

Asymmetric backscattering in deformed microcavities: fundamentals and applications

Jan Wiersig

Otto-von-Guericke-Universität Magdeburg: J. Kullig, A. Eberspächer, J.-B. Shim (now Liège)

Collaborations: S. W. Kim (Busan), M. Hentschel (Ilmenau), J.-W. Ryu (Daegu), S. Shinohara (Kyoto),



H. Schomerus (Lancaster), H. Cao (Yale), R. Sarma (Yale), L. Ge (New York)



Introduction to deformed microcavities



Asymmetric backscattering: fundamentals



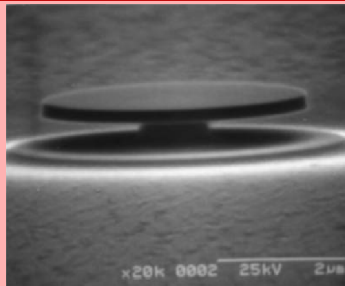
Asymmetric backscattering: applications

Introduction to deformed microcavities

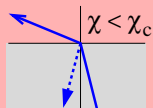
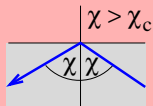
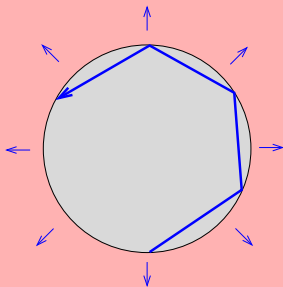
Introduction to deformed microcavities

Microdisk

Light confinement by total internal reflection



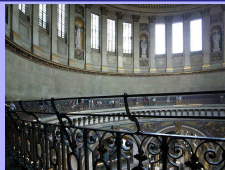
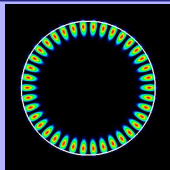
P. Michler et al.



Optical modes: solutions of Maxwell's equations with harmonic time dependence

High $Q = \omega\tau$ with frequency ω and lifetime τ

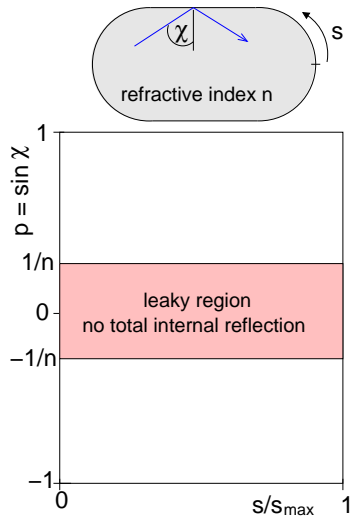
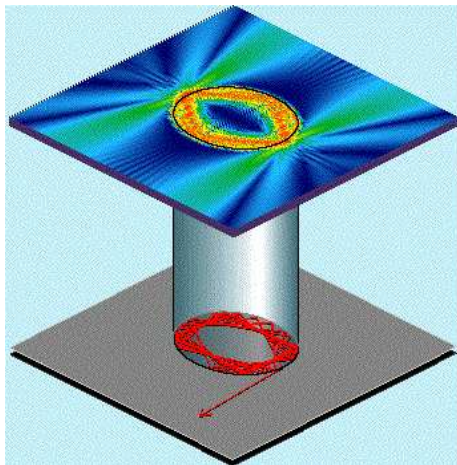
Applications: microlasers, single-photon sources, sensors, filters, ...



Introduction to deformed microcavities

Open quantum billiards

J.U. Nöckel und A.D. Stone, Nature **385**, 45 (1997)



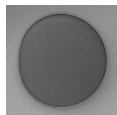
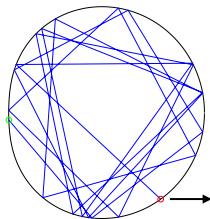
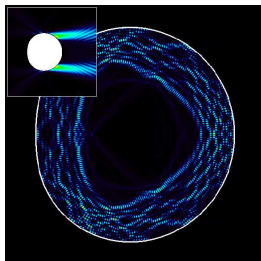
Introduction to deformed microcavities

Directed light emission

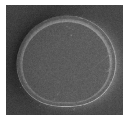
Limaçon of Pascal

J. Wiersig and M. Hentschel, PRL **100**, 033901 (2008)

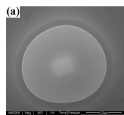
$$\rho(\phi) = R(1 + \varepsilon \cos \phi)$$



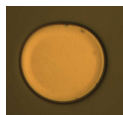
T. Harayama et al., Kyoto



F. Capasso et al., Harvard



H. Cao et al., Yale



C.M. Kim et al., Seoul

- **unidirectional emission** along the unstable manifold of the chaotic saddle

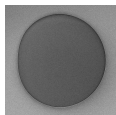
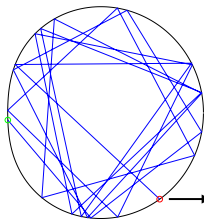
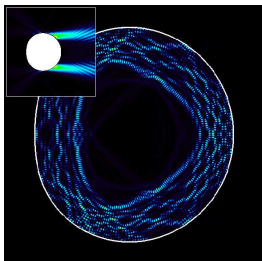
Introduction to deformed microcavities

Directed light emission

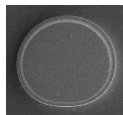
Limaçon of Pascal

J. Wiersig and M. Hentschel, PRL **100**, 033901 (2008)

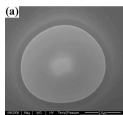
$$\rho(\phi) = R(1 + \varepsilon \cos \phi)$$



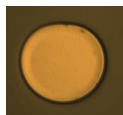
T. Harayama et al., Kyoto



F. Capasso et al., Harvard



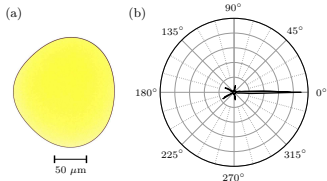
H. Cao et al., Yale



C.M. Kim et al., Seoul

- **unidirectional emission** along the unstable manifold of the chaotic saddle

Shortegg



M. Schermer, S. Bittner, G. Singh, C. Ulysee, M. Lebental, and J. Wiersig, APL **106**, 101107 (2015)

Introduction to deformed microcavities

Non-Hermitian phenomena

Optical microcavities are open wave systems

- mode frequencies ($\hat{=}$ energy eigenvalues) $\in \mathbb{C}$
- modes ($\hat{=}$ energy eigenstates) are **nonorthogonal**
- modes **may not form a complete basis**

Introduction to deformed microcavities

Non-Hermitian phenomena

Optical microcavities are open wave systems

- mode frequencies ($\hat{=}$ energy eigenvalues) $\in \mathbb{C}$
- modes ($\hat{=}$ energy eigenstates) are **nonorthogonal**
- modes **may not form a complete basis**

Exceptional point (EP)

Point in parameter space at which two (or more) eigenvalues and eigenstates of a non-Hermitian linear operator coalesce. **EP \neq diabolic point**

T. Kato, Perturbation Theory for Linear Operators (1966)

Introduction to deformed microcavities

Non-Hermitian phenomena

Optical microcavities are open wave systems

- mode frequencies ($\hat{=}$ energy eigenvalues) $\in \mathbb{C}$
- modes ($\hat{=}$ energy eigenstates) are **nonorthogonal**
- modes **may not form a complete basis**

Exceptional point (EP)

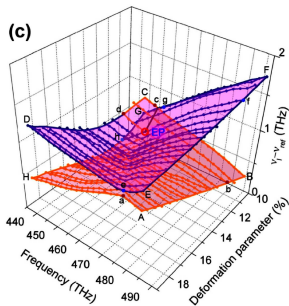
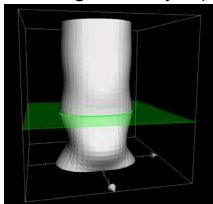
Point in parameter space at which two (or more) eigenvalues and eigenstates of a non-Hermitian linear operator coalesce. **EP \neq diabolic point**

T. Kato, Perturbation Theory for Linear Operators (1966)

microwave cavity C. Dembowski *et al.*, PRL **86**, 787 (2001)

deformed microcavity (liquid jet containing laser dyes)

S.B. Lee *et al.*, PRL **103**, 134101 (2009)



Introduction to deformed microcavities

2D mode equation

Effective index approximation

$$\left[\nabla^2 + n(x, y)^2 k^2 \right] \psi(x, y) = 0$$

$$\text{Re}[\psi(x, y) e^{-i\omega t}] = \begin{cases} E_z & \text{TM} \\ H_z & \text{TE} \end{cases}$$

Continuity conditions at the cavity's boundary

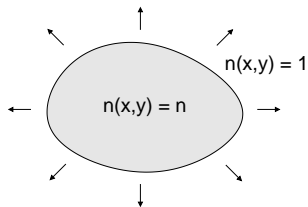
TM : ψ and $\partial\psi$

TE : ψ and $\frac{1}{n^2} \partial\psi$

Outgoing wave condition at infinity

$\implies \omega \in \mathbb{C}$, quasibound state with lifetime

$$\tau = -\frac{1}{2\text{Im}(\omega)}$$



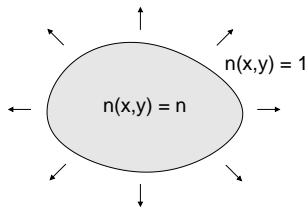
Introduction to deformed microcavities

2D mode equation

Effective index approximation

$$\left[\nabla^2 + n(x, y)^2 k^2 \right] \psi(x, y) = 0$$

$$\text{Re}[\psi(x, y) e^{-i\omega t}] = \begin{cases} E_z & \text{TM} \\ H_z & \text{TE} \end{cases}$$



Continuity conditions at the cavity's boundary

TM : ψ and $\partial\psi$

TE : ψ and $\frac{1}{n^2} \partial\psi$

Outgoing wave condition at infinity

$\implies \omega \in \mathbb{C}$, quasibound state with lifetime

$$\tau = -\frac{1}{2\text{Im}(\omega)}$$

Boundary element method J. Wiersig, J. Opt. A: Pure Appl. Opt. **5**, 53 (2003)

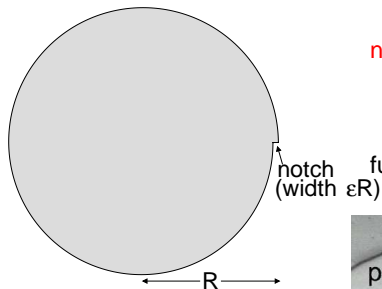
S-matrix approach/wave matching e.g. M. Hentschel and K. Richter, PRE **66**, 056207 (2002)

Review on deformed microcavities H. Cao and J. Wiersig, RMP **87**, 61 (2015)

Asymmetric backscattering: Fundamentals

Asymmetric backscattering: Fundamentals

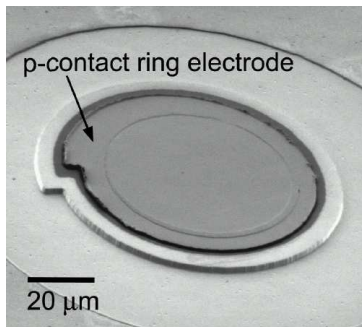
Spiral cavity



no mirror symmetry

$$\rho(\phi) = R \left(1 - \frac{\varepsilon}{2\pi} \phi \right) \quad ; \varepsilon > 0$$

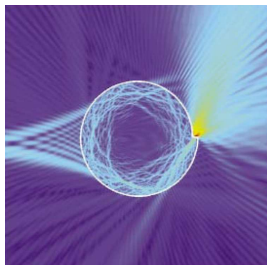
fully chaotic ray dynamics



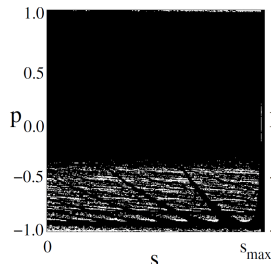
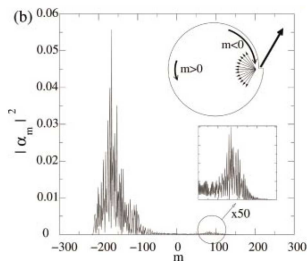
M. Kneissl *et al.*, APL **84**, 2485 (2004)

Asymmetric backscattering: Fundamentals

Chirality



G. D. Chern *et al.*, APL **83**, 1710 (2003)



S.-Y. Lee *et al.*, PRL **93**, 164102 (2004)

Angular momentum representation (inside the cavity)

$$\psi(r, \phi) = \sum_{m=-\infty}^{\infty} \alpha_m J_m(nkr) \exp(im\phi)$$

Chirality: mainly traveling wave instead of standing wave

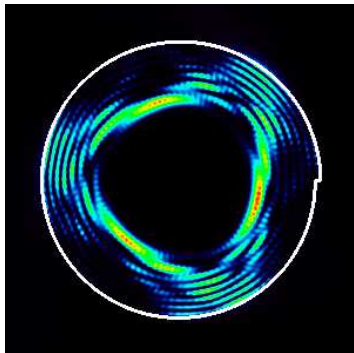
Experimental confirmation M. Kim *et al.*, Opt. Lett. **39**, 2423 (2014)

Asymmetric backscattering: Fundamentals

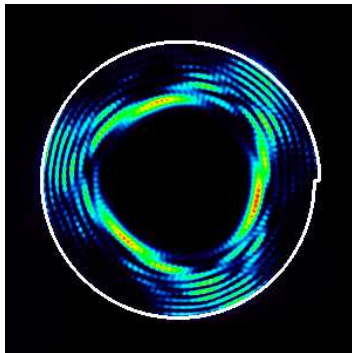
Nearly degenerate mode pairs and copropagation

J. Wiersig, S.W. Kim, and M. Hentschel, PRA **78**, 053809 (2008)

TE polarization, $n = 2$, and small deformation $\varepsilon = 0.04$ (spiral has been flipped)



$$\Omega = \frac{\varepsilon}{c} R = kR = 41.4674 - i0.03419$$



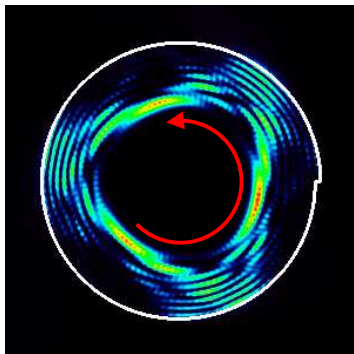
$$\Omega = 41.4625 - i0.03469; \quad Q = \frac{\text{Re}(kR)}{2\text{Im}(kR)}$$

Asymmetric backscattering: Fundamentals

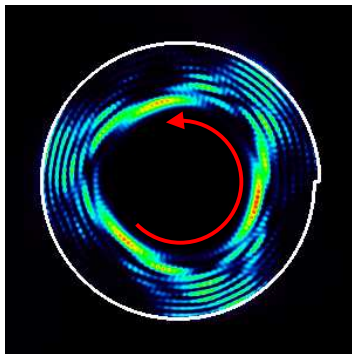
Nearly degenerate mode pairs and copropagation

J. Wiersig, S.W. Kim, and M. Hentschel, PRA **78**, 053809 (2008)

TE polarization, $n = 2$, and small deformation $\varepsilon = 0.04$ (spiral has been flipped)



$$\Omega = \frac{\varepsilon}{c} R = kR = 41.4674 - i0.03419$$

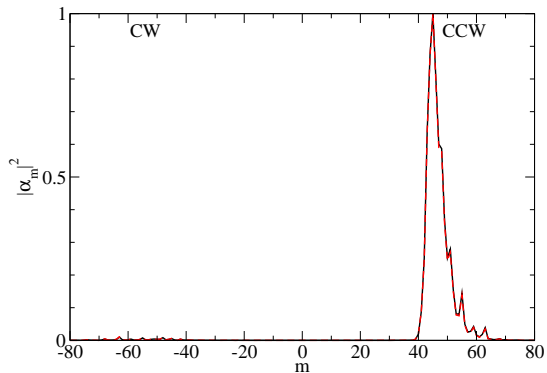


$$\Omega = 41.4625 - i0.03469; \quad Q = \frac{\text{Re}(kR)}{2\text{Im}(kR)}$$

copropagation: both modes have the same dominant propagation direction

Asymmetric backscattering: Fundamentals

Angular momentum representation

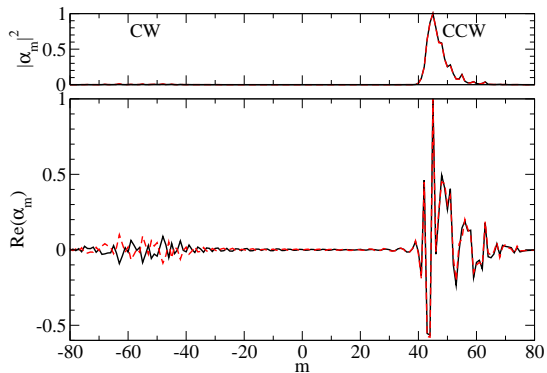


■ chirality

■ copropagation

Asymmetric backscattering: Fundamentals

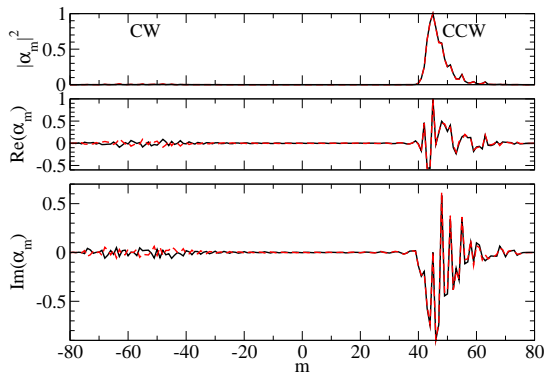
Angular momentum representation



- chirality
- copropagation

Asymmetric backscattering: Fundamentals

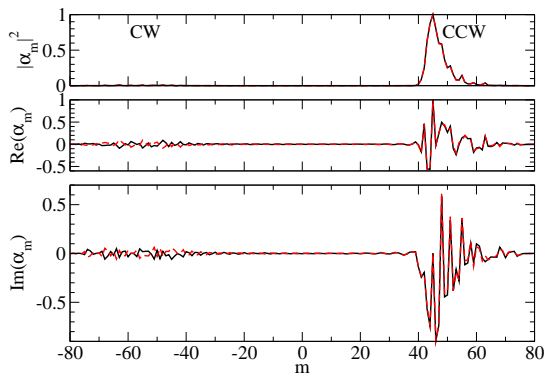
Angular momentum representation



- chirality
- copropagation

Asymmetric backscattering: Fundamentals

Angular momentum representation



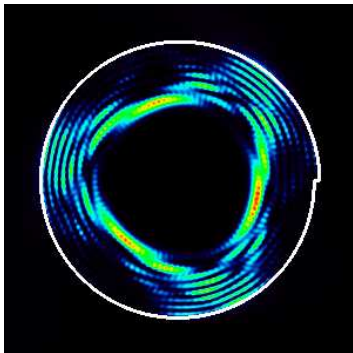
- chirality
- copropagation

Chirality

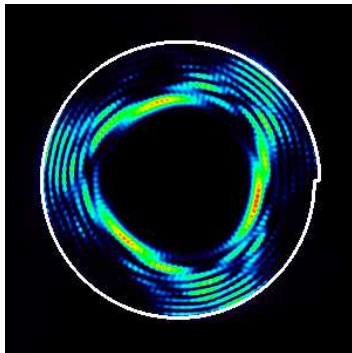
$$\alpha = 1 - \frac{\min \left(\sum_{m=-\infty}^{-1} |\alpha_m|^2, \sum_{m=1}^{\infty} |\alpha_m|^2 \right)}{\max \left(\sum_{m=-\infty}^{-1} |\alpha_m|^2, \sum_{m=1}^{\infty} |\alpha_m|^2 \right)} \approx \begin{cases} 0.978 \\ 0.967 \end{cases}$$

Asymmetric backscattering: Fundamentals

Nonorthogonal mode pairs



$$\Omega = 41.4674 - i0.03419$$



$$\Omega = 41.4625 - i0.03469$$

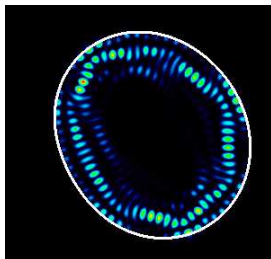
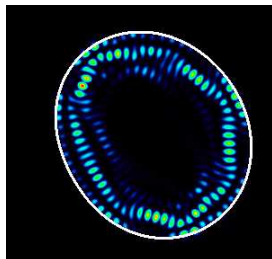
Normalized overlap integral

$$S = \frac{|\int_C dx dy \psi_1^* \psi_2|}{\sqrt{\int_C dx dy \psi_1^* \psi_1} \sqrt{\int_C dx dy \psi_2^* \psi_2}} \approx 0.972 \text{ almost collinear!}$$

Asymmetric backscattering: Fundamentals

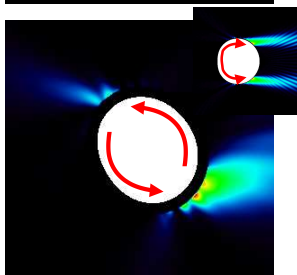
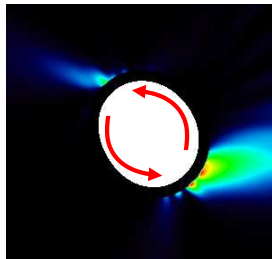
Asymmetric Limaçon cavity

$$\rho = R[1 + \varepsilon_1 \cos \phi + \varepsilon_2 \cos(2\phi + \delta)] \quad \text{J. Wiersig et al., PRA 84, 023845 (2011)}$$



Overlap $S \approx 0.72$

Field inside



Field outside

Chirality $\alpha \approx 0.84$

$$\Omega_+ = 12.31981 - i0.00089 \quad \Omega_- = 12.31985 - i0.0009$$

Asymmetric backscattering: Fundamentals

A toy model

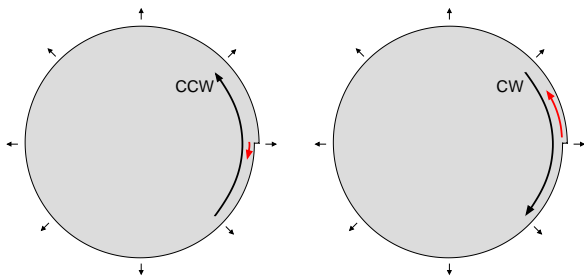
How to explain the chirality, copropagation, and nonorthogonality?

Asymmetric backscattering: Fundamentals

A toy model

How to explain the chirality, copropagation, and nonorthogonality?

asymmetric backscattering of CW and CCW traveling waves

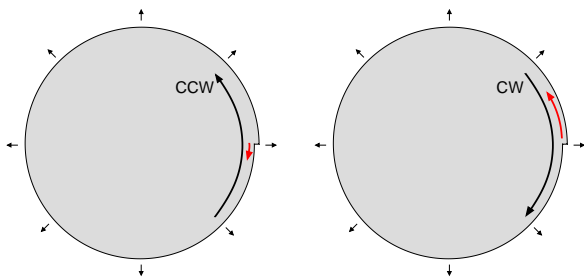


Asymmetric backscattering: Fundamentals

A toy model

How to explain the chirality, copropagation, and nonorthogonality?

asymmetric backscattering of CW and CCW traveling waves



Effective non-Hermitian Hamiltonian in (CCW,CW) basis

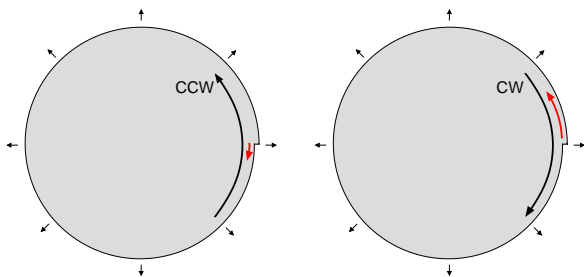
$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} \quad \text{with } \Omega, A, B \in \mathbb{C} \text{ and } |A| \neq |B|$$

Asymmetric backscattering: Fundamentals

A toy model

How to explain the chirality, copropagation, and nonorthogonality?

asymmetric backscattering of CW and CCW traveling waves



Effective non-Hermitian Hamiltonian in (CCW,CW) basis

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} \quad \text{with } \Omega, A, B \in \mathbb{C} \text{ and } |A| \neq |B|$$

open quantum/wave systems with weak CW-CCW coupling and no mirror symmetries

J. Wiersig, PRA **89**, 012119 (2014)

Asymmetric backscattering: Fundamentals

Properties of the effective Hamiltonian

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} ; |A| \neq |B|$$

Complex eigenvalues and (right hand) eigenvectors

$$\Omega_{\pm} = \Omega \pm \sqrt{AB}$$

$$\vec{\psi}_{\pm} = \begin{pmatrix} \psi_{\text{ccw},\pm} \\ \psi_{\text{cw},\pm} \end{pmatrix} = \begin{pmatrix} \sqrt{A} \\ \pm\sqrt{B} \end{pmatrix}$$

Asymmetric backscattering: Fundamentals

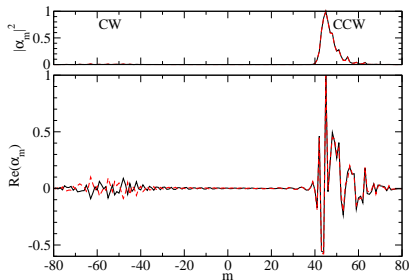
Properties of the effective Hamiltonian

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} ; |A| \neq |B|$$

Complex eigenvalues and (right hand) eigenvectors

$$\Omega_{\pm} = \Omega \pm \sqrt{AB}$$

$$\vec{\psi}_{\pm} = \begin{pmatrix} \psi_{\text{CCW},\pm} \\ \psi_{\text{CW},\pm} \end{pmatrix} = \begin{pmatrix} \sqrt{A} \\ \pm\sqrt{B} \end{pmatrix}$$



Asymmetric backscattering: Fundamentals

Properties of the effective Hamiltonian

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} ; |A| \neq |B|$$

Complex eigenvalues and (right hand) eigenvectors

$$\Omega_{\pm} = \Omega \pm \sqrt{AB}$$
$$\vec{\psi}_{\pm} = \begin{pmatrix} \psi_{\text{CCW},\pm} \\ \psi_{\text{CW},\pm} \end{pmatrix} = \begin{pmatrix} \sqrt{A} \\ \pm\sqrt{B} \end{pmatrix}$$

$|A| > |B|$:



■ CCW component > CW component

- ⇒ chirality
- ⇒ copropagation
- ⇒ nonorthogonality

Asymmetric backscattering: Fundamentals

Properties of the effective Hamiltonian

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} ; |A| \neq |B|$$

Complex eigenvalues and (right hand) eigenvectors

$$\Omega_{\pm} = \Omega \pm \sqrt{AB}$$
$$\vec{\psi}_{\pm} = \begin{pmatrix} \psi_{\text{CCW},\pm} \\ \psi_{\text{CW},\pm} \end{pmatrix} = \begin{pmatrix} \sqrt{A} \\ \pm\sqrt{B} \end{pmatrix}$$

$|A| > |B|$:



■ CCW component > CW component

- ⇒ chirality
- ⇒ copropagation
- ⇒ nonorthogonality

$|A| < |B|$: CW \leftrightarrow CCW

Asymmetric backscattering: Fundamentals

Relation between overlap and chirality

Effective Hamiltonian \implies relation between overlap and chirality

$$\alpha = \frac{2S}{1+S}$$

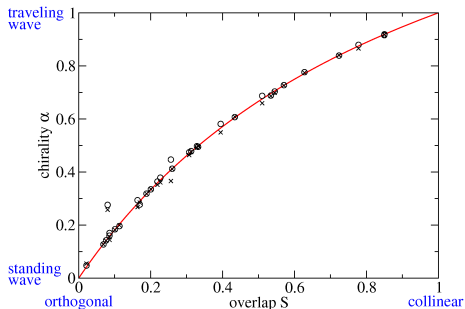
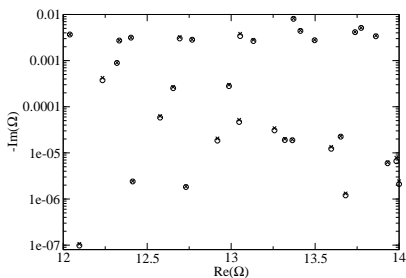
Asymmetric backscattering: Fundamentals

Relation between overlap and chirality

Effective Hamiltonian \implies relation between overlap and chirality

$$\alpha = \frac{2S}{1+S}$$

Asymmetric Limaçon cavity



Effective Hamiltonian explains the relation between chirality and nonorthogonality

Asymmetric backscattering: Fundamentals

Exceptional point

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} ; \quad \Omega_{\pm} = \Omega \pm \sqrt{AB} ; \quad \vec{\psi}_{\pm} = \begin{pmatrix} \sqrt{A} \\ \pm\sqrt{B} \end{pmatrix}$$

Fully asymmetric backscattering: $B \rightarrow 0$ with $A \neq 0$

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ 0 & \Omega \end{pmatrix} ; \quad \Omega_{\pm} = \Omega ; \quad \vec{\psi} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Jordan block

- splitting $\rightarrow 0$
- only one linearly independent eigenvector $\hat{=}$ CCW traveling-wave mode
- **exceptional point**

Asymmetric backscattering: Fundamentals

Exceptional point

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ B & \Omega \end{pmatrix} ; \quad \Omega_{\pm} = \Omega \pm \sqrt{AB} ; \quad \vec{\psi}_{\pm} = \begin{pmatrix} \sqrt{A} \\ \pm\sqrt{B} \end{pmatrix}$$

Fully asymmetric backscattering: $B \rightarrow 0$ with $A \neq 0$

$$H_{\text{eff}} = \begin{pmatrix} \Omega & A \\ 0 & \Omega \end{pmatrix} ; \quad \Omega_{\pm} = \Omega ; \quad \vec{\psi} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

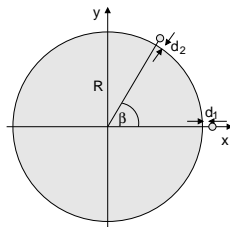
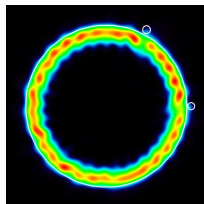
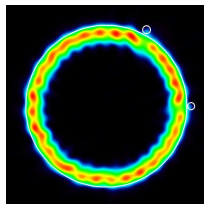
Jordan block

- splitting $\rightarrow 0$
- only one linearly independent eigenvector $\hat{=}$ CCW traveling-wave mode
- **exceptional point**

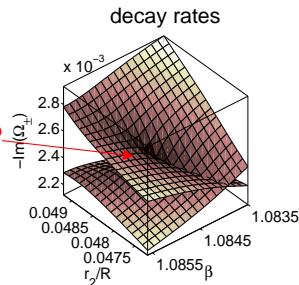
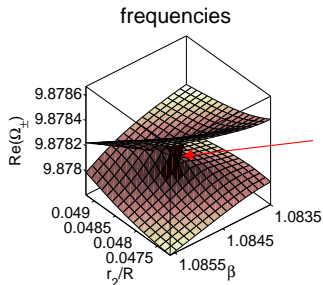
$A \rightarrow 0$ with $B \neq 0$: CW \leftrightarrow CCW

Asymmetric backscattering: Fundamentals

Disk with two scatterers



J. Wiersig, PRA **84**, 063828 (2011)



EP

complex-square-root topology at EP due to fully asymmetric backscattering

Asymmetric backscattering: Fundamentals

Frobenius-Perron operator for deformed microdisks

Ray dynamics: chirality ✓ S.-Y. Lee *et al.*, PRL **93**, 164102 (2004)

What about copropagation and nonorthogonality? ongoing work by J. Kullig

Asymmetric backscattering: Fundamentals

Frobenius-Perron operator for deformed microdisks

Ray dynamics: chirality ✓ S.-Y. Lee *et al.*, PRL **93**, 164102 (2004)

What about copropagation and nonorthogonality? ongoing work by J. Kullig

discrete time evolution of phase-space density ρ with Frobenius-Perron operator \mathcal{F}

$$\rho_{n+1}(\mathbf{s}, \mathbf{p}) = \mathcal{F}\rho_n(\mathbf{s}, \mathbf{p})$$

for maps see e.g. J. Weber *et al.*, PRL **85**, 3620 (2000), K. Frahm and D. Shepelyansky, EPL **75**, 299 (2010)

Asymmetric backscattering: Fundamentals

Frobenius-Perron operator for deformed microdisks

Ray dynamics: chirality ✓ S.-Y. Lee *et al.*, PRL **93**, 164102 (2004)

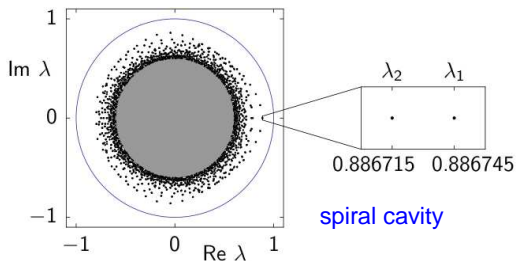
What about copropagation and nonorthogonality? ongoing work by J. Kullig

discrete time evolution of phase-space density ρ with Frobenius-Perron operator \mathcal{F}

$$\rho_{n+1}(\mathbf{s}, \rho) = \mathcal{F}\rho_n(\mathbf{s}, \rho)$$

for maps see e.g. J. Weber *et al.*, PRL **85**, 3620 (2000), K. Frahm and D. Shepelyansky, EPL **75**, 299 (2010)

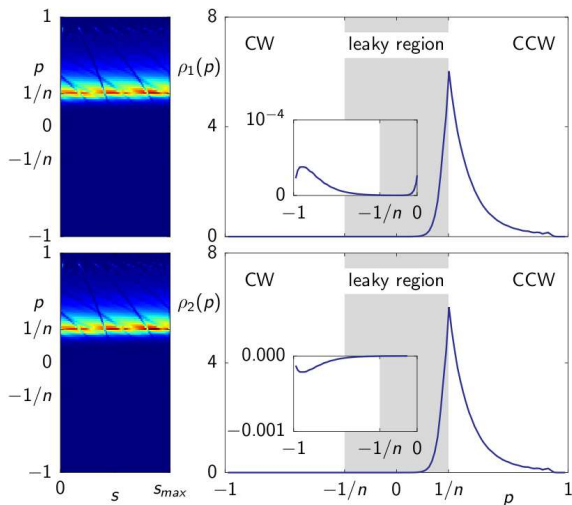
- weight to incorporate reflectivity
 $\implies \mathcal{F}$ is sub-unitary



the two largest eigenvalues are nearly degenerate (eigenstate pair)

Asymmetric backscattering: Fundamentals

Frobenius-Perron eigenstate pair for the spiral cavity

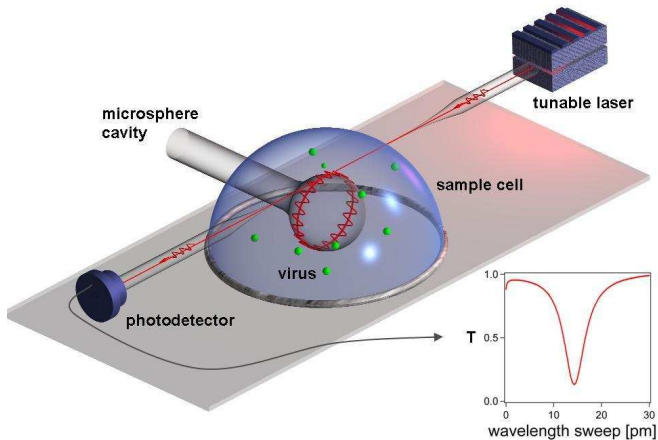


Frobenius-Perron eigenstate pair show chirality, copropagation, and nonorthogonality

Asymmetric backscattering: Applications

Asymmetric backscattering: Applications

Microcavity sensor for single-particle detection



F. Vollmer *et al.*, PNAS **105**, 20701 (2008)

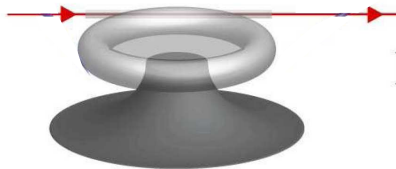
Measure frequency shift \Rightarrow particle detection

Asymmetric backscattering: Applications

Microcavity sensor based on frequency-splitting detection

Measure frequency splitting of initially degenerate modes (diabolic point)

J. Zhu *et al.*, Nature Photonics **4**, 46 (2010)

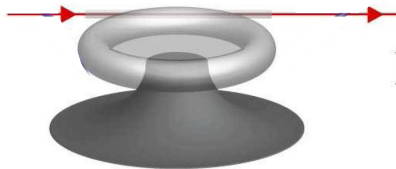


Asymmetric backscattering: Applications

Microcavity sensor based on frequency-splitting detection

Measure frequency splitting of initially degenerate modes (diabolic point)

J. Zhu *et al.*, Nature Photonics **4**, 46 (2010)



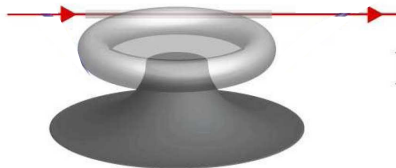
Problem: initial splitting due to fabrication imperfections

Asymmetric backscattering: Applications

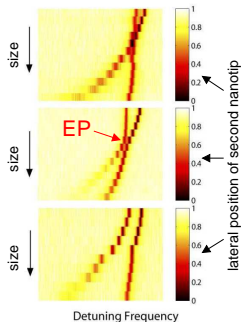
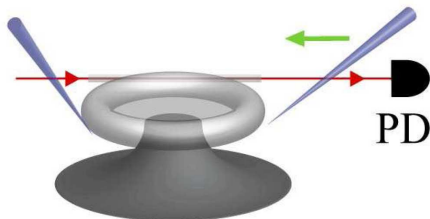
Microcavity sensor based on frequency-splitting detection

Measure frequency splitting of initially degenerate modes (diabolic point)

J. Zhu *et al.*, Nature Photonics 4, 46 (2010)



Problem: initial splitting due to fabrication imperfections

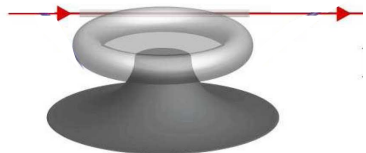


J. Zhu *et al.*, Opt. Express 18, 23535 (2010)

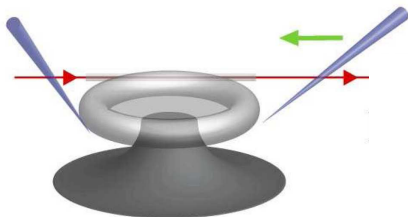
Asymmetric backscattering: Applications

Conventional degeneracy vs exceptional point

J. Wiersig, PRL **112**, 203901 (2014)



conventional (DP)



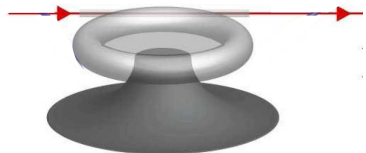
EP

Which one is better for sensing?

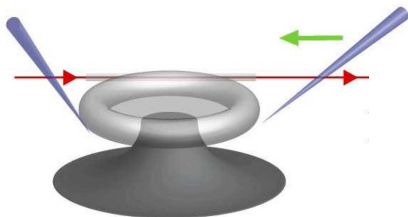
Asymmetric backscattering: Applications

Conventional degeneracy vs exceptional point

J. Wiersig, PRL **112**, 203901 (2014)



conventional (DP)



EP

Which one is better for sensing?

Apply a perturbation of strength ϵ to a (two-fold) degeneracy

$$\Delta\Omega_{\text{DP}} = \mathcal{O}(\epsilon)$$

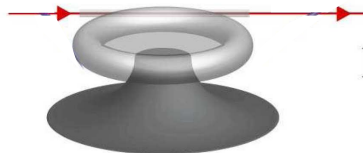
$$\Delta\Omega_{\text{EP}} = \mathcal{O}(\sqrt{\epsilon})$$

T. Kato (1966)

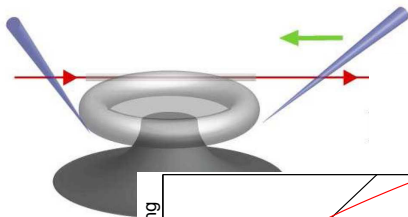
Asymmetric backscattering: Applications

Conventional degeneracy vs exceptional point

J. Wiersig, PRL **112**, 203901 (2014)



conventional (DP)

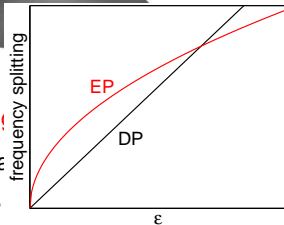


Which one is better for sensing!

Apply a perturbation of strength ϵ to a (two-fold) degeneracy

$$\Delta\Omega_{\text{DP}} = \mathcal{O}(\epsilon)$$

$$\Delta\Omega_{\text{EP}}$$

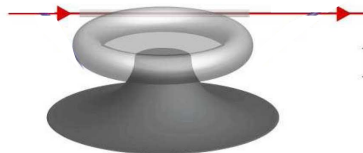


T. Kato (1966)

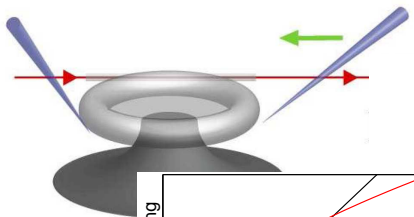
Asymmetric backscattering: Applications

Conventional degeneracy vs exceptional point

J. Wiersig, PRL **112**, 203901 (2014)



conventional (DP)

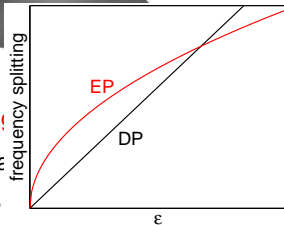


Which one is better for sensing!

Apply a perturbation of strength ϵ to a (two-fold) degeneracy

$$\Delta\Omega_{\text{DP}} = \mathcal{O}(\epsilon)$$

$$\Delta\Omega_{\text{EP}}$$



T. Kato (1966)

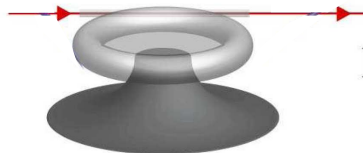
Enhancement factor of sensitivity for splitting detection

$$\frac{\Delta\Omega_{\text{EP}}}{\Delta\Omega_{\text{DP}}} = \mathcal{O}\left(\frac{1}{\sqrt{\epsilon}}\right) \quad \text{for sufficiently small } \epsilon$$

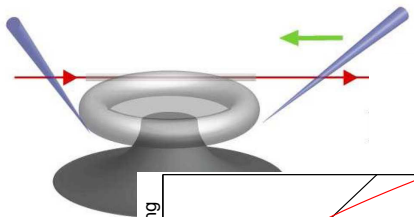
Asymmetric backscattering: Applications

Conventional degeneracy vs exceptional point

J. Wiersig, PRL **112**, 203901 (2014)



conventional (DP)

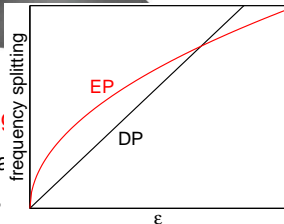


Which one is better for sensing!

Apply a perturbation of strength ϵ to a (two-fold) degeneracy

$$\Delta\Omega_{\text{DP}} = \mathcal{O}(\epsilon)$$

$$\Delta\Omega_{\text{EP}}$$



T. Kato (1966)

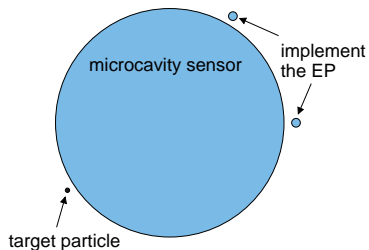
Enhancement factor of sensitivity for splitting detection

$$\frac{\Delta\Omega_{\text{EP}}}{\Delta\Omega_{\text{DP}}} = \mathcal{O}\left(\frac{1}{\sqrt{\epsilon}}\right) \quad \text{for sufficiently small } \epsilon$$

Price to pay: $\Delta\Omega_{\text{EP}} \in \mathbb{C} \implies$ frequency and linewidth splitting

Asymmetric backscattering: Applications

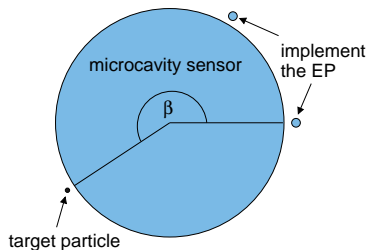
Results for a microcavity sensor at an EP



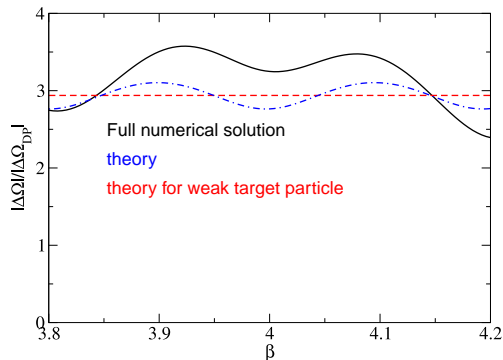
EP is due to fully asymmetric backscattering

Asymmetric backscattering: Applications

Results for a microcavity sensor at an EP



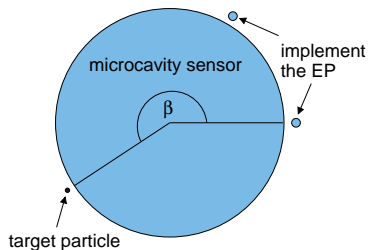
EP is due to fully asymmetric backscattering



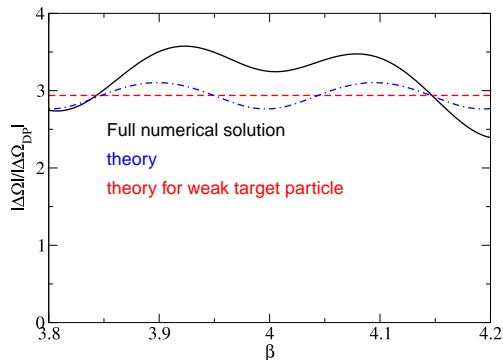
- 3 to 3.5 fold enhancement of sensitivity
- Splitting $|\Delta\Omega|$ is nearly independent on β

Asymmetric backscattering: Applications

Results for a microcavity sensor at an EP



EP is due to fully asymmetric backscattering



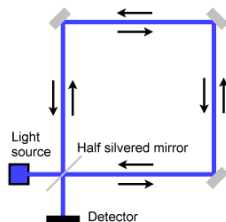
- 3 to 3.5 fold enhancement of sensitivity
- Splitting $|\Delta\Omega|$ is nearly independent on β

Sensitivity of sensors based on frequency splitting detection can be enhanced at an EP

Asymmetric backscattering: Applications

Optical gyroscopes

Sagnac effect: rotations leads to a frequency splitting of counterpropagating waves

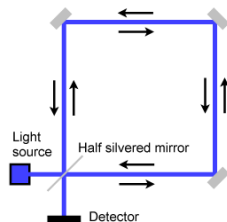


Asymmetric backscattering: Applications

Optical gyroscopes

Sagnac effect: rotations leads to a frequency splitting of counterpropagating waves

EP does not help here



Asymmetric backscattering: Applications

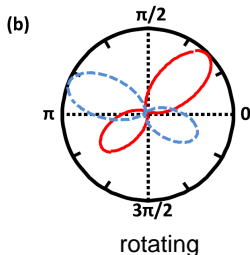
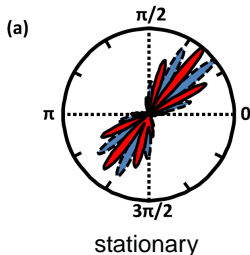
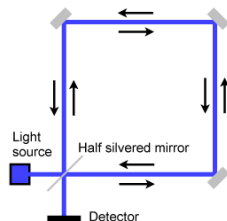
Optical gyroscopes

Sagnac effect: rotations leads to a frequency splitting of counterpropagating waves

EP does not help here

R. Sarma, L. Ge, J. Wiersig, and H. Cao, PRL **114**, 053903 (2015)

Asymmetric limaçon: **chirality and copropagation**



⇒ **far-field pattern is a sensitive measure of rotation**

Asymmetric backscattering: Applications

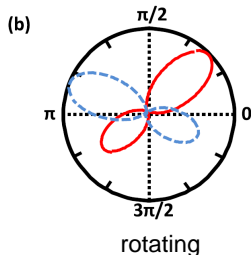
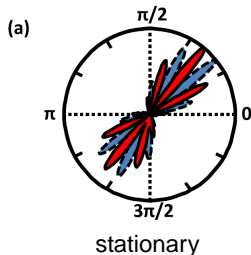
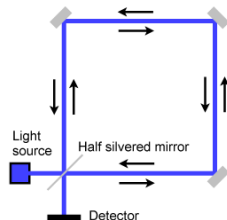
Optical gyroscopes

Sagnac effect: rotations leads to a frequency splitting of counterpropagating waves

EP does not help here

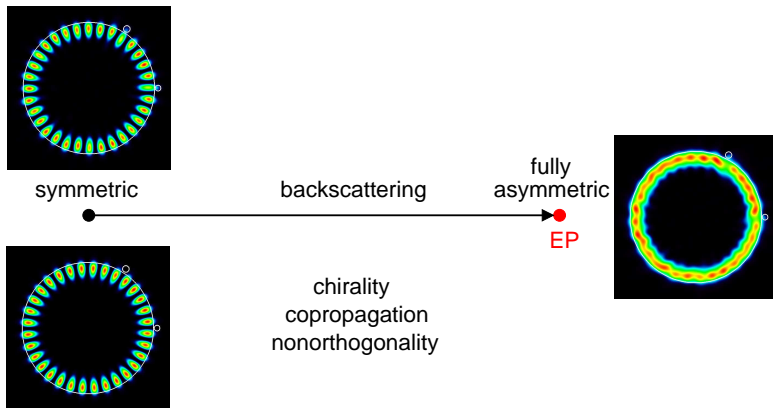
R. Sarma, L. Ge, J. Wiersig, and H. Cao, PRL **114**, 053903 (2015)

Asymmetric limaçon: **chirality and copropagation**



⇒ far-field pattern is a sensitive measure of rotation
3 orders of magnitude more sensitive than the Sagnac effect!

Fundamentals



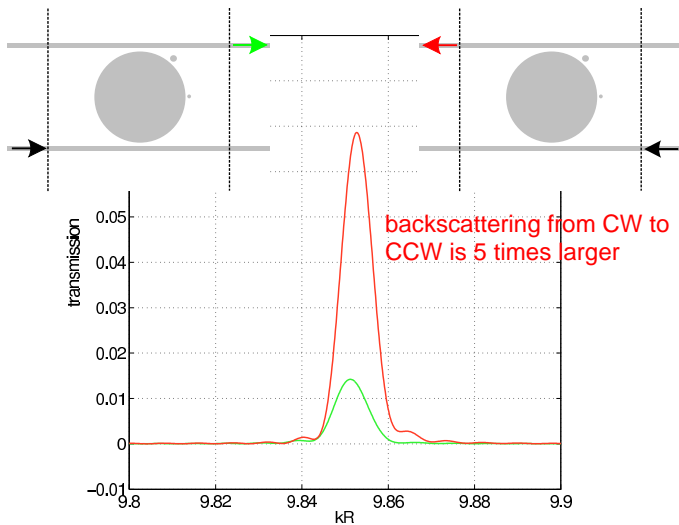
Applications

- enhancing the sensitivity of microcavity sensors for particle detection
- enhancing the sensitivity of microcavity gyroscopes

Bonus

Direct observation of asymmetric backscattering

FDTD simulations of a waveguide-coupled microcavity Johannes Kramer, diploma thesis 2014



Bonus

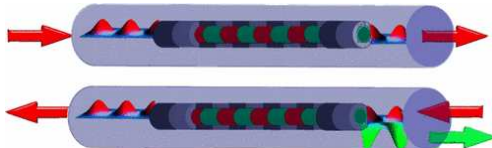
Bragg reflectors with absorption/gain

- “Irreversible coupling by use of dissipative optics” (theory)

M. Greenberg and M. Orenstein, *Opt. Lett.* **29**, 5 (2004), *Opt. Express* **12**, 4013 (2004)

- “Unidirectional invisibility induced by PT-symmetric periodic structures” (theory)

Z. Lin *et al.*, *PRL* **106**, 213901 (2011)



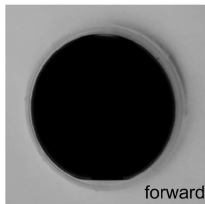
- “Nonreciprocal light propagation” (experiment)

L. Feng *et al.*, *Science* **333**, 729 (2011)

- “Unidirectional reflectionless light transport” (experiment)

L. Feng *et al.*, *Opt. Express* **22**, 1760 (2014)

(a)



forward

(b)



backward

Bonus

Boundary element method for dielectric microcavities

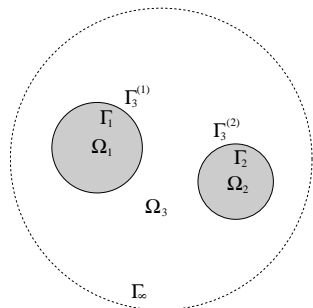
J. Wiersig, J. Opt. A: Pure Appl. Opt. **5**, 53 (2003)

2D PDE \rightarrow **1D boundary integral equations**

$$\psi(\mathbf{r}') = \oint_{\Gamma_j} ds [\psi(\mathbf{s}) \partial G(\mathbf{s}, \mathbf{r}'; k) - G(\mathbf{s}, \mathbf{r}'; k) \partial \psi(\mathbf{s})]$$

with (outgoing) Green's function

$$G(\mathbf{r}, \mathbf{r}'; k) = -\frac{i}{4} H_0^{(1)}(n_j k |\mathbf{r} - \mathbf{r}'|)$$



Bonus

Boundary element method for dielectric microcavities

J. Wiersig, J. Opt. A: Pure Appl. Opt. **5**, 53 (2003)

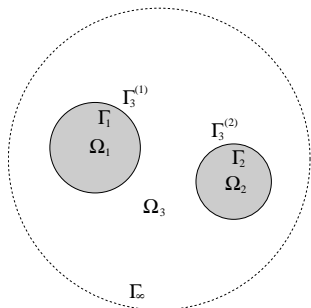
2D PDE \rightarrow **1D boundary integral equations**

$$\psi(\mathbf{r}') = \oint_{\Gamma_j} ds [\psi(\mathbf{s}) \partial G(\mathbf{s}, \mathbf{r}'; k) - G(\mathbf{s}, \mathbf{r}'; k) \partial \psi(\mathbf{s})]$$

with (outgoing) Green's function

$$G(\mathbf{r}, \mathbf{r}'; k) = -\frac{i}{4} H_0^{(1)}(n_j k |\mathbf{r} - \mathbf{r}'|)$$

- outgoing wave condition $\rightarrow \Gamma_\infty$ **does not contribute**



Bonus

Boundary element method for dielectric microcavities

J. Wiersig, J. Opt. A: Pure Appl. Opt. **5**, 53 (2003)

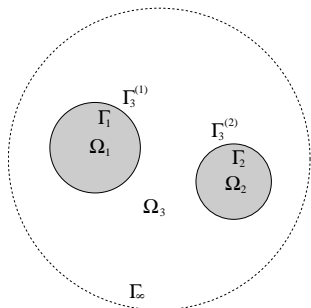
2D PDE \rightarrow **1D boundary integral equations**

$$\psi(\mathbf{r}') = \oint_{\Gamma_j} ds [\psi(\mathbf{s}) \partial G(\mathbf{s}, \mathbf{r}'; k) - G(\mathbf{s}, \mathbf{r}'; k) \partial \psi(\mathbf{s})]$$

with (outgoing) Green's function

$$G(\mathbf{r}, \mathbf{r}'; k) = -\frac{i}{4} H_0^{(1)}(n_j k |\mathbf{r} - \mathbf{r}'|)$$

- outgoing wave condition $\rightarrow \Gamma_\infty$ **does not contribute**
- **spurious solutions**: interior Dirichlet problem with $n_j = 1$



Bonus

Boundary element method for dielectric microcavities

J. Wiersig, J. Opt. A: Pure Appl. Opt. **5**, 53 (2003)

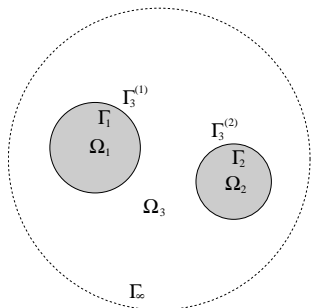
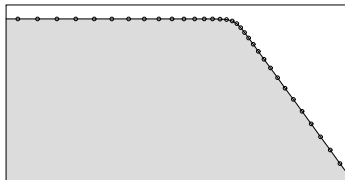
2D PDE \rightarrow **1D boundary integral equations**

$$\psi(\mathbf{r}') = \oint_{\Gamma_j} ds [\psi(s) \partial G(s, \mathbf{r}'; k) - G(s, \mathbf{r}'; k) \partial \psi(s)]$$

with (outgoing) Green's function

$$G(\mathbf{r}, \mathbf{r}'; k) = -\frac{i}{4} H_0^{(1)}(n_j k |\mathbf{r} - \mathbf{r}'|)$$

- outgoing wave condition $\rightarrow \Gamma_\infty$ **does not contribute**
- **spurious solutions**: interior Dirichlet problem with $n_j = 1$
- discretization $0 = M(k_{\text{res}}) \vec{x}$ with $\vec{x} = (\partial \psi|_{s_1}, \dots, \psi|_{s_1}, \dots)$



Bonus

Boundary element method for dielectric microcavities

1 initial guess k_0

$$0 = M(k_0 + \delta k)\vec{x} \approx [M(k_0) + \delta k M'(k_0)] \vec{x}$$

\implies generalized eigenvalue equation

$$M(k_0)\vec{x} = -\delta k M'(k_0)\vec{x}$$

Bonus

Boundary element method for dielectric microcavities

- 1 initial guess k_0

$$0 = M(k_0 + \delta k)\vec{x} \approx [M(k_0) + \delta k M'(k_0)] \vec{x}$$

\implies **generalized eigenvalue equation**

$$M(k_0)\vec{x} = -\delta k M'(k_0)\vec{x}$$

- 2 find eigenvector \vec{x} with smallest eigenvalue $|\delta k|$
- 3 $k_1 = k_0 + \delta k$
- 4 iterate until δk is small enough

Bonus

Boundary element method for dielectric microcavities

- 1 initial guess k_0

$$0 = M(k_0 + \delta k)\vec{x} \approx [M(k_0) + \delta k M'(k_0)] \vec{x}$$

\implies **generalized eigenvalue equation**

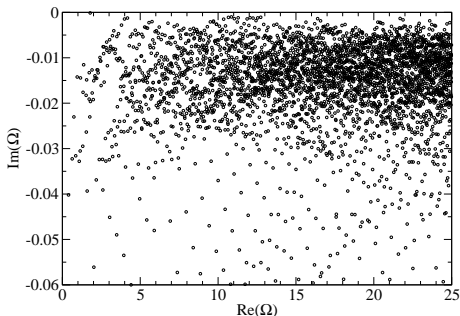
$$M(k_0)\vec{x} = -\delta k M'(k_0)\vec{x}$$

- 2 find eigenvector \vec{x} with smallest eigenvalue $|\delta k|$
- 3 $k_1 = k_0 + \delta k$
- 4 iterate until δk is small enough

stadium (3772 resonances)

J. Wiersig and J. Main, PRE **77**, 036205 (2008)

Normalized frequency $\Omega = \frac{c}{\omega} R = kR$



Bonus

What does the coalescence of two eigenstates mean dynamically?

Eigenvalue equation

$$E_j|\phi_j\rangle = H_{\text{eff}}|\phi_j\rangle$$

Bonus

What does the coalescence of two eigenstates mean dynamically?

Eigenvalue equation

$$E_j |\phi_j\rangle = H_{\text{eff}} |\phi_j\rangle$$

Schrödinger equation

$$i \frac{d}{dt} |\psi\rangle = H_{\text{eff}} |\psi\rangle$$

Bonus

What does the coalescence of two eigenstates mean dynamically?

Eigenvalue equation

$$E_j |\phi_j\rangle = H_{\text{eff}} |\phi_j\rangle$$

Schrödinger equation

$$i \frac{d}{dt} |\psi\rangle = H_{\text{eff}} |\psi\rangle$$

2-by-2 Hamiltonian at EP

- eigenvalue equation: **one solution**

$$\vec{\phi}_{\text{EP}}, E_{\text{EP}}$$

Bonus

What does the coalescence of two eigenstates mean dynamically?

Eigenvalue equation

$$E_j|\phi_j\rangle = H_{\text{eff}}|\phi_j\rangle$$

Schrödinger equation

$$i\frac{d}{dt}|\psi\rangle = H_{\text{eff}}|\psi\rangle$$

2-by-2 Hamiltonian at EP

- eigenvalue equation: **one solution**

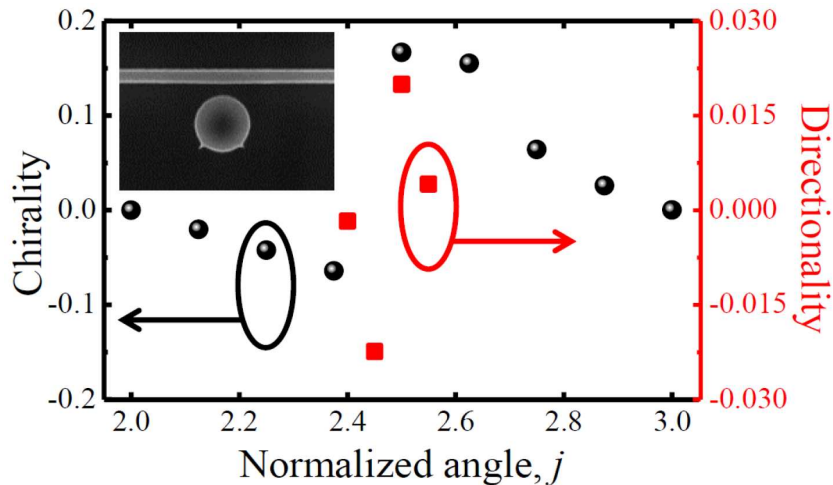
$$\vec{\phi}_{\text{EP}}, E_{\text{EP}}$$

- Schrödinger equation: **two solutions**

$$\begin{aligned}\vec{\psi}_1(t) &= \vec{\phi}_{\text{EP}} e^{-iE_{\text{EP}}t} \\ \vec{\psi}_2(t) &= \left(\vec{\phi}_0 + t\vec{\phi}_{\text{EP}} \right) e^{-iE_{\text{EP}}t}\end{aligned}$$

Bonus

Experimental confirmation of chirality



M. Kim *et al.*, Opt. Lett. **39**, 2423 (2014)