

Thermalization-controlled electron transport

Dmitry POLYAKOV

Research Center, Karlsruhe Institute of Technology, Germany

Alexander DMITRIEV (*Ioffe*)

Igor GORNYI (*KIT, Ioffe*)

Thermalization in nonlinear transport : An example of MIRO

MIRO at order $\mathcal{O}(P)$:

$P = \text{microwave power}$

“quantum MIRO” : $\sigma_{\text{osc}} \propto \tau_{ee} / \tau \quad (+ \tau / 4\tau_*)$

Dmitriev, Mirlin, Polyakov '03

Dmitriev, Vavilov, Aleiner, Mirlin, Polyakov '05

Khodas, Vavilov '08

Dmitriev, Khodas, Mirlin, Polyakov, Vavilov '09

“quasiclassical MIRO” : $\sigma_{\text{osc}} \propto \tau_{in} / \tau$

Dmitriev, Mirlin, Polyakov '04

Review : Dmitriev, Mirlin, Polyakov, Zudov, Rev. Mod. Phys. '12

- τ_{ee} – thermalization of electrons among themselves
- τ_{in} – thermalization with the external bath
- τ, τ_* – disorder-induced scattering

Thermalization-controlled linear transport

resistivity $\rho = (m/e^2n) \times 1/\tau$ (Ohm's law)

τ – momentum relaxation

$$\rho = (m/e^2n) \times 1/\tau_{ee} \quad ?$$

τ_{ee} – thermalization of electrons among themselves
(by itself) momentum-energy conserving

(anomalously) slow thermalization \Rightarrow class of linear transport phenomena
in which $\rho \propto 1/\tau_{ee}$

“thermalization-controlled transport” : $\tau \rightarrow \tau_{ee}$

“disorder-controlled thermalization” : $\tau \leftarrow \tau_{ee}$

\swarrow also nontrivial, but different!

Disorder-controlled thermalization

- *Most prominent example*: Energy relaxation in a single-channel quantum wire
 - ▷ Luttinger liquid with backscattering disorder (impurities)

Bagrets, Gornyi, Polyakov '08-'09

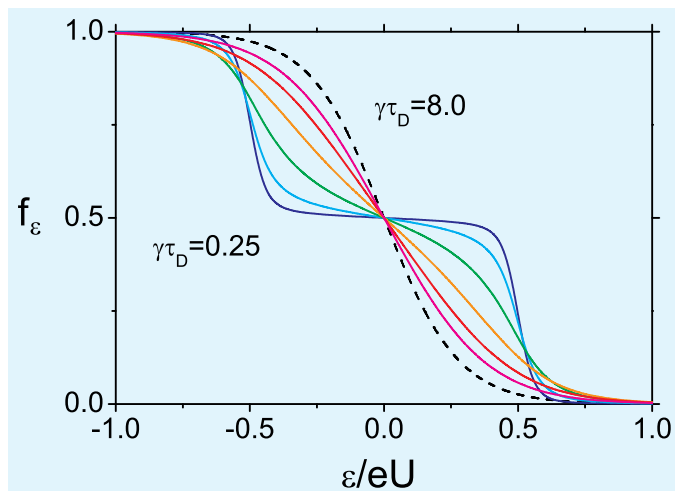
nonequilibrium functional bosonization, kinetic equations for plasmons and electrons

energy relaxation rate $\tau_E^{-1} = \tau^{-1}$ $T \gg 1/\alpha^2\tau$

(τ : elastic scattering off disorder, α : interaction constant)

interaction independent

up to a renormalization of the strength of disorder



double-step electron distribution function
in the middle of a biased quantum wire

experiment (tunneling spectroscopy)
on C nanotubes: *Chen et al. '09*

Thermalization-controlled transport

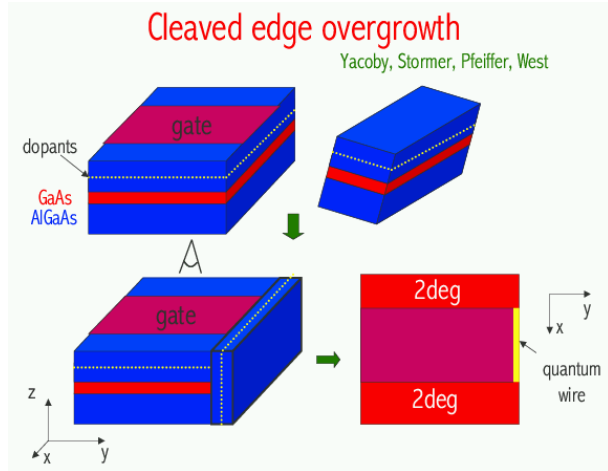
This talk \longrightarrow two examples :

- *without disorder*: Coulomb drag resistivity for a double quantum wire
- *with disorder*: interaction-induced resistivity of a single quantum wire with smooth inhomogeneities

Both examples are for single-channel 1D systems (nanowires)
—in which the effect is the strongest

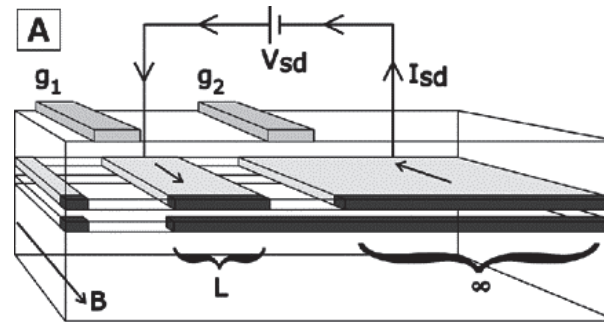
Dmitriev, Gornyi, Polyakov, PRB '12 and to be published

Semiconductor nanowires: 2D \rightarrow 1D

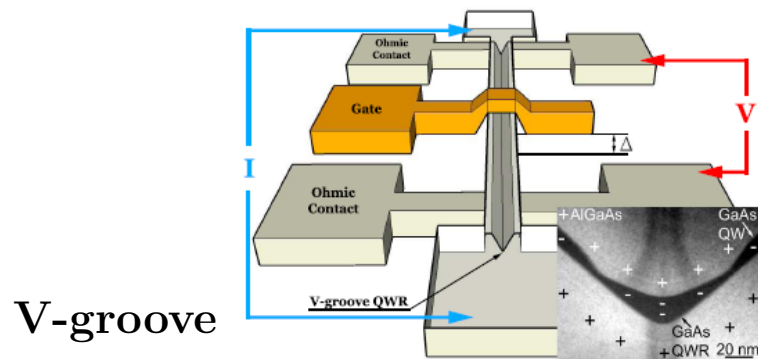


- CEO, V-groove, ... nanowires
- Quantum-Hall line junctions
- Double quantum wires
- ...

Atomic-precision “cleaved-edge”
single-channel GaAs wires
at the intersection of two
quantum wells



From Auslaender et al., Science '02

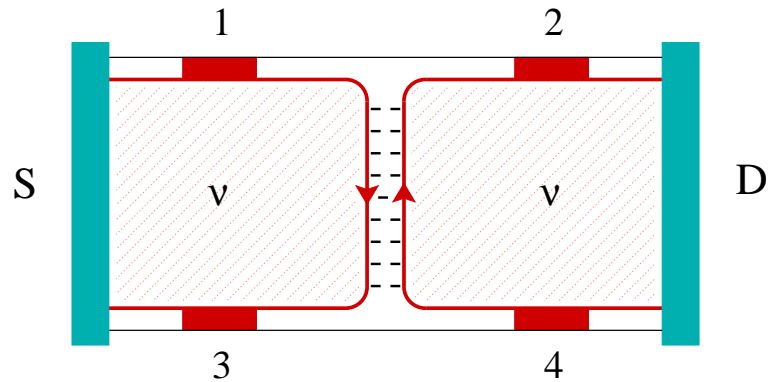


V-groove
nanowire

From Levy et al., PRL '06

Semiconductor nanowires:
Mean free path $l \sim 10 \mu\text{m}$

Semiconductor nanowires: 2D \rightarrow 1D



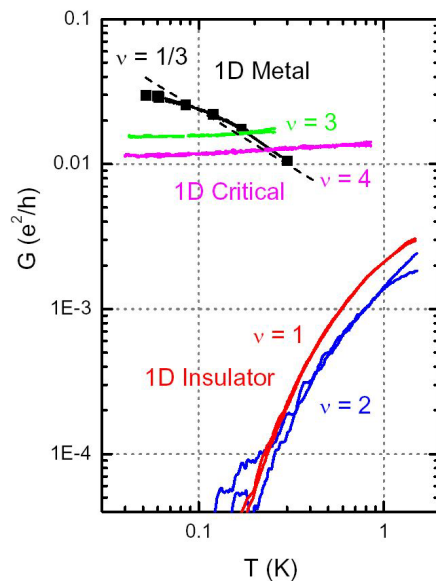
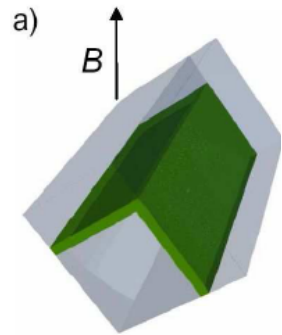
- CEO, V-groove, ... nanowires
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- Double quantum wires
- ...

Quantum-Hall line junctions:
longest ($L \sim 1$ cm) single-channel
GaAs quantum wires

backscattering disorder = random interedge tunneling

1D barrier in 2D: Kang et al., Nature '00; Yang et al., PRL '04

L-shaped quantum wells: Grayson et al., APL '05, PRB '07

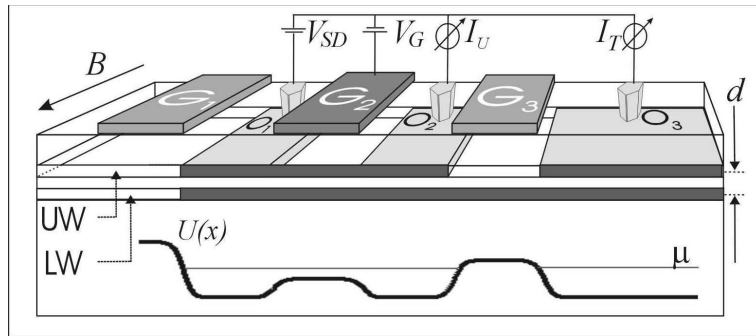


Mean free path in 1D controlled
continuously by magnetic field

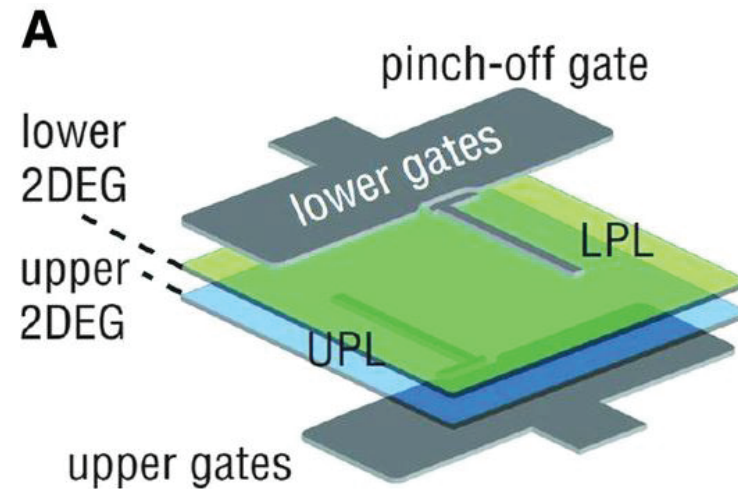
From Grayson et al., PRB '07

Semiconductor nanowires: 2D \rightarrow 1D

- CEO, V-groove, ... nanowires
- Quantum-Hall line junctions
- **Double quantum wires**
- ...



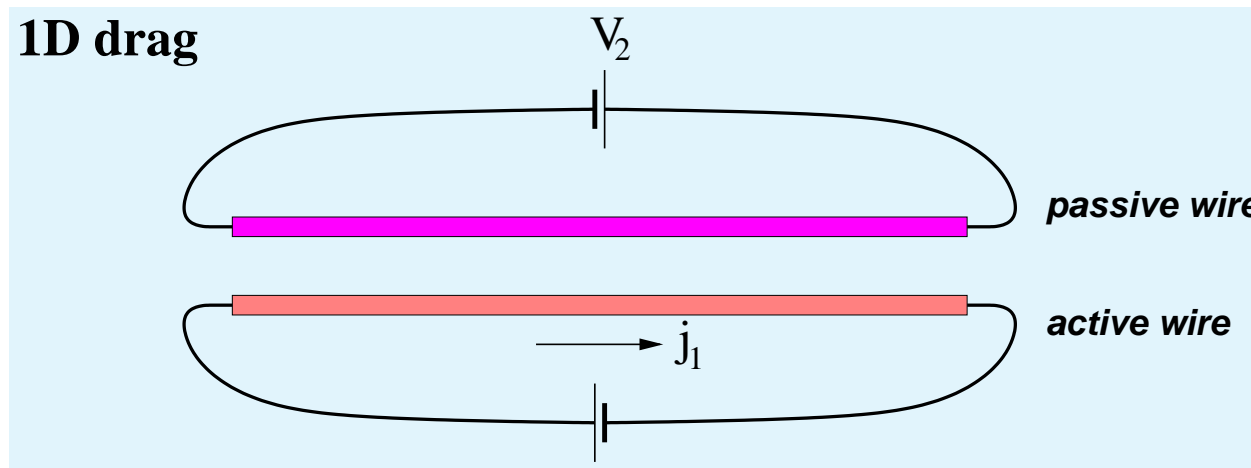
From Auslaender et al., Science '05
barrier width ~ 6 nm
wire width ~ 20 -30 nm



From Laroche et al., Science '14
barrier width ~ 15 nm
distance between the wires ~ 35 nm

Coulomb drag: Current induced by current

Two conductors (quantum wells, quantum wires, ...) coupled by Coulomb interaction:

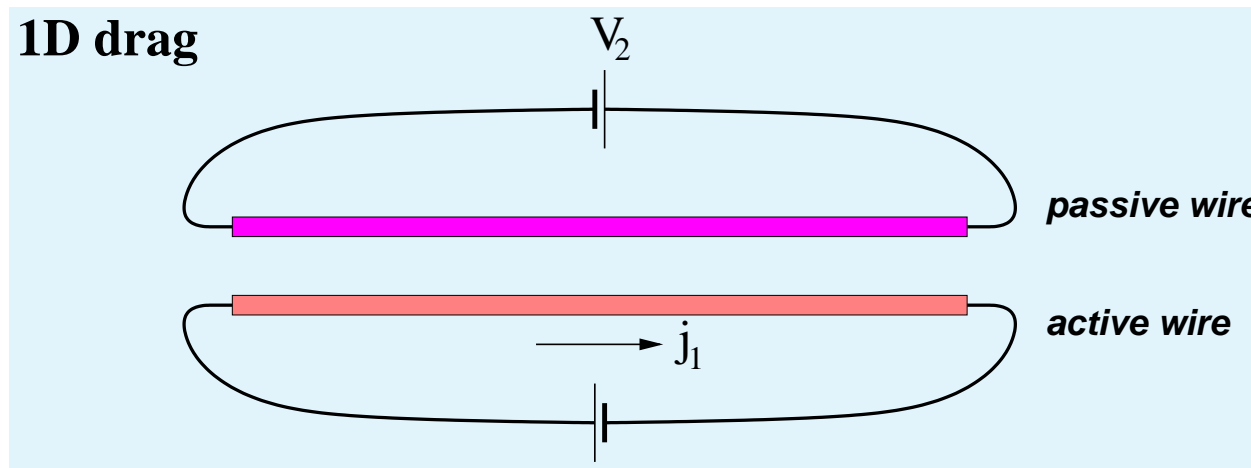


No tunneling between the wires, only coupling by e-e interactions

Coulomb drag = *response of electrons in the passive conductor to a current in the active conductor, mediated by Coulomb interaction*

Coulomb drag: Current induced by current

Two conductors (quantum wells, quantum wires, ...)
coupled by Coulomb interaction:



No tunneling between the wires, only coupling by e-e interactions

Passive wire: no current if biased by V_2 to compensate for the drag

Transresistivity $\rho_D = -E_2/j_1$ (response to j_1)

Transconductivity $\sigma_D = j_2/E_1$ (response to E_1)

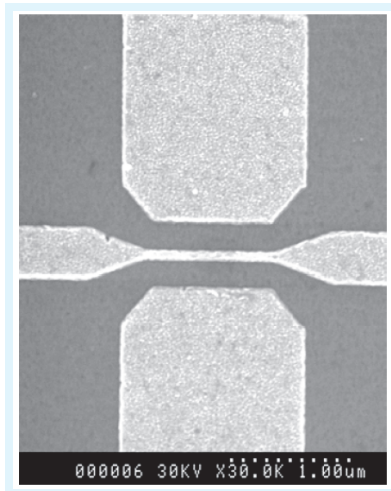
Coulomb drag : Experiment

- **Discovery 2D-2D** : Gramila, Eisenstein, MacDonald, Pfeiffer & West, PRL '91
- **Prediction** : Pogrebinskii, Sov. Phys. Semicond. '77
- **“Orthodox theory”** : Zheng & MacDonald, PRB '93; Jauho & Smith, PRB '93
Kamenev & Oreg, PRB '95; Flensberg, Hu, Jauho & Kinaret, PRB '95
- **Double-layer semiconductor structures** : Sivan et al. '92; Kellogg et al. '02
Pillarisetty et al. '02-'05; Price et al. '07; Seamons et al. '09
- **Drag in the QH regime** : Rubel et al. '97; Lilly et al. '98
Kellogg et al. '02-'03; Tutuc et al. '09
- **Oscillatory magnetodrag** : Hill et al. '96; Feng et al. '98
Lok et al. '01; Muraki et al. '03
- **Double graphene layers** : Kim et al. '11-'12; Gorbachev et al. '12
Titov et al. '13
- **Double quantum-point contacts** : Khrapai et al. '07
- **Double quantum wires** : Debray et al. '00-'02; Yamamoto et al. '02-'06
Laroche et al. '11-'14

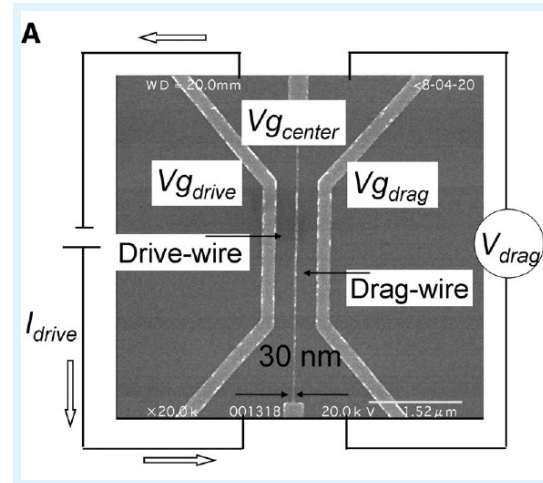
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Laroche et al. '11-'14

Coulomb drag between quantum wires: Setup



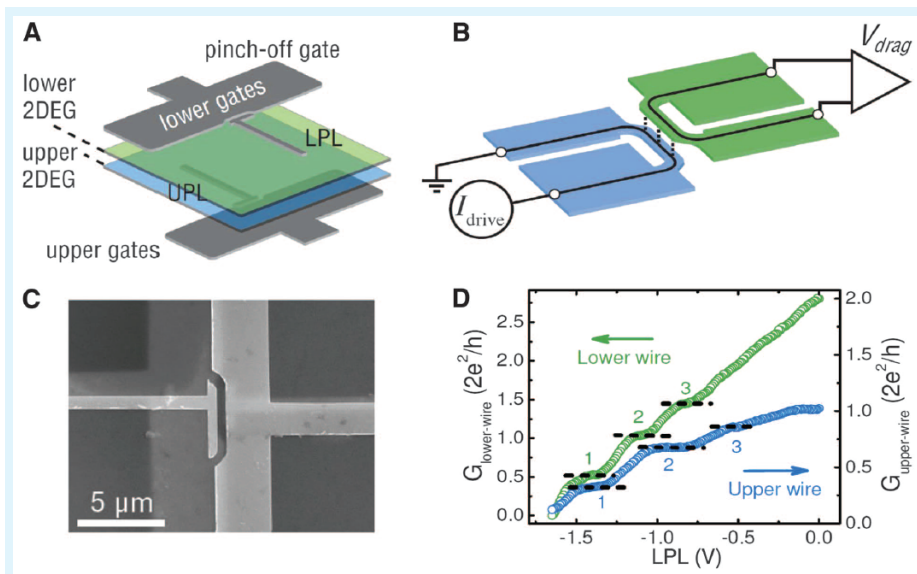
Debray et al., JPCM '01



Yamamoto et al., Science '06
(*Tarucha group*)

planar geometry, GaAlAs
soft barriers, width ~ 80 nm
distance between the wires
 $d \sim 200$ nm

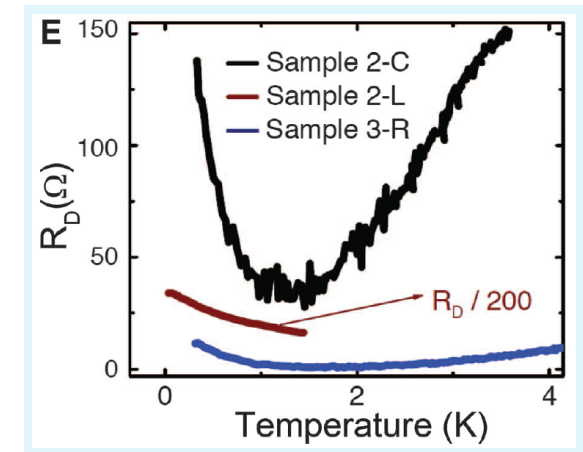
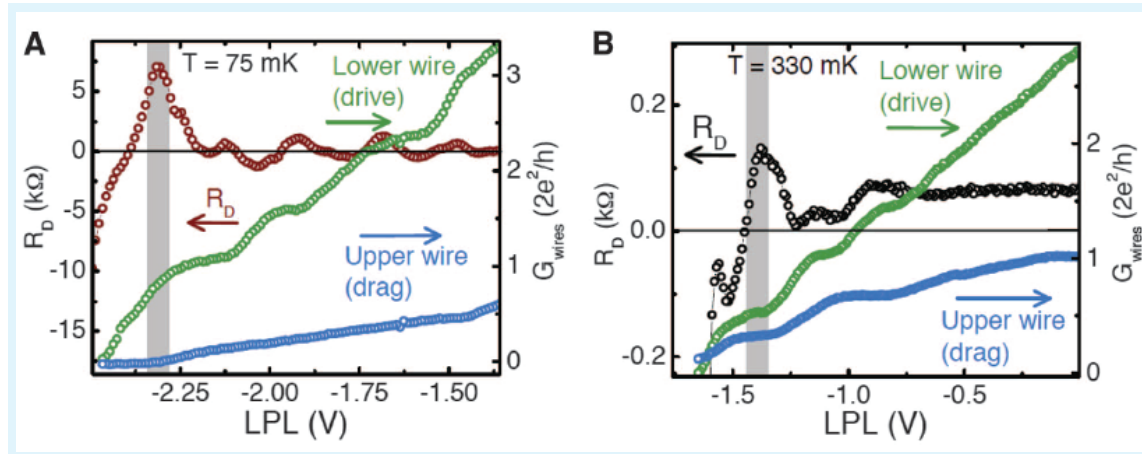
length $\sim 4 \mu\text{m}$



Laroche et al., Nature Nanotech. '11, Science '14
(*Gervais group*)

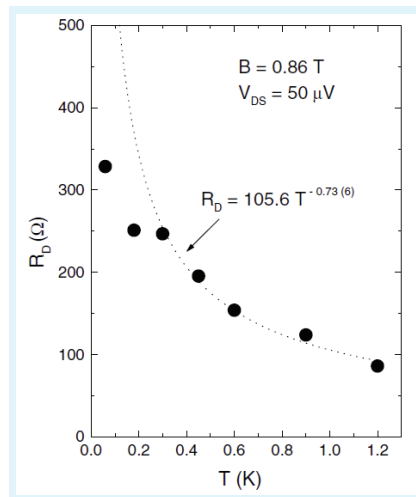
vertical geometry, GaAlAs
hard barriers, width ~ 15 nm
distance between the wires
 $d \sim 35$ nm

Coulomb drag between quantum wires : Experiment

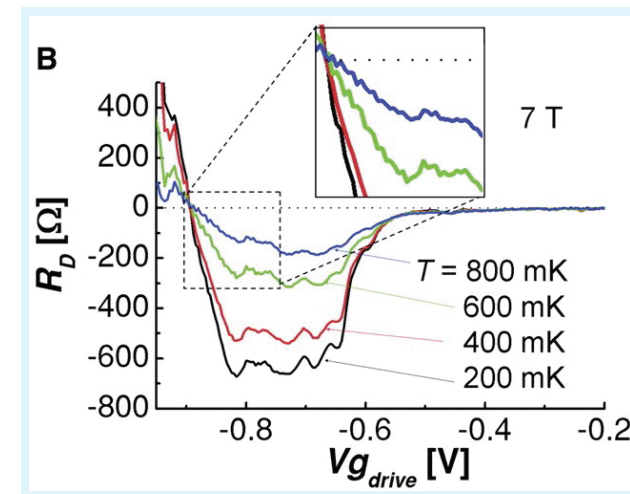


Laroche et al., Science '14

Drag effect up to 25% in closely packed nanowires on the 10 nm scale



Debray et al., JPCM '01



Yamamoto et al., Science '06

Coulomb drag: “Orthodox theory”

Zheng & MacDonald '93

Kamenev & Oreg '95; Flensberg, Hu, Jauho & Kinaret '95

$$\rho_D = \frac{1}{e^2 n_1 n_2} \int \frac{d\omega}{2\pi} \int \frac{d^D q}{(2\pi)^D} \frac{(q^2/D) |V_{12}(\omega, q)|^2}{2T \sinh^2(\frac{\omega}{2T})} \text{Im}\Pi_1(\omega, q) \text{Im}\Pi_2(\omega, q)$$

V_{12} – interwire (screened) interaction, $\Pi_{1,2}$ – density-density correlators

$$2D: \quad \rho_D \propto (T/\Lambda)^2 \quad \Lambda - \text{UV cutoff (Fermi energy)}$$

“Golden rule approach” ($\rho_D \propto V_{12}^2$)

$\rho_D = 0$ in a particle-hole symmetric ($\Lambda \rightarrow \infty$) 2D system

(electron drag current) = –(hole drag current)

Drag between clean quantum wires : Electron-hole symmetry

Nazarov & Averin '98

Klesse & Stern '00; Fiete, Le Hur & Balents '06

Golden rule in 1D Fermi liquid (linear dispersion) : $\rho_D \sim g_1^2 \frac{h}{e^2} \frac{\Lambda}{v_F} \frac{T}{\Lambda}$

Hu & Flensberg '96

g_1 – interwire e-e backscattering

Linearized dispersion in 1D : No drag due to forward scattering
but backward scattering does contribute

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Luttinger-liquid renormalization : $\rho_D \propto g_1^2 (T/\Lambda)^\kappa \quad \kappa < 1$

“Pseudospin gap” : $\rho_D \propto \exp(\Delta/T) \quad T \rightarrow 0$

Zigzag CDW ordering $T \ll \Delta \sim (g_1)^{\frac{2}{1-\kappa}} \Lambda \propto \exp\left(-\frac{4k_F d}{1-\kappa}\right)$

“Absolute drag” ($j_1 \simeq j_2$) in long Luttinger constrictions

Drag between clean quantum wires : Curvature

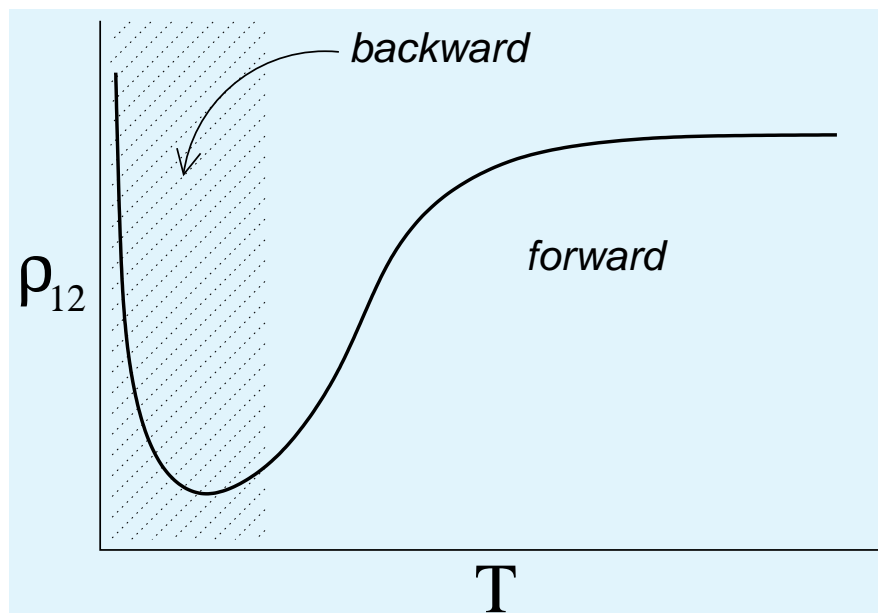
Pustilnik, Mishchenko, Glazman & Andreev '03

Aristov '07; Rozhkov '08

Beyond the Luttinger model: **Nonlinear dispersion**
of the (bare) electron spectrum

$$\rho_D \sim \beta^2 \frac{\hbar}{e^2} \frac{\Lambda}{v_F} \left(\frac{T}{\Lambda}\right)^2 \propto k_F/m^2$$

β – **interwire e-e forward scattering**



$$T \gg v_F/d \rightarrow \rho_D = \text{const}(T)$$

d – distance between the wires

$$T \ll \beta\Lambda \rightarrow \rho_D \sim \frac{1}{\beta} \frac{\hbar}{e^2} \frac{\Lambda}{v_F} \left(\frac{T}{\Lambda}\right)^5$$

Aristov '07

identical wires

strength of interwire backscattering $g_1^2 \propto \exp(-4k_F d)$

d - distance between the wires

\implies for $k_F d \gg 1$, drag at not too low T

\longrightarrow by forward scattering with small-momentum transfer $\ll k_F$

Drag due to forward scattering

$$\rho_D \sim \beta^2 \frac{h}{e^2} \frac{T^2}{\epsilon_F v_F}$$

Pustilnik, Mishchenko, Glazman & Andreev '03

Aristov '07; Rozhkov '08

obtained by means of:

Pustilnik et al. → drift ansatz = “orthodox” formula

Aristov → bosonization for large ω + “Lorentzian ansatz”

Rozhkov → bosonization/refermionization + “orthodox” formula

Drift ansatz : *Electrons are at thermal equilibrium*

in the moving (with the drift velocity) frame

Drag due to forward scattering

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Drift ansatz : *Electrons are at thermal equilibrium*

in the moving (with the drift velocity) frame

passive wire

$$j_2 = 0 \rightarrow en_2 E_2 = \int \frac{d\omega}{2\pi} \int \frac{dq}{2\pi} q V_{12}(q) S_1(\omega, q) S_2(-\omega, -q)$$

$S_{1,2}(\omega, q)$ – dynamic structure factors (intrawire int’s incl.)

passive wire: $S_2(\omega, q) = S^{\text{eq}}(\omega, q) \leftarrow$ equilibrium

active wire: $S_1(\omega, q) = S^{\text{eq}}(\omega - qv_d, q) \leftarrow$ Galilean transform

+ FDT: $S^{\text{eq}}(\omega, q) = 2\text{Im}\Pi(\omega, q)/(1 - e^{-\omega/T}) \longrightarrow$ “orthodox” formula

Drag vs. thermalization

“Orthodox theory” \equiv electrons at equilibrium
in the moving frame

*this (innocently looking) assumption is only justified
for “perturbative” drag with* $1/\tau_D \ll 1/\tau_{eq}$

$1/\tau_D$ – “drag rate” $\rho_D = m/e^2 n \tau_D$ (for $n_1 = n_2 = n$)
 $1/\tau_{eq}$ – (smallest) thermalization rate

“Orthodox theory” \leftarrow *totally wrong for forward scattering in 1D
(right-left thermalization rate $1/\tau_{eq} = 0$)*

In fact, for forward scattering

$$\rho_D = 0$$

No dc friction between chiral electrons!

Kinetic theory approach to drag

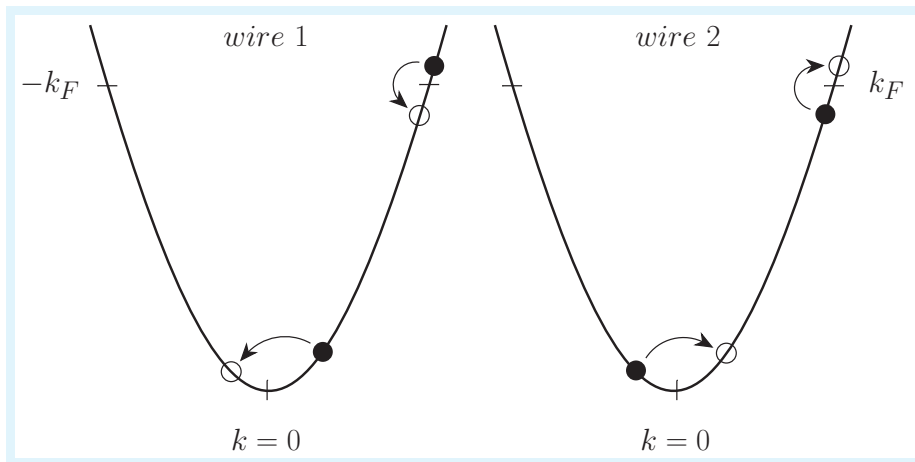
Quadratic dispersion: pair collisions in 1D \rightarrow momentum exchange

$$\delta_\epsilon \delta_k = \frac{m}{|k-k'|} \delta(k_1 - k'_2) \delta(k_2 - k'_1)$$

no change in the distribution function $f(k)$ in a single wire

double wire: center-of-mass distribution $f_1(k) + f_2(k) = \text{const.}$

kinetic equation for the relative distribution $f_1(k) - f_2(k)$



\leftarrow *chiral equilibration*

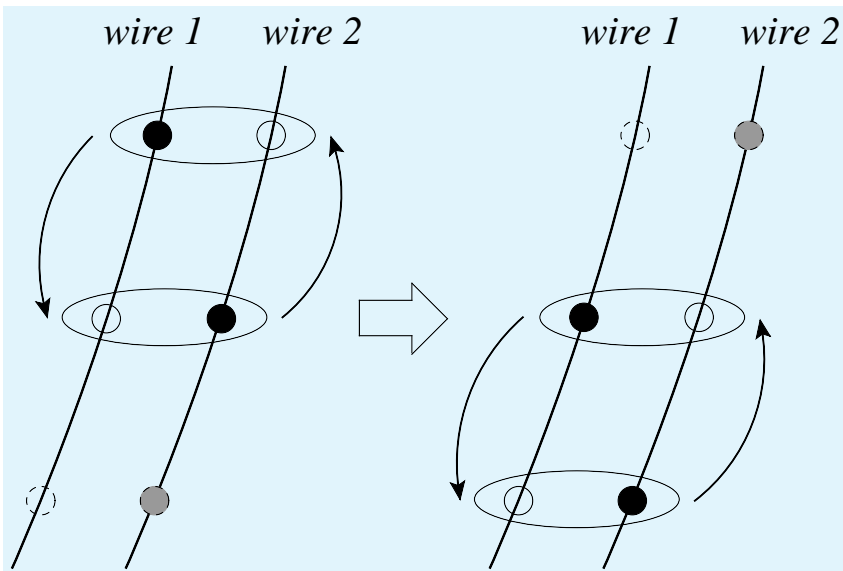
\leftarrow *backscattering at the bottom*
 \Rightarrow *right-left equilibration*

Drag with small momentum transfer : Diffusion in energy space

$T \gg v/d \rightarrow$ Fokker-Planck equation
for the relative distribution $f_-(k)$:

current in momentum space $J = -D\partial_k f_- + f_- \partial_k D$

with the diffusion coefficient $D(k) \propto 1/\cosh^2[(k^2 - k_F^2)/4mT]$



*energy space : diffusion of an electron-hole pair
(electron in wire 1, hole in wire 2)*

at the bottom : $D(0) \propto \exp(-\epsilon_F/T)$

Drag with small-momentum transfer: Pair collisions

$$\rho_D = \frac{24\pi}{e^2 v_F \tau_D(\infty)} \left(\frac{2\epsilon_F^3}{\pi T^3} \right)^{1/2} e^{-2\epsilon_F/T}$$

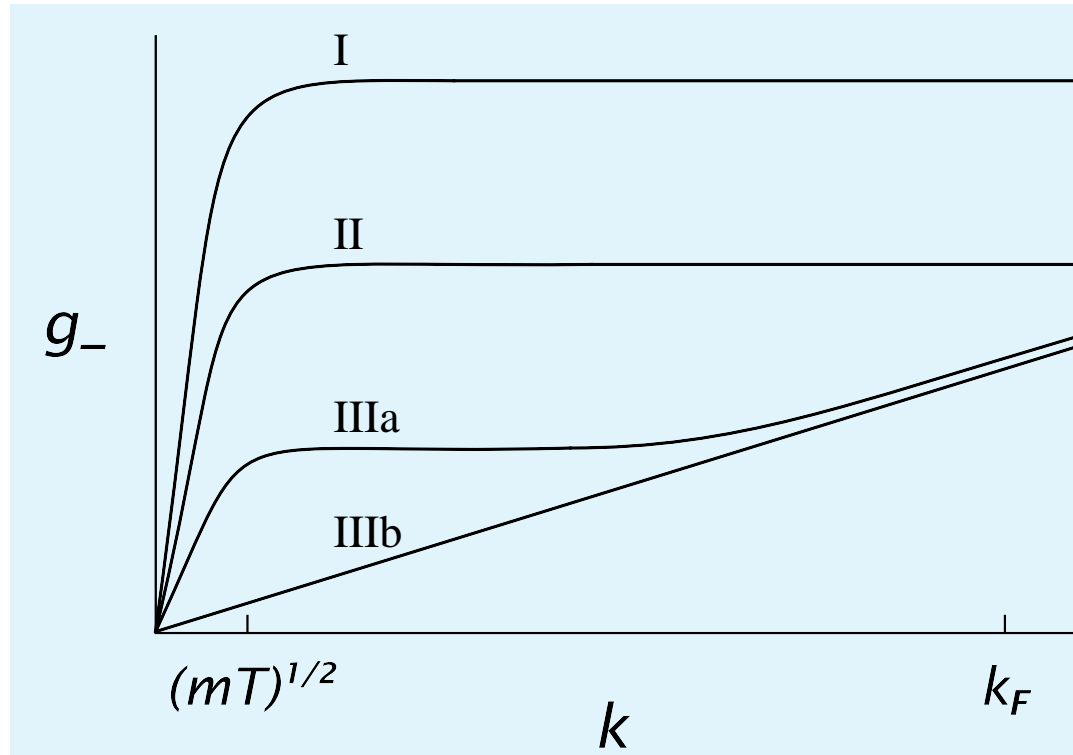
for $v_F/d \ll T \ll \epsilon_F$

Friction due to effective backscattering by diffusion in energy space through the point $k = 0$

No drag without R-L thermalization

For $T \ll v_F/d$: backscattering dominates with $1/\tau_D \propto e^{-4k_F d}$

Thermalization in the moving or stationary frame : The difference matters !



“step” in $g_-(k)$, weak drag \rightarrow
split chiral chemical potentials,
thermal in the stationary frame

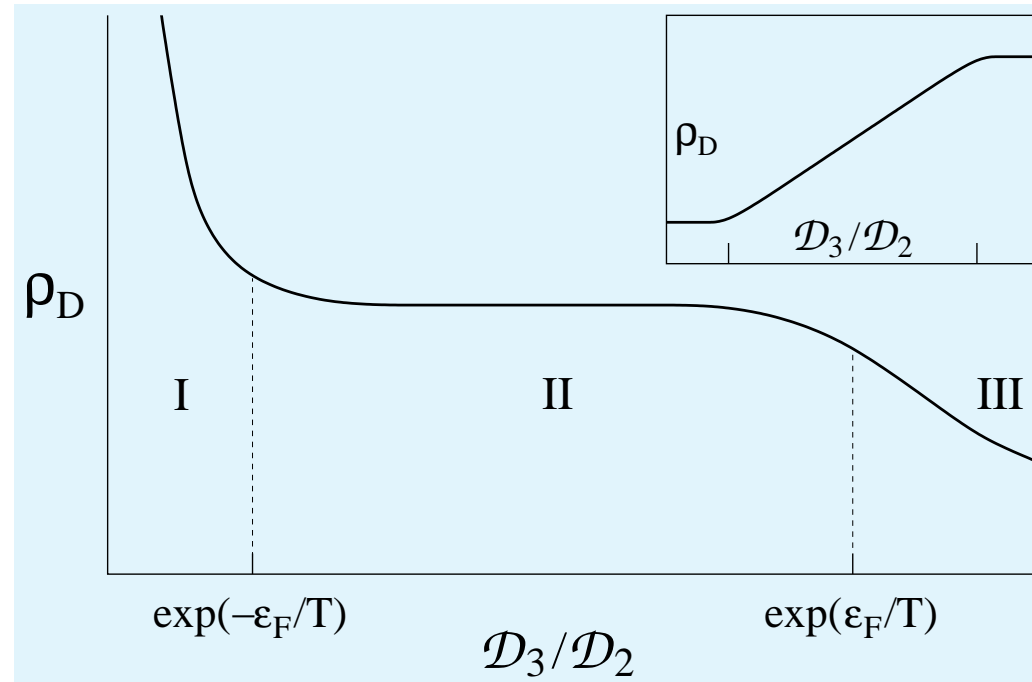
linear $g_-(k)$, strong drag \rightarrow
drift ansatz,
thermal in the moving frame

$$g_-(k) \leftarrow \text{measure of nonequilibrium: } f_-(k) = f^T + \underbrace{g_-(k)T \partial_\epsilon f^T}_{\text{thermal}}$$

In which frame the system chooses to thermalize (how strong drag is)
depends crucially on intrawire equilibration (triple collisions) !

Enhancement of drag by intrawire thermalization

$\mathcal{D}_2 \leftarrow$ pair inter wire $\mathcal{D}_3 \leftarrow$ triple intra wire

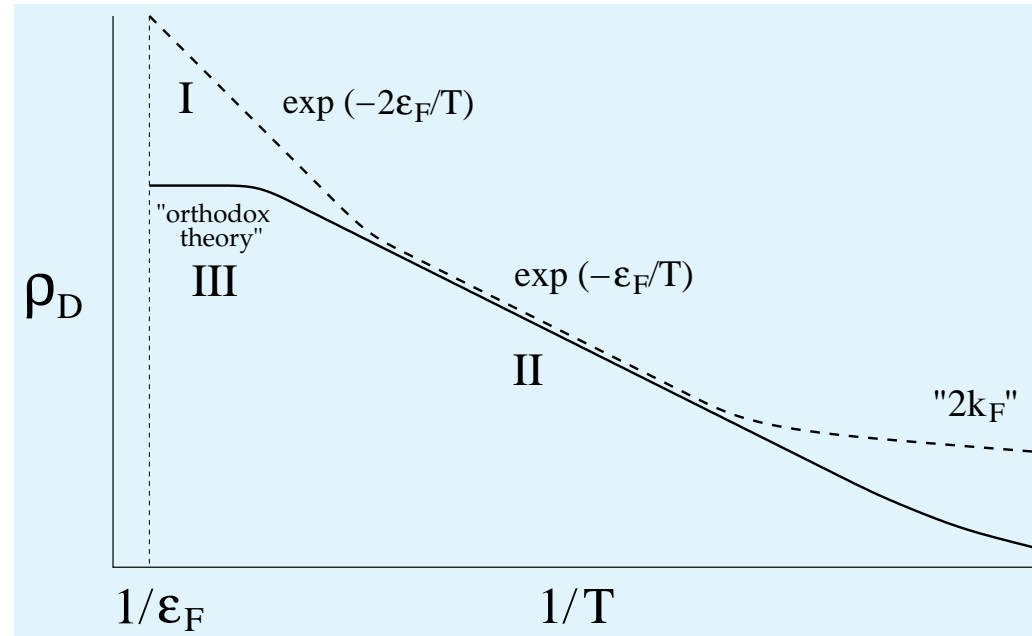


($\mathcal{D}_3/\mathcal{D}_2$ for fixed \mathcal{D}_3) = (distance between the wires)

Plateau:
$$\rho_D = \frac{4\pi\mathcal{D}_3}{e^2k_F} \left(\frac{\epsilon_F}{\pi T^3} \right)^{1/2} e^{-\epsilon_F/T} = \frac{m}{e^2n} \times \frac{1}{\tau_{\text{eq}}}$$

independent of \mathcal{D}_2 , i.e., the distance between the wires!

Coulomb drag in quantum wires: Temperature dependence



“orthodox theory” only for a large distance between the wires (solid line) right below ϵ_F

Activation (regime II): ***independent of the distance between the wires!***

Interaction-induced resistivity of a quantum wire with smooth disorder

- smooth (for electrons) disorder \rightarrow *negligeable backscattering on the Fermi level*

- w/o e-e interactions \rightarrow *zero-width Drude peak: dc $\rho = 0$*

$$\sigma(\omega) = \frac{e^2 v_F}{\pi} \left(1 - \frac{3\langle VV \rangle_{q=0}}{2m^2 v_F^4} \right) \delta(\omega) + \frac{\langle VV \rangle_{q=\omega/v_F}}{2\pi m^2 v_F^4}$$

$\langle VV \rangle_q$ - correlator (at momentum q) of the random potential

- e-e interactions (amplitude α) \rightarrow *golden-rule (high- ω) momentum relaxation rate (friction in the moving frame)*

$$\frac{1}{\tau} = \frac{\alpha^2}{32k_F^4 T} \int \frac{dq}{2\pi} \frac{q^4}{\sinh^2(v_F q/4T)} \langle VV \rangle_q$$

- dc ρ for pair collisions - ?

$$\rho \propto e^{-\epsilon_F/T} \ll m/e^2 n \tau$$

effective backscattering due to diffusion in energy space

Interaction-induced resistivity of a quantum wire with smooth disorder

disorder-mediated pair collisions \rightarrow momentum relaxation

diffusion coefficient (in energy space) D_2

+

momentum-conserving triple collisions

diffusion coefficient D_3

nonequilibrium part of the distribution function $g(k) \simeq g_{\text{sf}}(k) + g_{\text{mf}}(k)$

$g_{\text{sf}} \propto \text{sgn}(k)$ \leftarrow thermalization in the stationary frame

$g_{\text{mf}} \propto k$ \leftarrow thermalization in the moving frame

$$\rho^{-1} \simeq \rho_{\text{sf}}^{-1} + \rho_{\text{mf}}^{-1}$$

$$\rho_{\text{sf}}^{-1} \sim e^2 n \epsilon_F \frac{1}{D_2 + D_3} e^{\epsilon_F/T} \left(\frac{T}{\epsilon_F} \right)^{3/2} \quad \rho_{\text{mf}}^{-1} \sim e^2 n \epsilon_F \frac{D_3 - D_2}{(D_2 + D_3) D_2}$$

Interaction-induced resistivity of a quantum wire with smooth disorder

disorder-mediated pair collisions \rightarrow momentum relaxation

diffusion coefficient (in energy space) D_2

+

momentum-conserving triple collisions

diffusion coefficient D_3

$$\rho \simeq m/e^2 n \tau_{\text{eq}}$$

$$D_2 \ll D_3 \ll D_2 e^{\epsilon_F/T} \left(\frac{T}{\epsilon_F}\right)^{3/2}$$

ρ independent of disorder!

see also Levchenko, Micklitz, Rech, Matveev '10

Summary

- *Thermalization-controlled linear transport :
Relaxation rate in Ohm's law \rightarrow thermalization rate $1/\tau_{ee}$
($\rho = m/e^2 n \tau_{ee}$)*
- *Coulomb drag by small-momentum transfer
between ballistic quantum wires :*
 - *“Orthodox” theory not valid*
 - *ρ_D independent of the strength of interwire-
but strongly dependent on the strength of intrawire
interactions*
- *Resistivity of a smoothly-inhomogeneous quantum wire :*
 - *independent of the strength of disorder
in the thermalization-controlled regime*