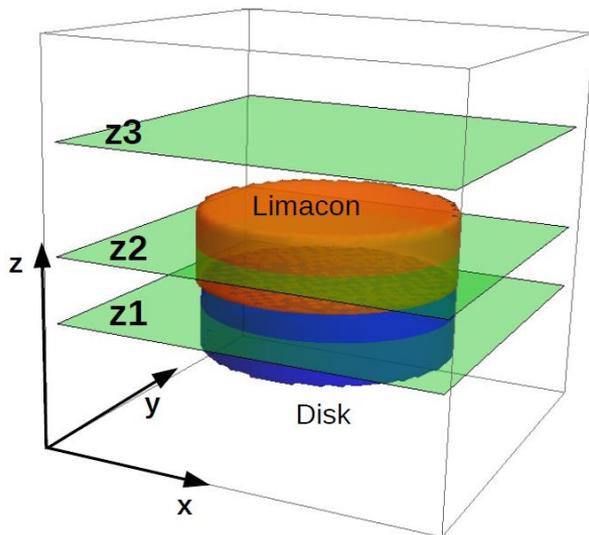
Ilmenau, April 2012



Imke, Dec. 2012

# Work in progress

- 3d modelling of optical microcavity systems (meep, Jakob Kreismann)



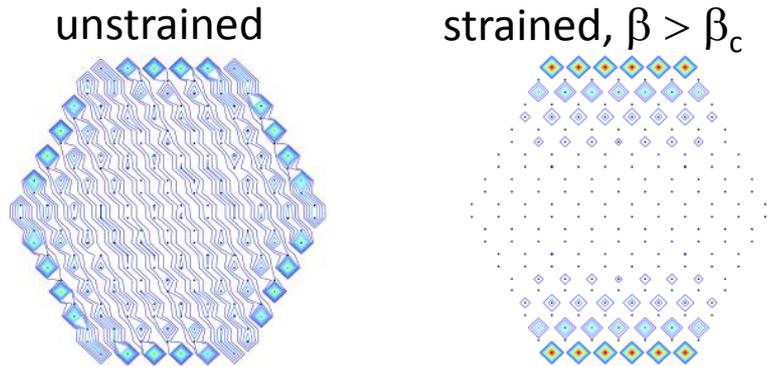
- edge states in photonic graphene (**Pia Stockschröder, Lucia Baldauf**)

- **Formation of edge states under strain**

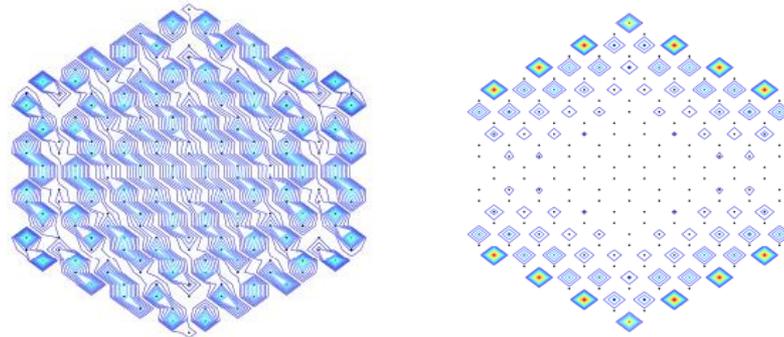
(cf. Nice group paper)

→ zigzag-boundary:  
edge states always exist,  
and persist

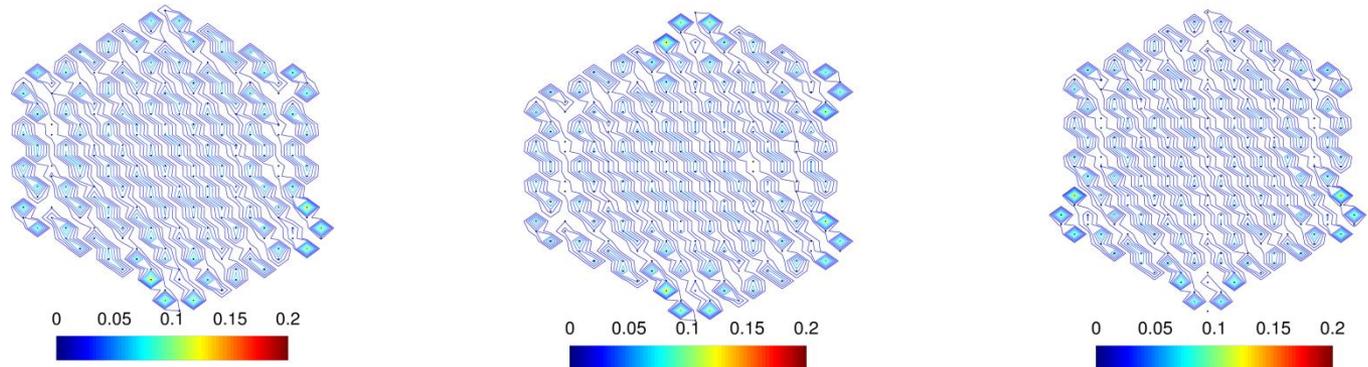
shown: LDOS near Dirac energy



→ armchair-boundary:  
edge states form under strain

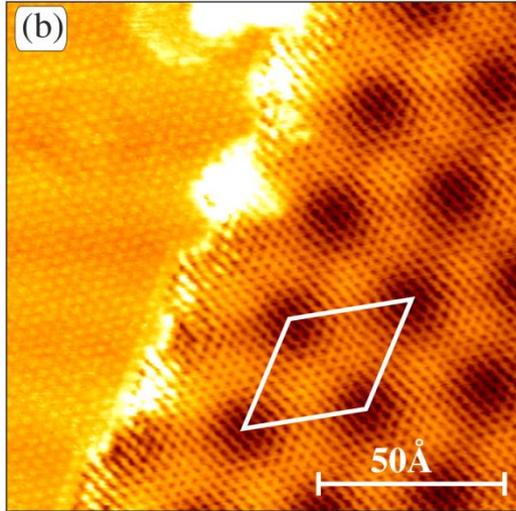


- **Formation of edge states under symmetry breaking**



- graphene on iridium [111] (DFT calculation, VASP, Philipp Müller)

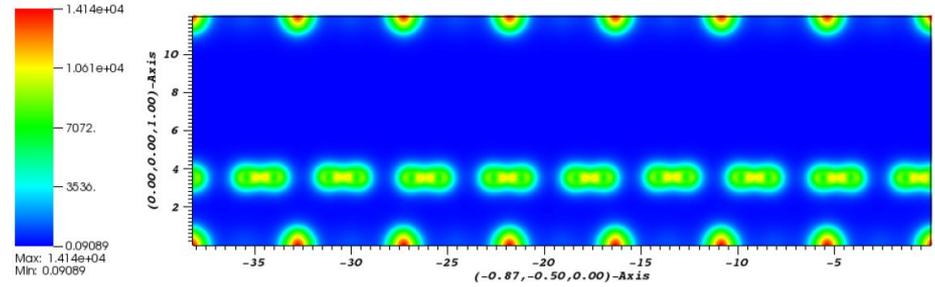
- Experiments : Moiré superlattice



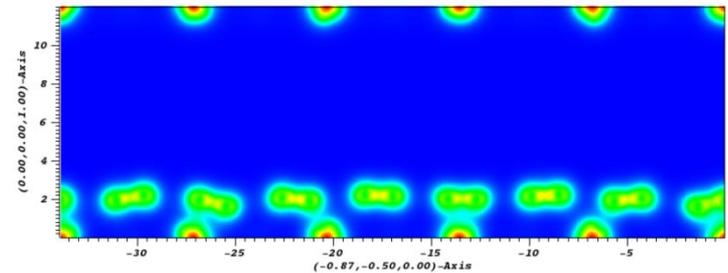
A. T. N'Diaye, J. Coraux, T. N. Plasa,  
B. New. J. Phys. **10** (2008)

### Modelling

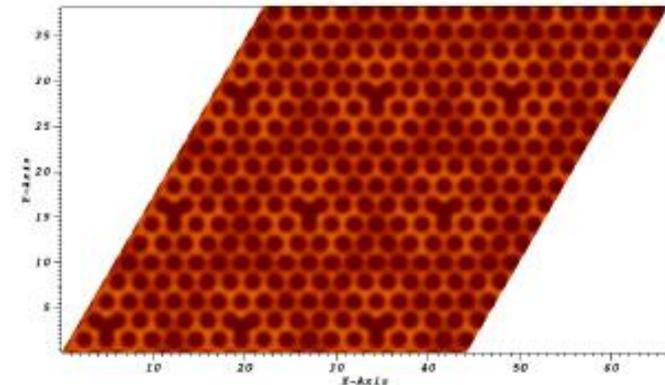
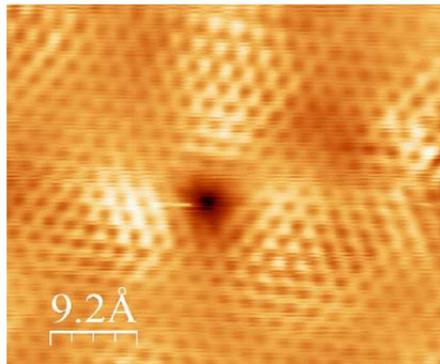
Ladungsdichte eines 9x9 auf 7x7 Systems



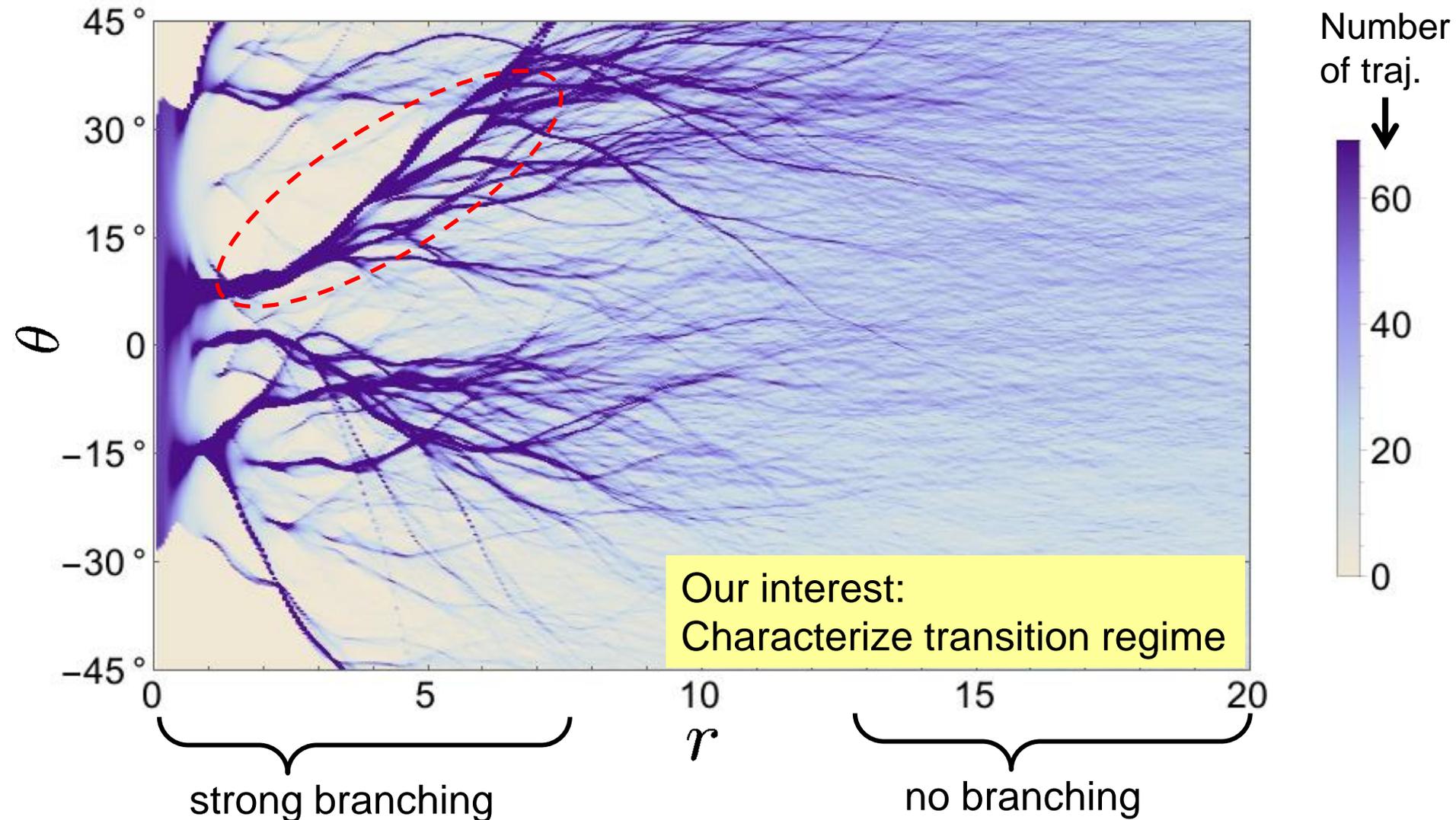
Ladungsdichte eines 8x8 auf 5x5 Systems



- Experiments : Vacancies (Kröger group, Ilmenau): triangular structure reproduced



- mesoscopic transport in disordered potentials (**Kazuhiro Kubo**)



S. Tomovic; R. Jalabert, D. Weinberg et al.;  
 M. A. Topinka et al., Nature **401**, 138 (2001);  
 J. J. Metzger, R. Fleischmann and T. Geisel,  
 PRL**105**, 020601 (2010)

# Summary

- GHS and FF at **curved** interfaces understood, including analytical formulae (convex microcavities). Only **FF** matters in **small** cavities.
- **Photoabsorption** signal and Anderson overlap show features of quantum-chaos like (RMT) universality away from system boundary, but **boundary** contribution dominates absorption spectrum via dipole matrix element or presence of edge states

